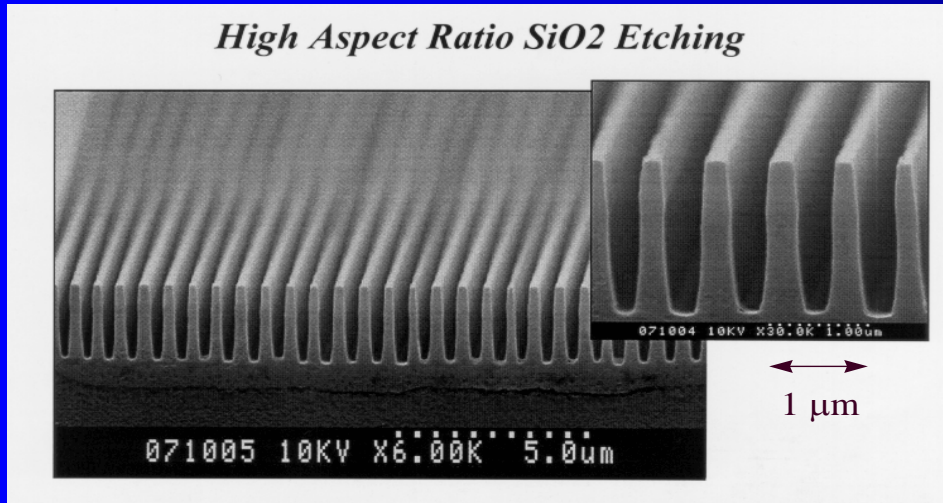


Plasma Surface Interaction

(part II: application)

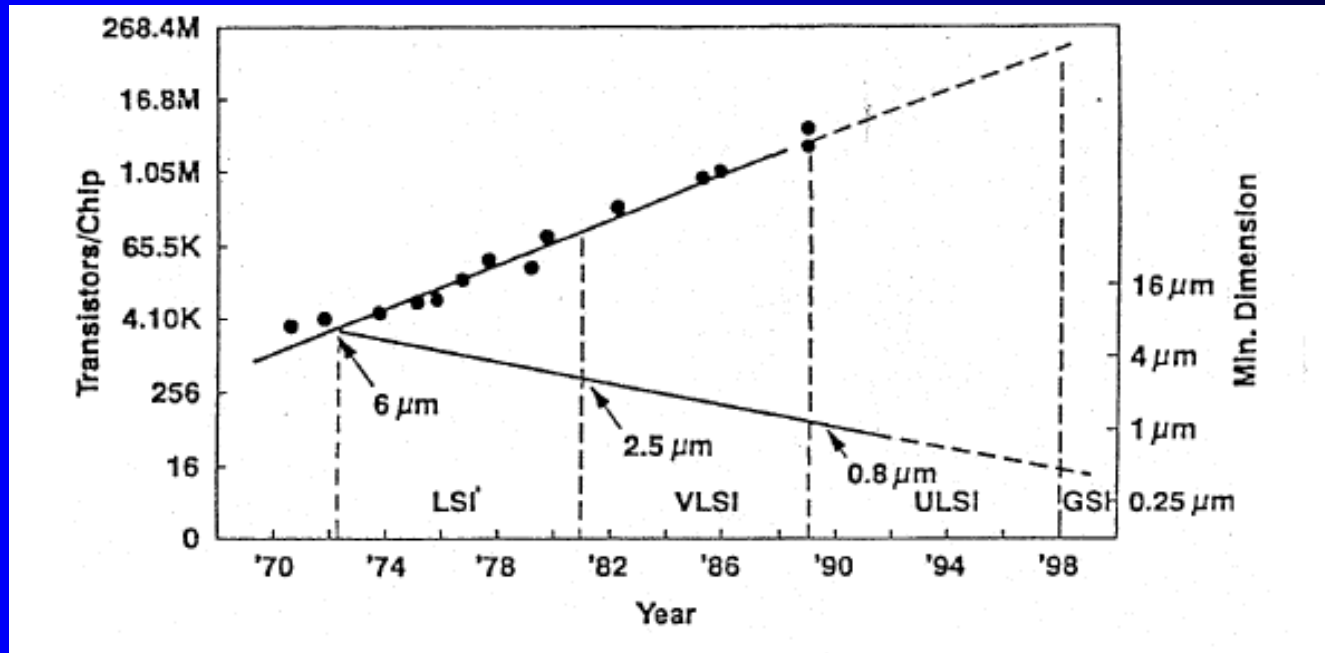
- **Examples for application of PSI (etching)**
 - # plasma etching of GaAs
 - # plasma etching of Si in F-containing gases
 - # plasma cleaning
- **Examples for application of PSI (deposition)**
 - # plasma polymerization
 - # TiN deposition in HCAED
 - # sputtering of Al, powder modification

applications

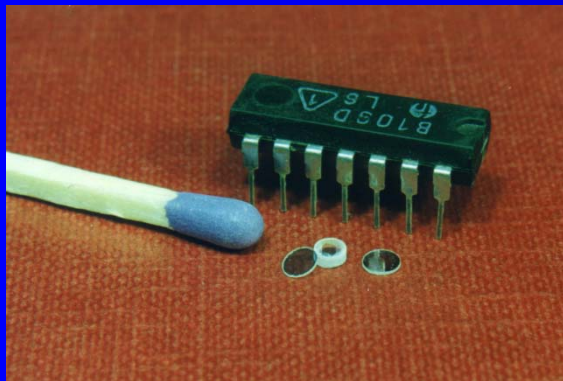


microelectronics fabrication :
plasma etching
of semiconductors

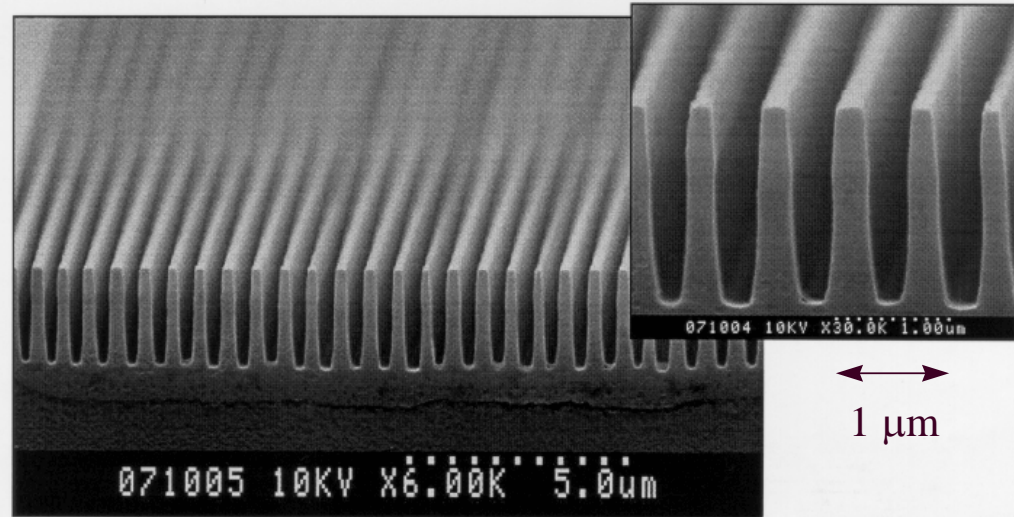
	1997	2012
transistor / μP	$\sim 10^7$	$\sim 10^9$
processes	350	600
wafer size	200mm	450mm
structur size	250nm	50nm
killer particles	$> 120\text{nm}$	$> 20\text{nm}$
life time of a process	$\sim 3 \text{ years}$	



**etching,
structurization
of semiconductors**

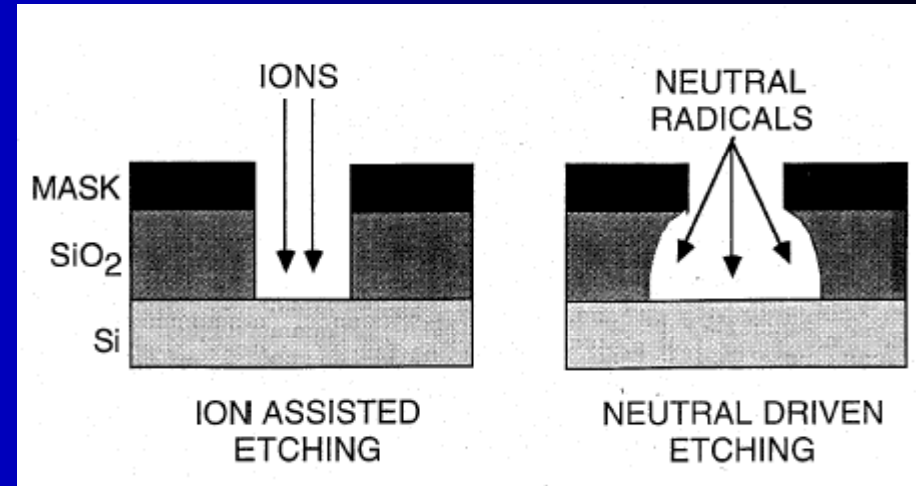
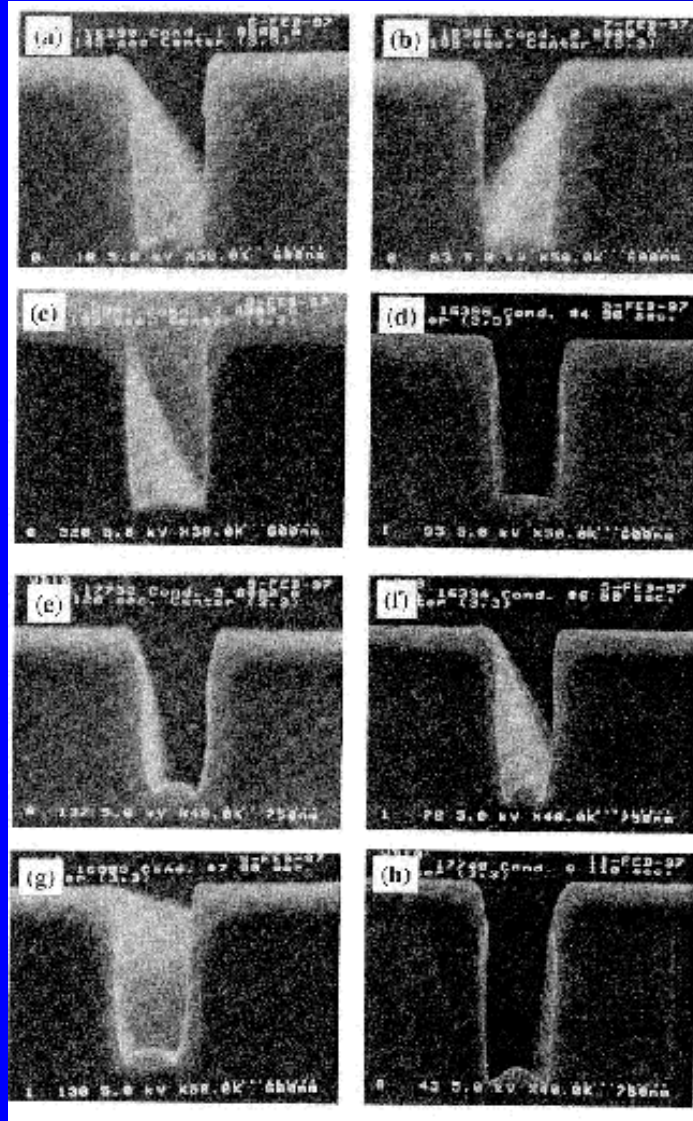


High Aspect Ratio SiO₂ Etching



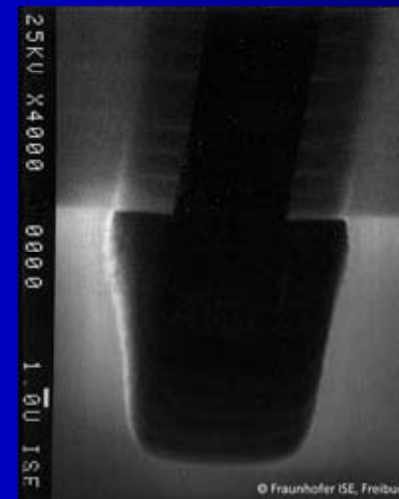
1 μm

demands for plasma etching

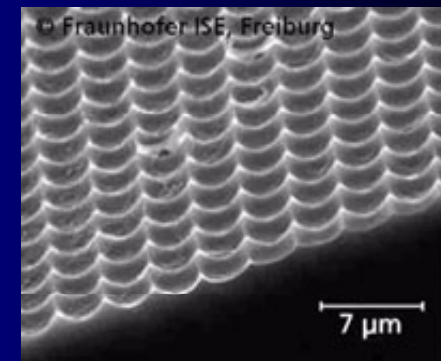


anisotropy

selectivity



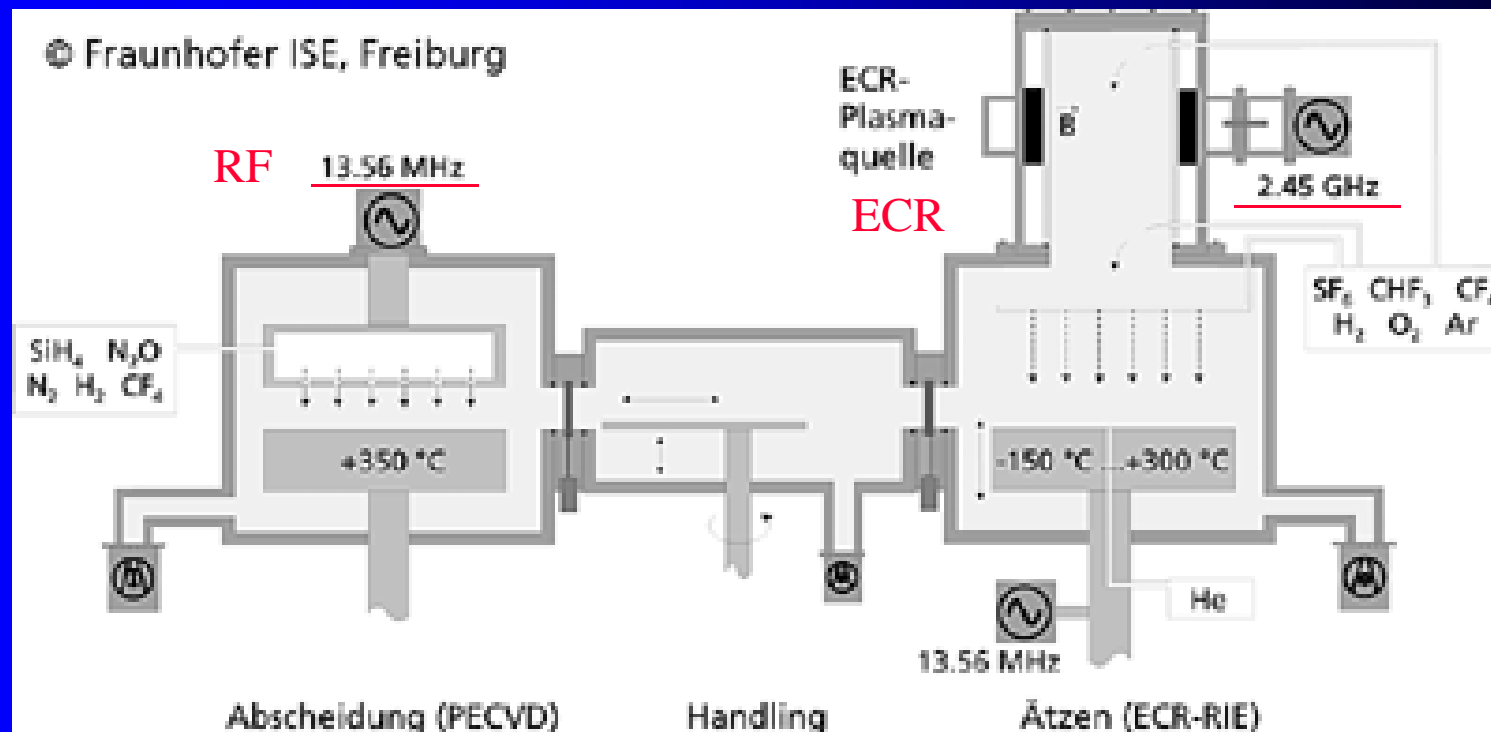
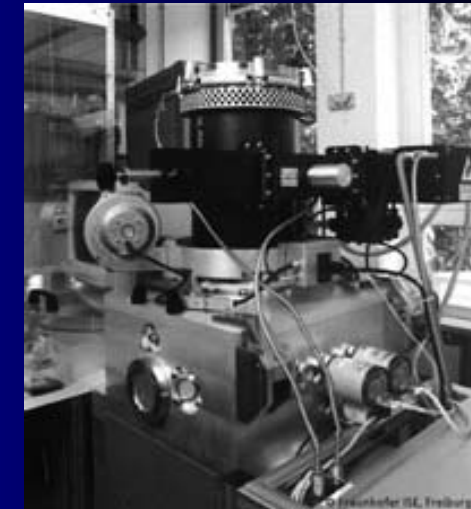
homogeneity



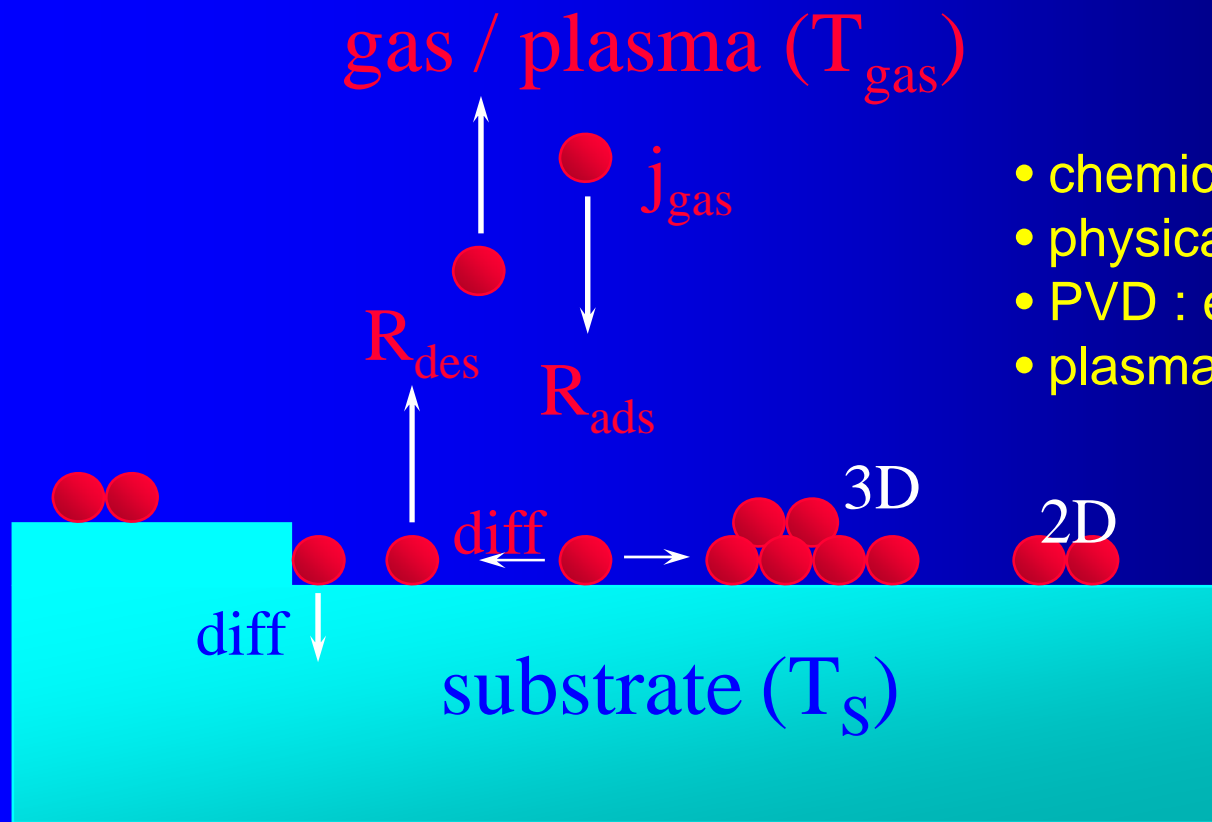
etching and deposition, passivation

plasma methods

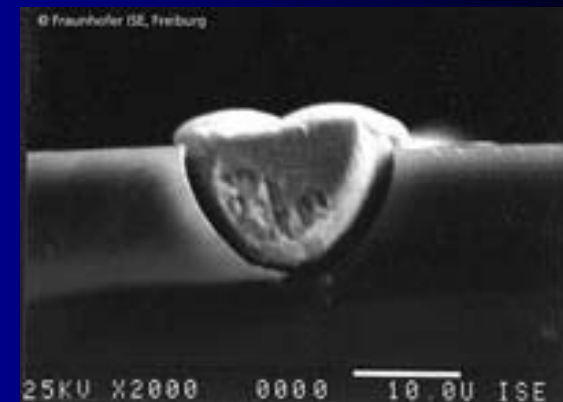
- plasma etching (PE/RNE, RIE,)
- sputtering (SPE, IM)
- beam-supported methods (RIBE, CAIBE)
- plasma ashing, plasma cleaning



elementary processes at substrate , plasma methods :

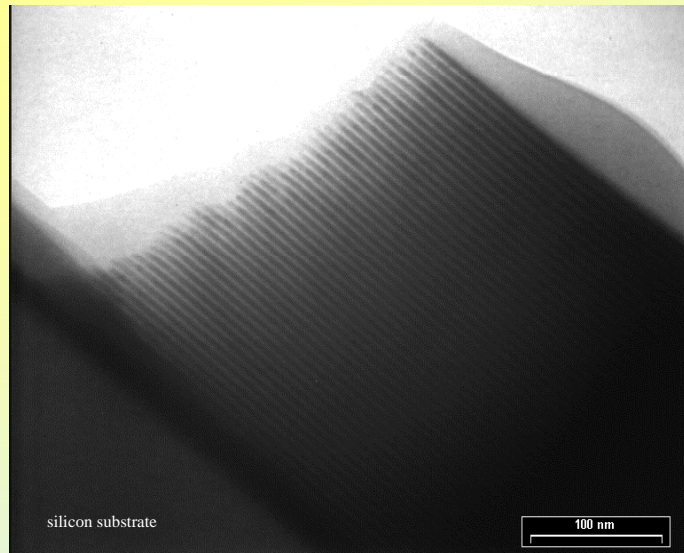


- chemical methods (CVD, MOCVD)
- physical methods : PECVD, PVD
- PVD : evaporation, plasma, ions
- plasma : PSP, IP/PL, PP, ME



applications

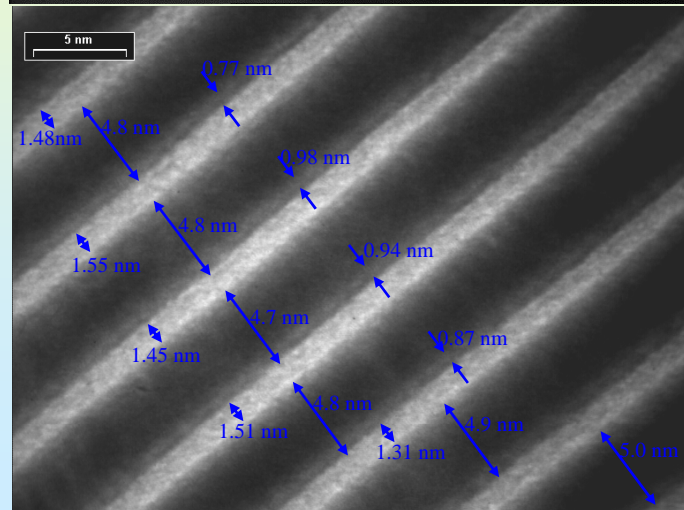
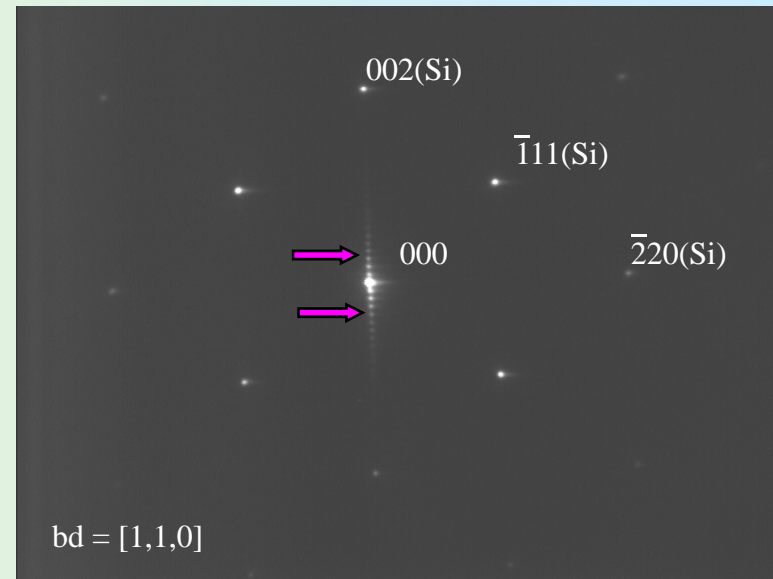
deposition of multilayers for optical components



TEM bright field
image of Mo/Si
Multilayer



TEM diffraction
pattern of Si with
Mo/Si Multilayer



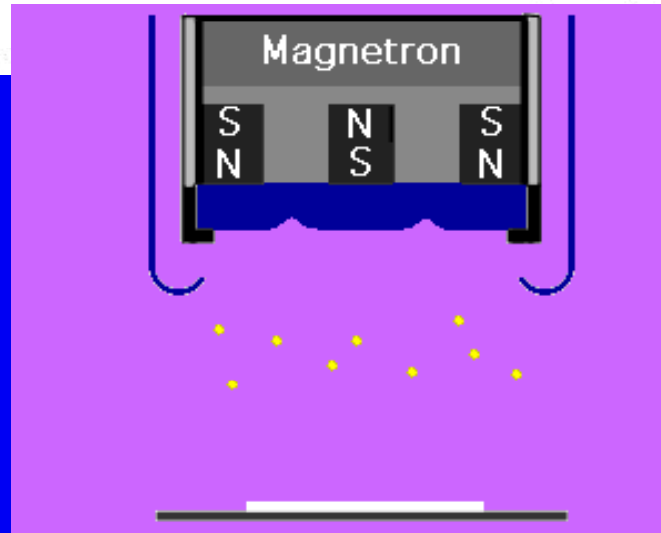
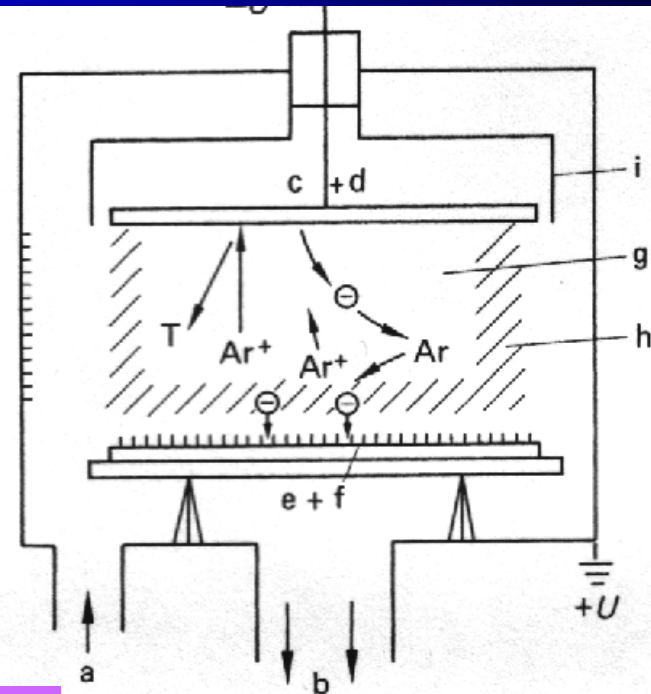
Results

- Deposition of Mo/Si multilayers for 13.4 nm X-ray radiation at **CYBERITE** equipment of *unaxis Deutschland GmbH*
- 50 Periods of 2.7 nm Mo / 4.0 nm Si on 6" Si wafers
- Very **promising reproducibility of film thickness**
- **Stable superperiodicity of 6.7 nm** seen from TEM photographs and TEM diffraction patterns
- **Film roughness of 0.17 nm**

Sputtering (PSP) for thin film deposition / coating

principle of dc cathode sputtering, diode system

- a gas flow
- b pump
- c cathode
- d target
- e anode
- f deposited layer
- g cathode fall
- h positive column
- l screening, shield



magnetron system (E X B)

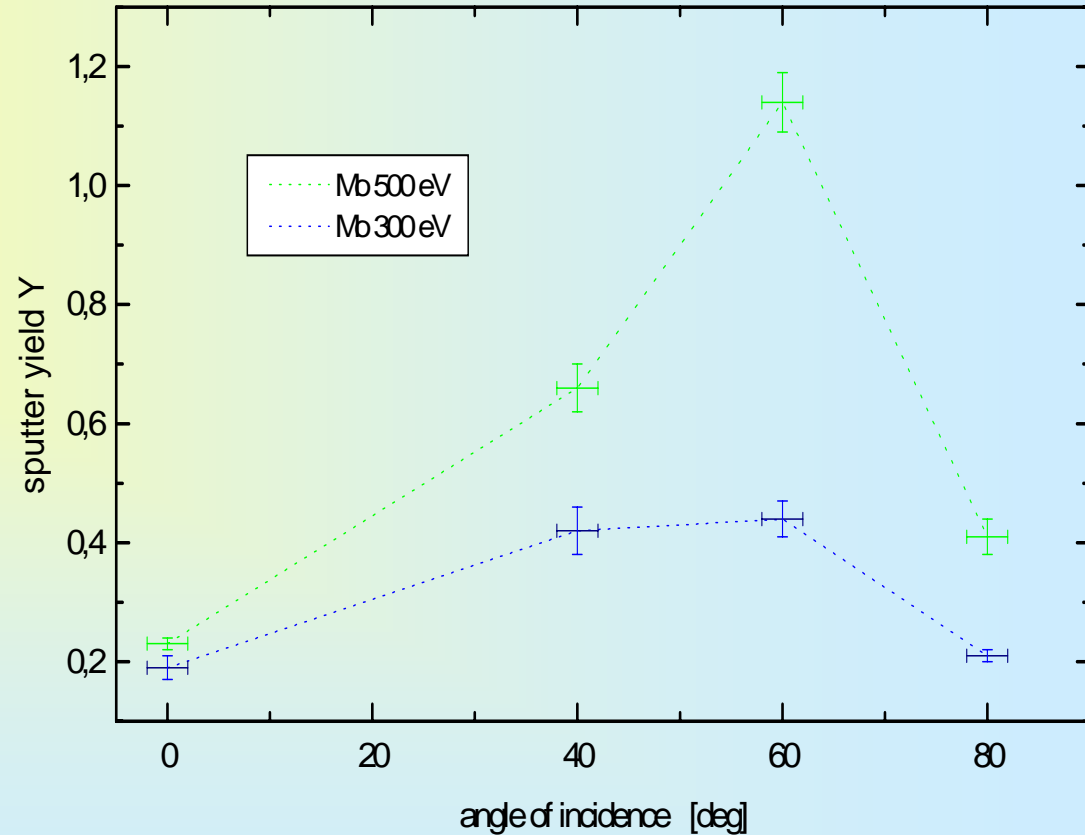
physical sputtering (PSP) :

Collision cascades create:

- Sputtered target material
- Backscattered ions
- Implanted ions
- Damages in the target material

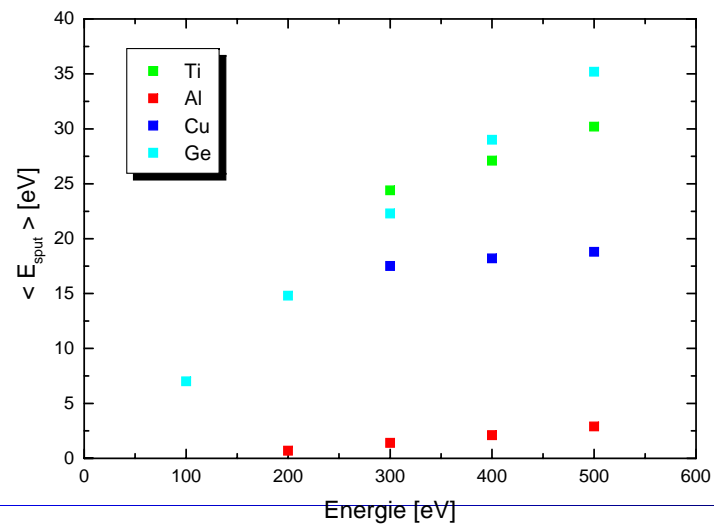
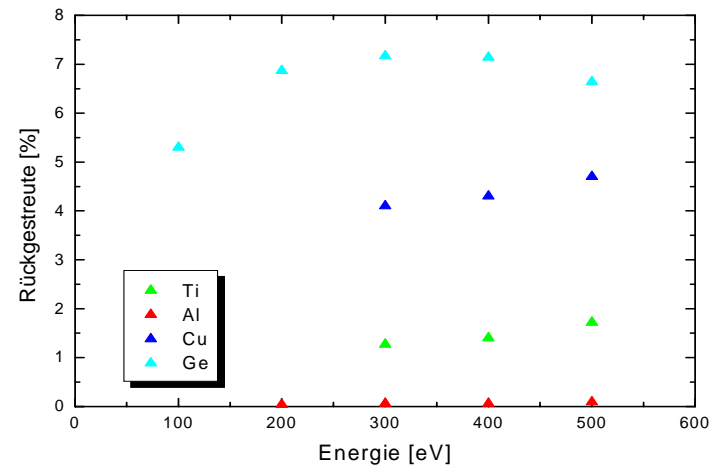
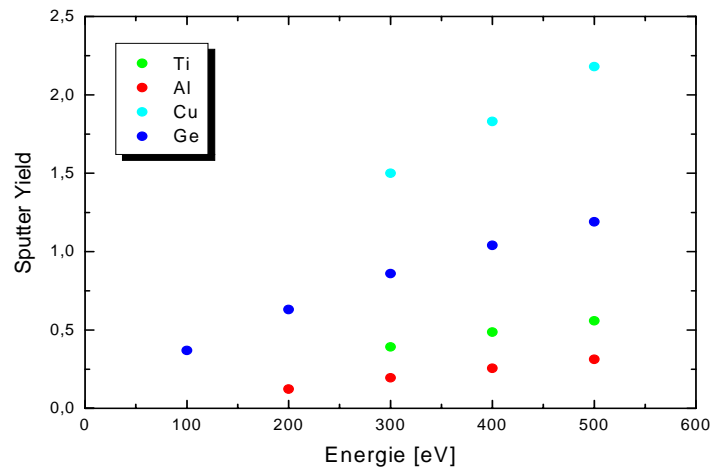
Sputter yield depends on:

- Surface binding energy of the target
- Reduced mass of ion / target material
- Ion energy
- Angle of incidence of the ion
- Chemical surface conditions



Ion beam sputter yields of Mo with Xe⁺ at different angle of incidence

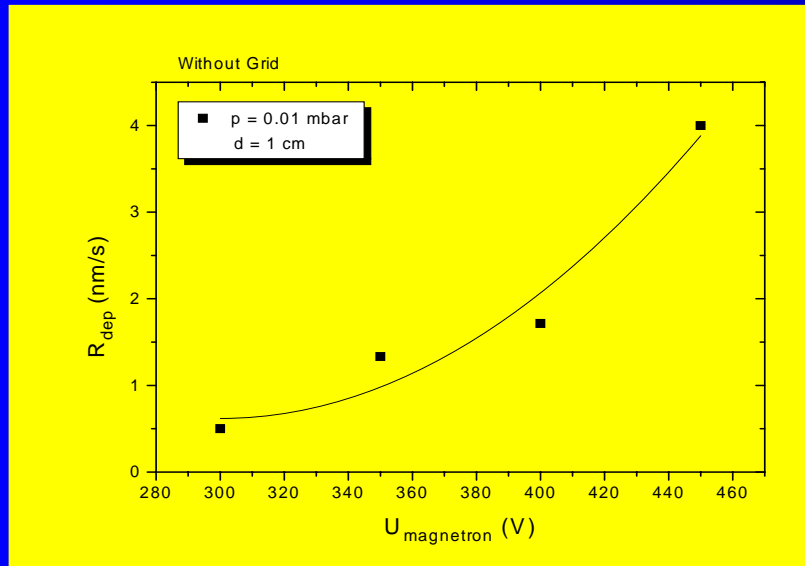
physical sputtering (PSP) :



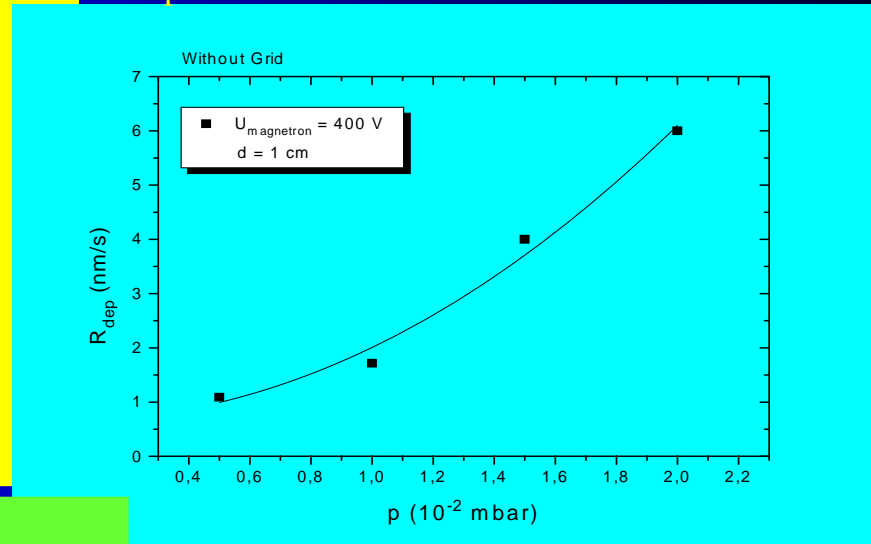
simulation
of sputtering
(TRIM) :

dependence on target material

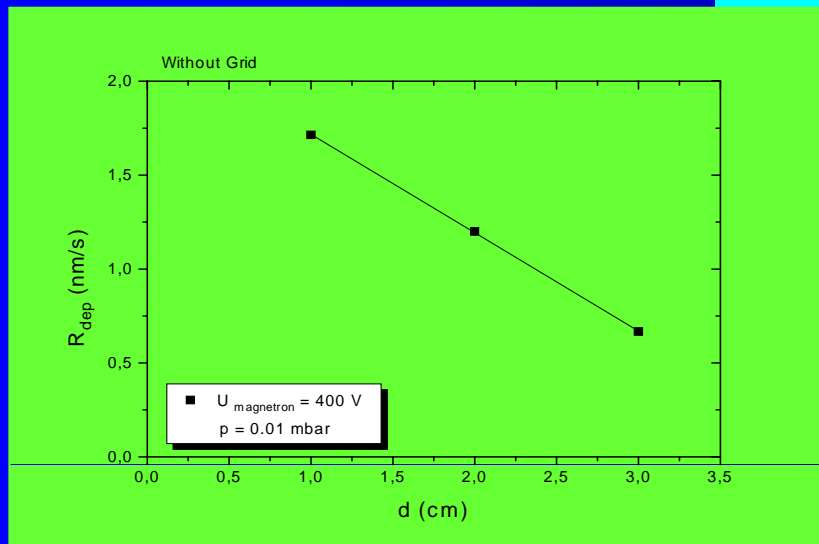
physical sputtering (PSP) :



$$R_{\text{dep}} = f(U) \quad p, d = \text{const.}$$

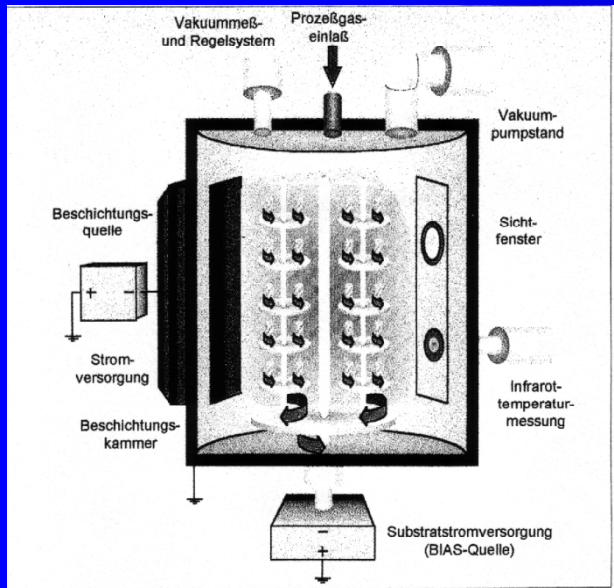


$$R_{\text{dep}} = f(p) \quad U, d = \text{const.}$$



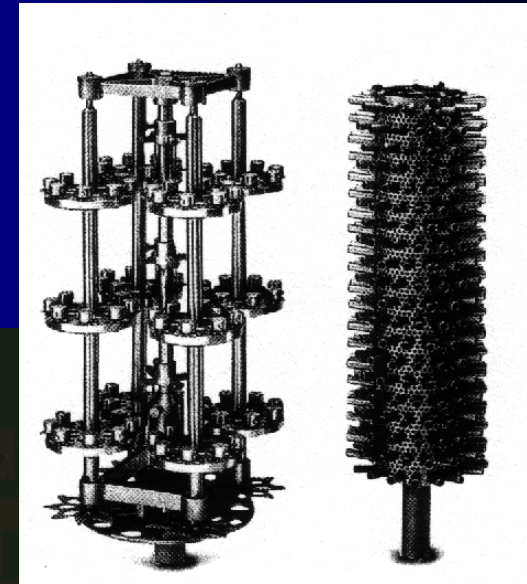
$$R_{\text{dep}} = f(d) \quad p, U = \text{const.}$$

arrangement of substrates



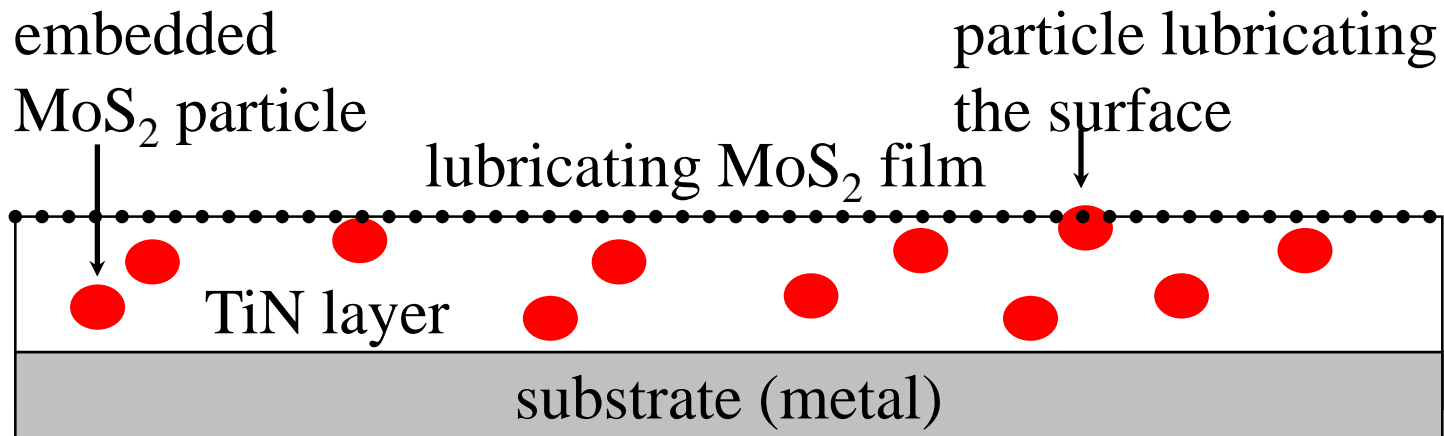
roundabout

holder



conveyor belt

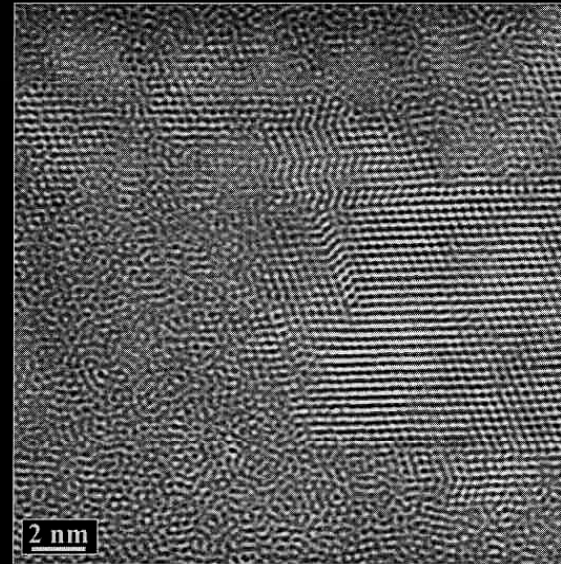
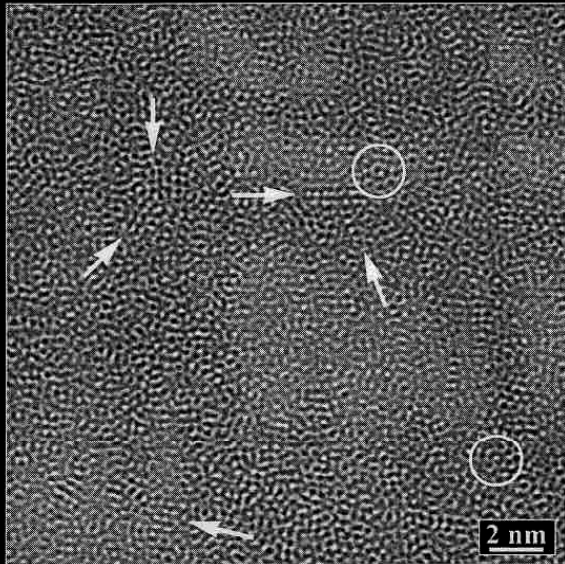
PECVD of self-lubricating coatings



- small grains of lubricant are included in the hard matrix
- when surface is exposed to friction and wear, small amounts of lubricant are released to form a thin protective film over the surface
- such hybrid coatings are both effectively lubricated and environmentally clean
- e.g. MoS₂ particles in TiN layer

E. Stoffels et.al., J.Appl.Phys. 86(1999), 3442.

PECVD of nanocrystallites in amorphous Si for solar cells ...



Characteristics of Si films deposited at 50 °C (2% SiH₄ in H₂)

(left) as prepared: ring-like and fringe-like contrast features

(right) in-situ heating to about 425 °C: beginning of crystallization

P. Roca i Cabarrocas, H. Hofmeister et al.

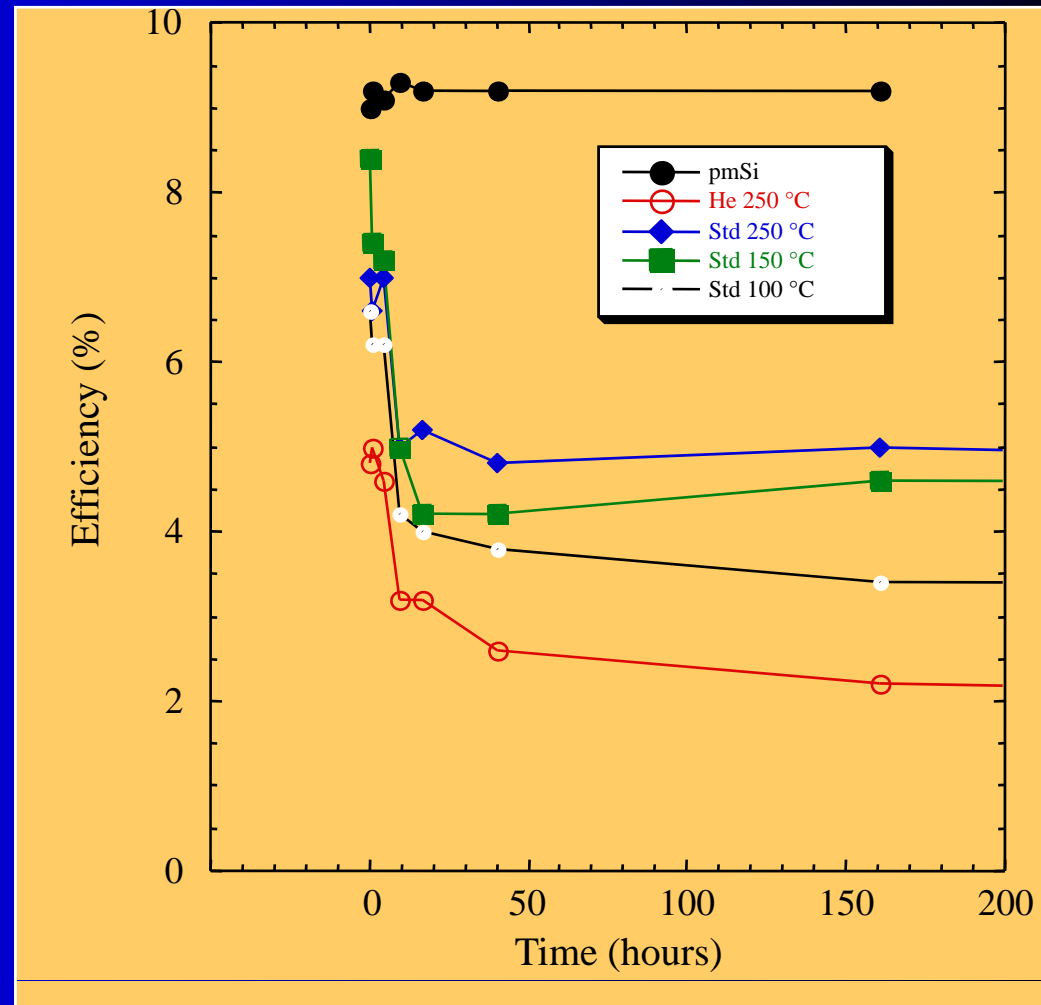
- incorporation in amorphous Si matrix
- precursors in re-crystallization of the film
- high degree of microstructure
- enhanced annealing stability
- reduced defect density

... polymorphous solar cells

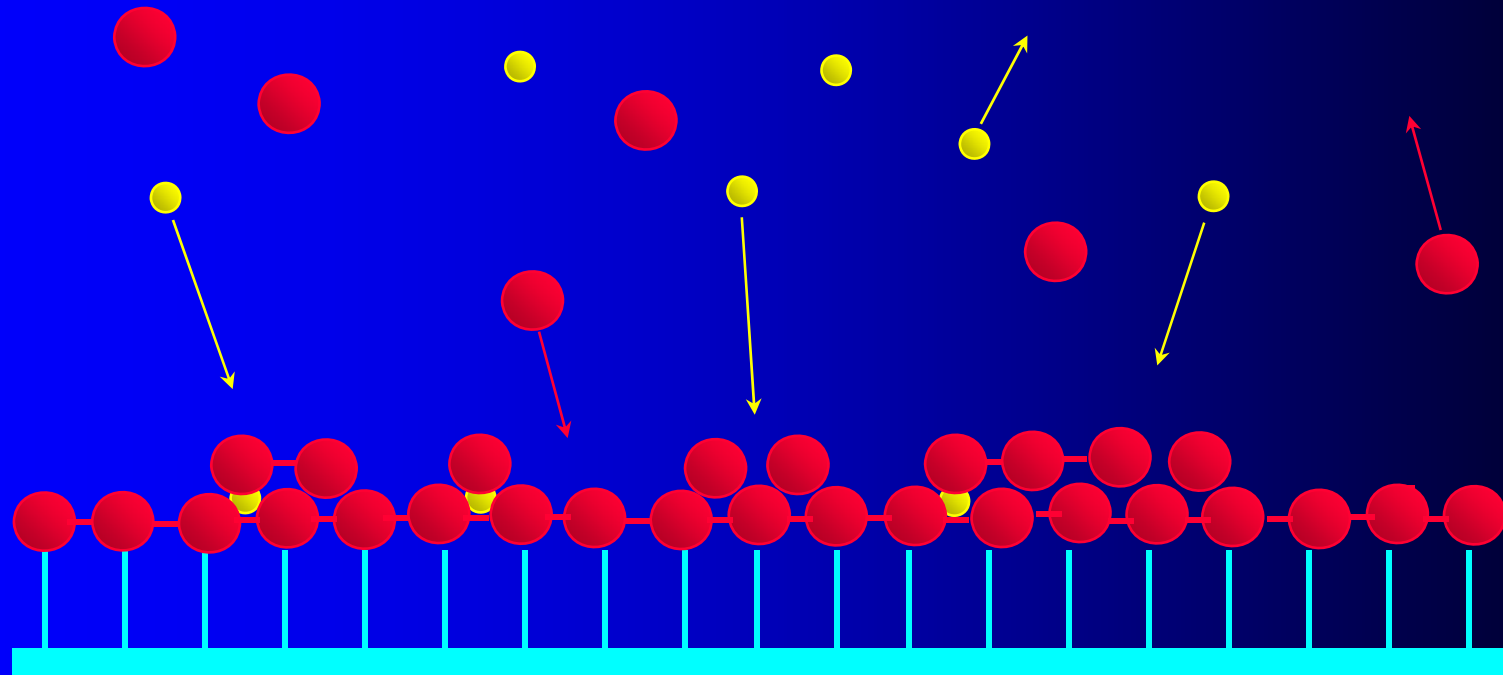
- higher mobility,
improved transport properties
- higher efficiency
- long term stability



**new solar cell with
better photon yield
(potential for future)**

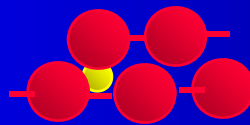


example : plasma polymerization (cross-linking by ions)



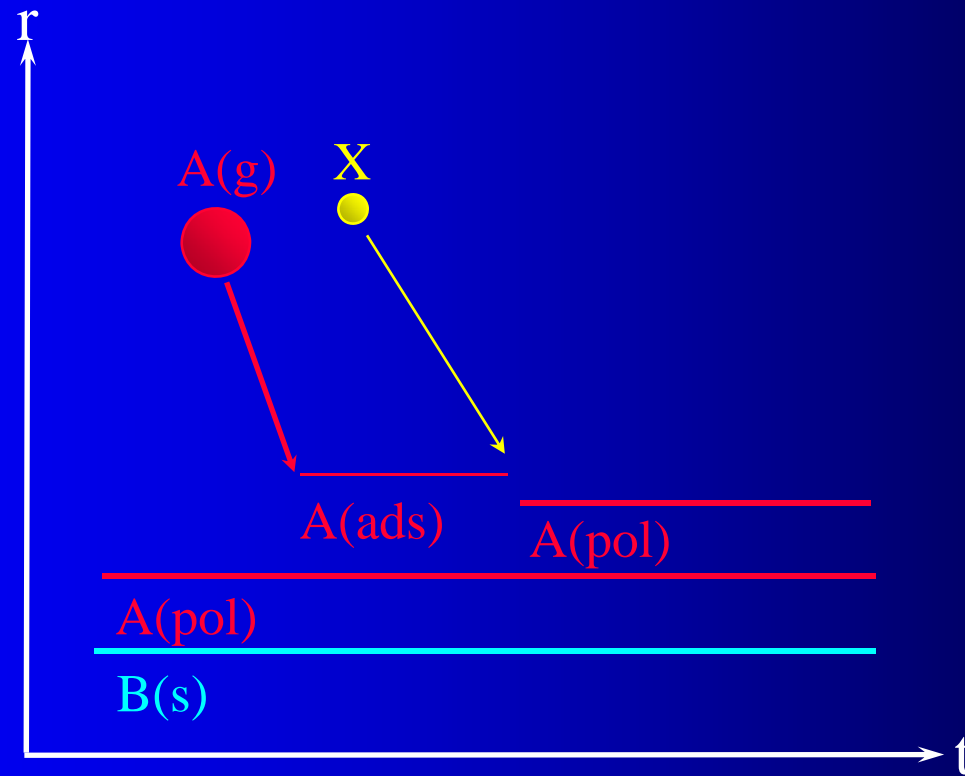
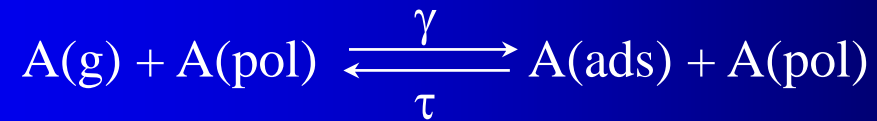
substrate (T_s) - here : probe

● monomer
here : C_6H_6



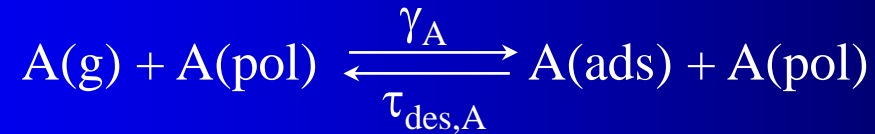
polymer
here : $(C_xH_y)_{pol}$

● ion
here : Ne^+



$$\gamma = \text{const.} \quad \tau = \tau^0 \exp\{-E_{\text{des}}/kT_s\} \quad P = n_0 \Theta \sigma j_i / e_0$$

model :



$$\frac{d n_{ads,A}}{d t} = \gamma_A j_A (1 - \Theta_A) - n_{ads,A} / \tau_{des,A} - n_{ads,A} \sigma_{pol,A} j_X = 0$$

$$\frac{d n_{pol,A}}{d t} = n_{ads,A} \sigma_{pol,A} j_X = n_0 \Theta_A \sigma_{pol,A} j_i / e_0 = P \quad W_{pol} = \frac{M_{pol}}{L \rho_{pol}} P$$

$$P = \frac{n_0 \sigma_{pol,A} \gamma_A j_A j_X}{\gamma_A j_A + n_0 (1 / \tau_{des,A} + \sigma_{pol,A} j_X)}$$

$$\text{low } T_S (\Theta_A \sim 1) \longrightarrow P = n_0 \sigma_{pol,A} j_X \sim \text{const.}$$

$$\text{high } T_S (\Theta \ll 1) \longrightarrow P = \sigma_{pol,A} \gamma_A j_A j_X \tau_{des,A}^0 \exp(E_{des,A} / k T_S)$$

measurement :

$$W_{pol} \sim d R_{pol} / d t \sim f(T_S)$$

experiment :

plasma : $I = 30\text{mA}$, $p_{\text{Ne}} = 133\text{Pa}$, $p_{\text{C}_6\text{H}_6} = 6.7\text{Pa}$
substrate : heated probe $r_p = 13\mu\text{m}$, $l_p = 8\text{mm}$

measurement : $W_{\text{pol}} \sim d R_{\text{pol}} / d t \sim f(T_S)$
(resistance of the polymer layer at different temperatures)

measurement by the change of the floating potential :

$$\frac{d}{dt} \left| \frac{\Delta U_{\text{fl}}}{U_{\text{fl}}} \right| = 1/R_E (d R_{\text{pol}} / dt) \quad \text{if } R_p < R_{\text{pol}} \ll R_E$$

$$= 1/R_E (\rho_{\text{pol}} / (2\pi l_p r_p) W)$$

$$\text{mit } \rho_{\text{pol}} = \rho_{\text{pol}}^0 (1 + \alpha \Delta T_S)$$

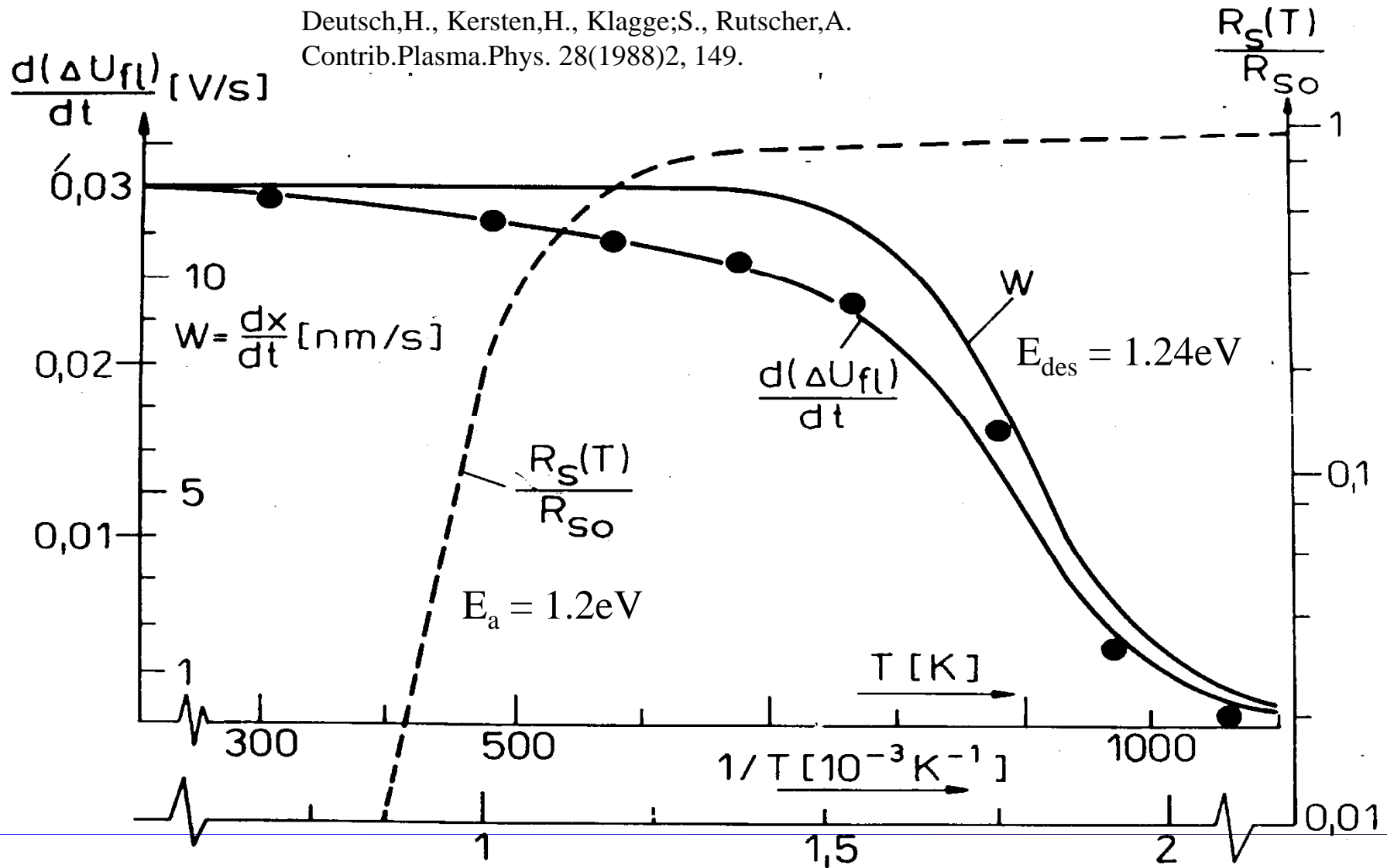
$(\rho_{\text{pol}}^0 = 2 \cdot 10^9 \Omega\text{cm}, \alpha = -3.5 \cdot 10^{-4} \text{K}^{-1})$

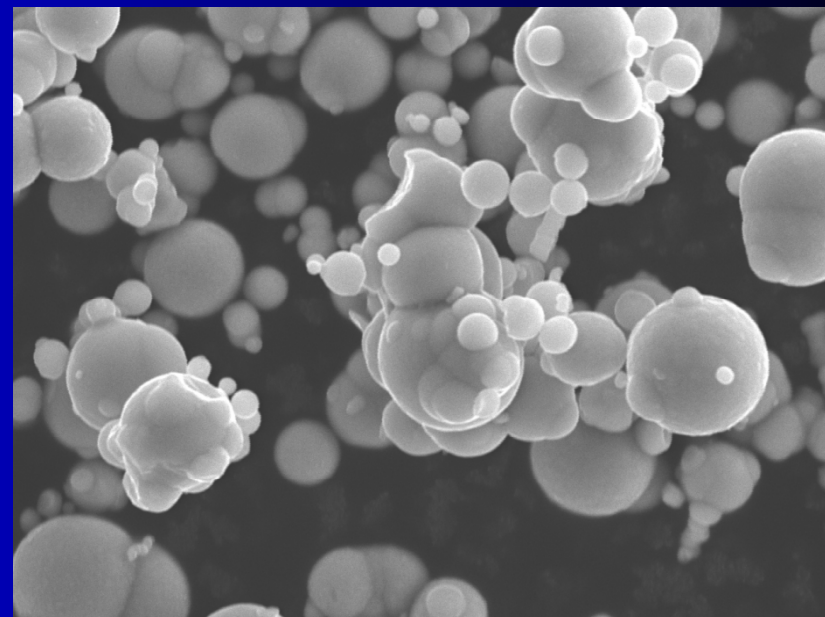
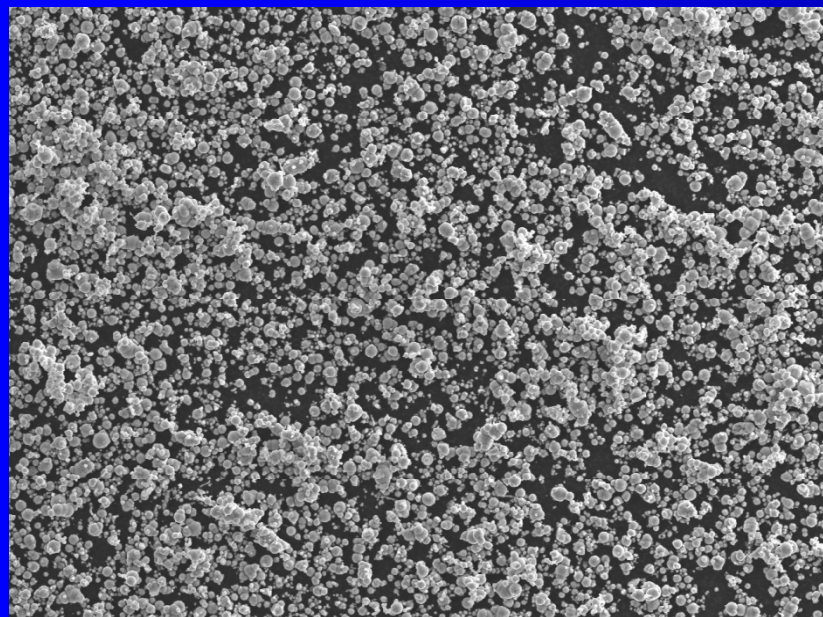
measurement by the film resistance of the probe before and after polymerization :

$$d R_{\text{pol}} / dt = \frac{(d I_p / d U_p)^{-1}(t) - (d I_p / d U_p)^{-1}(t=0)}{\Delta t}$$

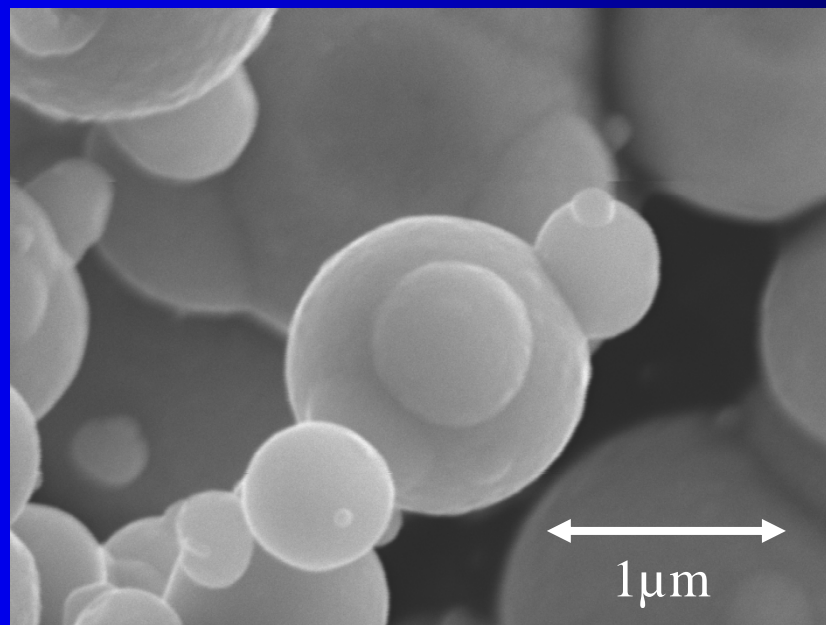
model and experiment : plasma polymerization

Deutsch,H., Kersten,H., Klagge,S., Rutscher,A.
 Contrib.Plasma.Phys. 28(1988)2, 149.

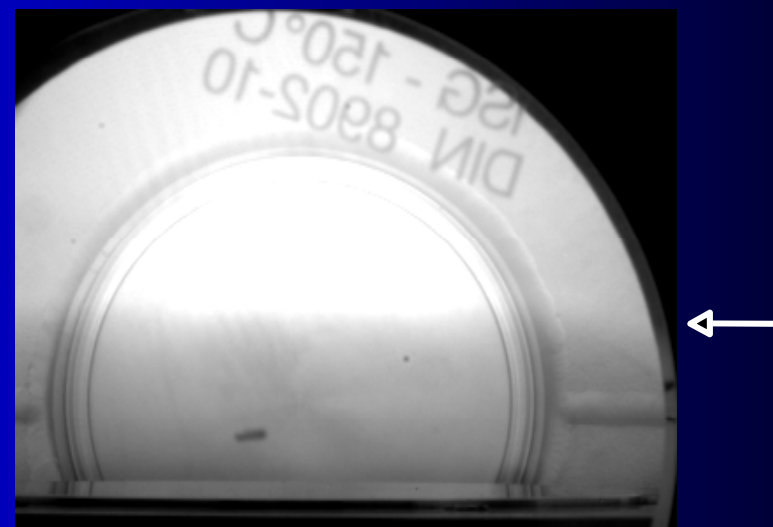
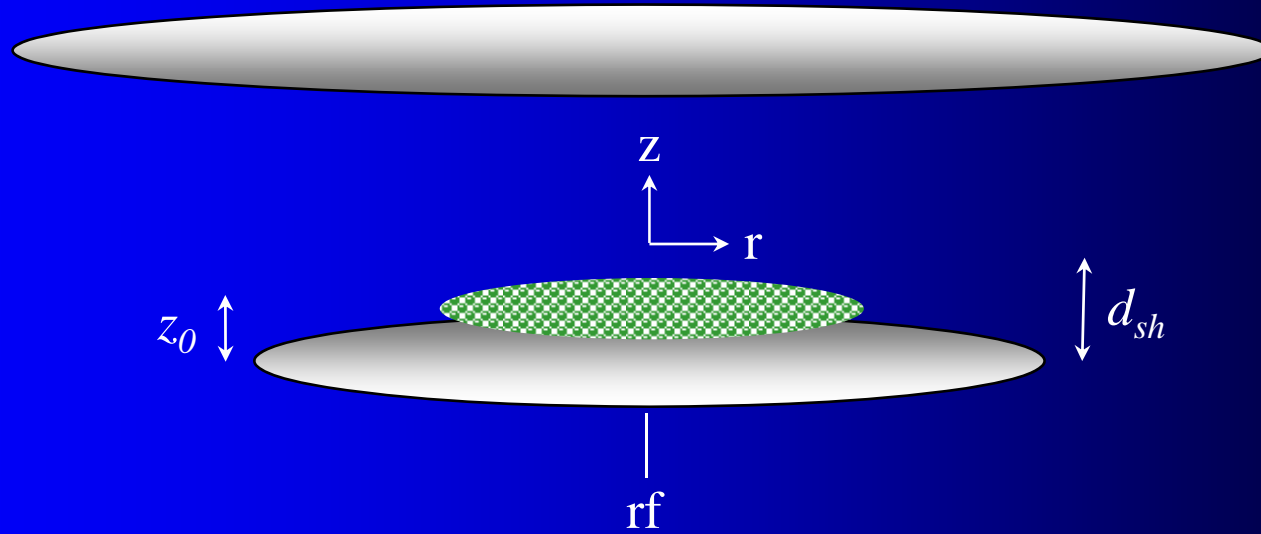




original particles
(e.g. c-Fe)



at positions, where $F_{ges} = \sum F_x = 0$, the particles are confined
→ dust particles are confined in plasma



modification of powders in plasma

goal : to tailor particle properties for specific purposes
(size, shape, chemical activity, optical properties,)

e.g. for

- chemical catalysis (large specific surfaces)
- improvement of optical properties of powder particles, pigments
- surface treatment in respect to powder sintering

here : coating of Fe particles ($\sim 2\mu\text{m}$) with Al ($\sim 70\text{nm}$)
by an rf-discharge (charging and confinement)
and dc-magnetron sputtering

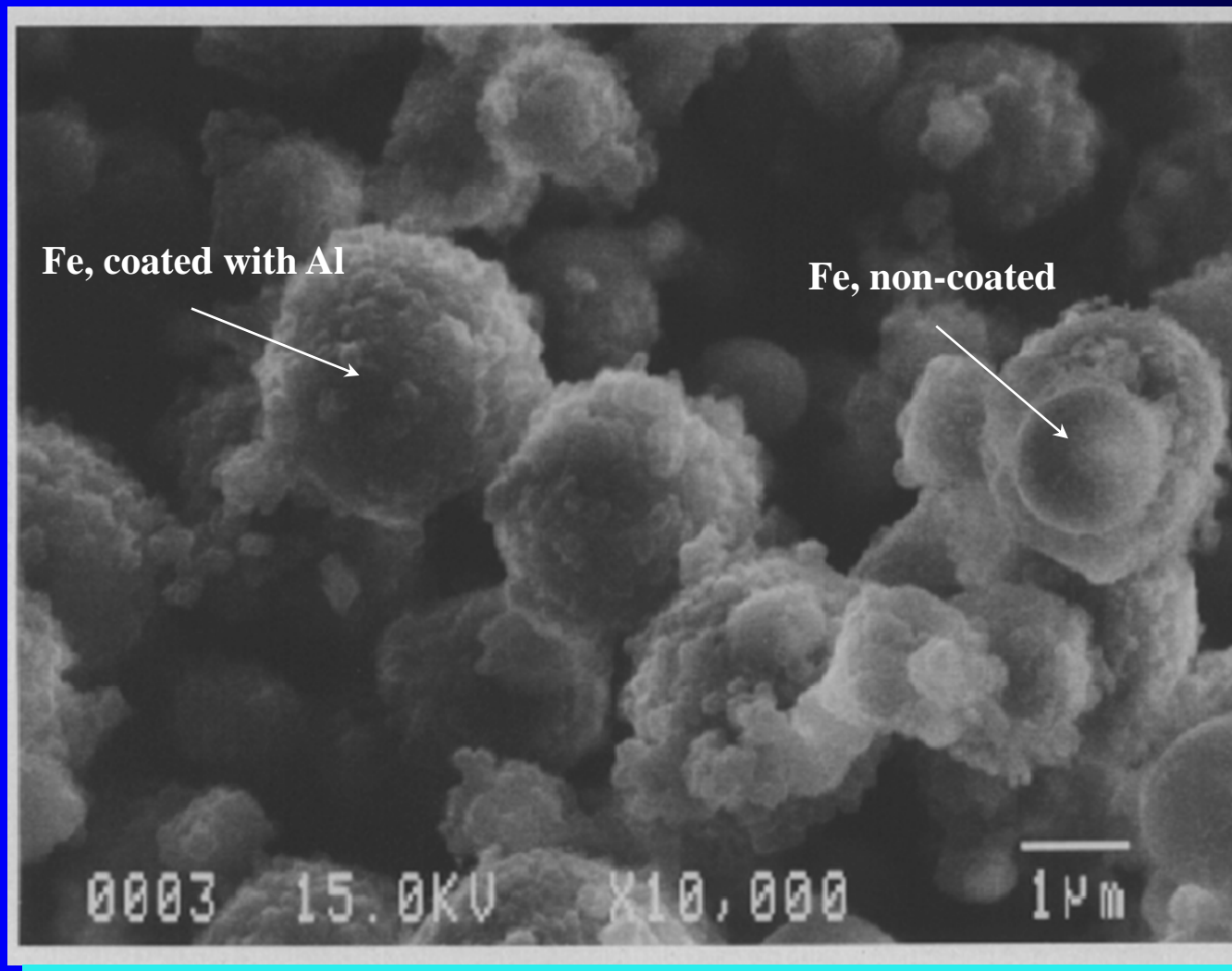
feasibility ?

functionality ?

efficiency ?

etc. ?

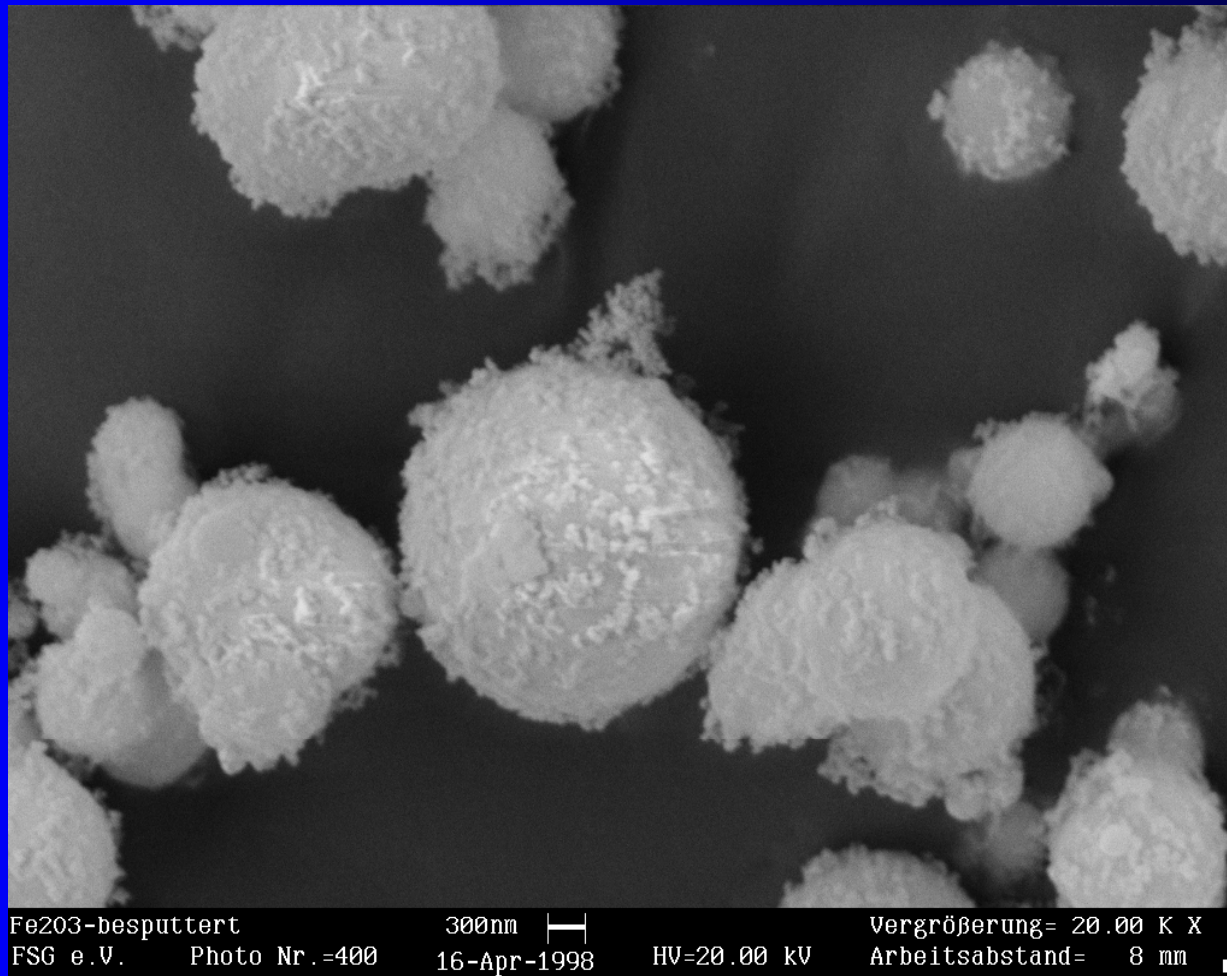
treated powder particles



Al onto Fe, 150 ... 200nm, compact coating

*Kersten,H., Schmetz,P., Kroesen,G.M.W.,
Surface and Coatings Technology 108-109(1998), 507.*

c-Fe powder, partly coated by Al



deposition of Al (< 50nm) onto particles

⇒ islands on each particle

⇒ change of chemical properties (catalysis)