

# Physik der Festkörperoberflächen und Grenzflächen

-

## Oberflächenphysik I

Termin: dienstags, LS 19 514, 10:15- 11:45

Alexander Weismann

[weismann@physik.uni-kiel.de](mailto:weismann@physik.uni-kiel.de)

[www.ieap.uni-kiel.de/surface/ag-berndt](http://www.ieap.uni-kiel.de/surface/ag-berndt)

PDFs auf Physikseiten Oberflächenphysik I  
ID, PWD: werden noch bekannt gegeben

# Contents

- Geometry
- Methods (diffraction, real space, spectroscopies)
- Electronic structure (surface states, adsorbates)
- Vacuum technology
- Dynamics (surface phonons, diffusion)
- Nucleation and growth
- Molecules at surfaces
- Nanoscience (molecular electronics, spintronics)

# Literature: General

- H. Ibach, *Physics of Surfaces and Interfaces* (Springer, 2006)
- A. Zangwill, *Physics at surfaces* (Cambridge University Press, 1998)
- H. Lüth, *Surfaces and interfaces of solid materials* (Springer, 1995)
- M. Henzler, W. Göpel, *Oberflächenphysik des Festkörpers* (Teubner, 1994)
- G. Ertl, J. Küppers, *Low energy electrons and surface chemistry* (VCH 1974)
- Jörg Kröger, *Physics of surfaces and interfaces*, Lecture notes, CAU Kiel (now: TU Ilmenau)
  
- G. A. Somorjai, Y. Li, *Surface Chemistry and Catalysis* (Wiley, 2010)
- K. Christmann, *Introduction to Surface Physical Chemistry* (Steinkopff, Springer)
- A. Adamson, A. Gast, *Physical Chemistry of Surfaces* (Wiley 1997)
- R. Hoffmann, *Solids and Surfaces: A Chemist's View of Bonding in Extended Structures* (VCH)
  
- J. A. Venables, *Introduction to Surface and Thin Film Processes* (Cambridge, 2000)
- M.C. Desjonquères, D. Spanjaard, *Concepts in surface physics* (Springer, 1996)
- F. Bechstedt, *Principles of Surface Physics* (Springer, 2003)
- A. Gross, *Basic Theoretical Surface Science* (Springer, 2003)
- S.G. Davison, M. Stęślicka, *Basic Theory of Surface States* (Clarendon, Oxford, 1996)

# Books you already read ...

- H. Ibach and H. Lüth, *Solid-State Physics* (Springer, Berlin, 2003)
- N.W. Ashcroft and N.D. Mermin, *Solid State Physics* (Saunders, New York, 1976)
- C. Kittel, *Introduction to Solid State Physics* (Wiley, 2005)
- Bergmann, Schaefer, *Lehrbuch der Experimentalphysik Band 6: Festkörper* (Walter de Gruyter, Berlin, 2005)

# Techniques

- H. Kuzmany, *Solid-State Spectroscopy* (Springer, Berlin, 1998)
- K. Oura et al., *Surface science* (Springer, 2003)
- D.P. Woodruff, T.A. Delchar, *Modern techniques of surface science* (Cambridge University Press, 1994)
- D.J. O'Connor et al., *Surface analysis methods in materials science* (Springer, 2003)
- L. G. Feldman et al., "Fundamentals of Surface and Thin Film Analysis"
- J. T. Yates Jr., *Experimental innovations in surface science* (AIP Press, 1998)
- W. Umrath, *Grundlagen der Vakuumtechnik* (Leybold, 1997)
  
- J.H. Moore et al., *Building scientific apparatus* (Perseus, 1991)

# Why Surface Physics ?

- Objects are contacted via their surface.
- Chemical reactions: Catalysis, electrodes of batteries
- Friction and Lubrication
- Nanotechnology is Surface Physics



"Gott schuf das Volumen,  
der Teufel die Oberfläche."

(verm. Wolfgang Pauli)

# Surface Physics – Since When?

1805, 1806: Discussion of surface tension by T. Young  
and P.S. de Laplace

1830: C.F. Gauss introduces concept of surface energy

1833: Döbereiner's Feuerzeug

1874: K. F. Brauns Gleichrichter

1877: Thermodynamics by W. Gibbs, including surfaces

1906/32: I. Langmuir, PhD / Nobel prize (surface chemistry)

1927: Electron diffraction by C.J. Davisson & L. Germer

Nobel laureates 1937

1932: Electronic surface states predicted by I. Tamm



## Johann Wolfgang Döbereiner 1780–1849

Seit 1780: Fürstenberger Feuerzeug:  $\text{H}_2\text{SO}_4 + \text{Zn} > \text{H}_2 + \text{Funken}$

1810 *Außerordentlicher* Professor für Chemie, U Jena

1823  $\text{H}_2$  entzündet sich selbst bei Durchströmen von Pt-Pulver, Pt weißglühend

Später Katalyse benannt (durch Berzelius); Feuerzeug vertrieben in D & GB, 1827 - 1880

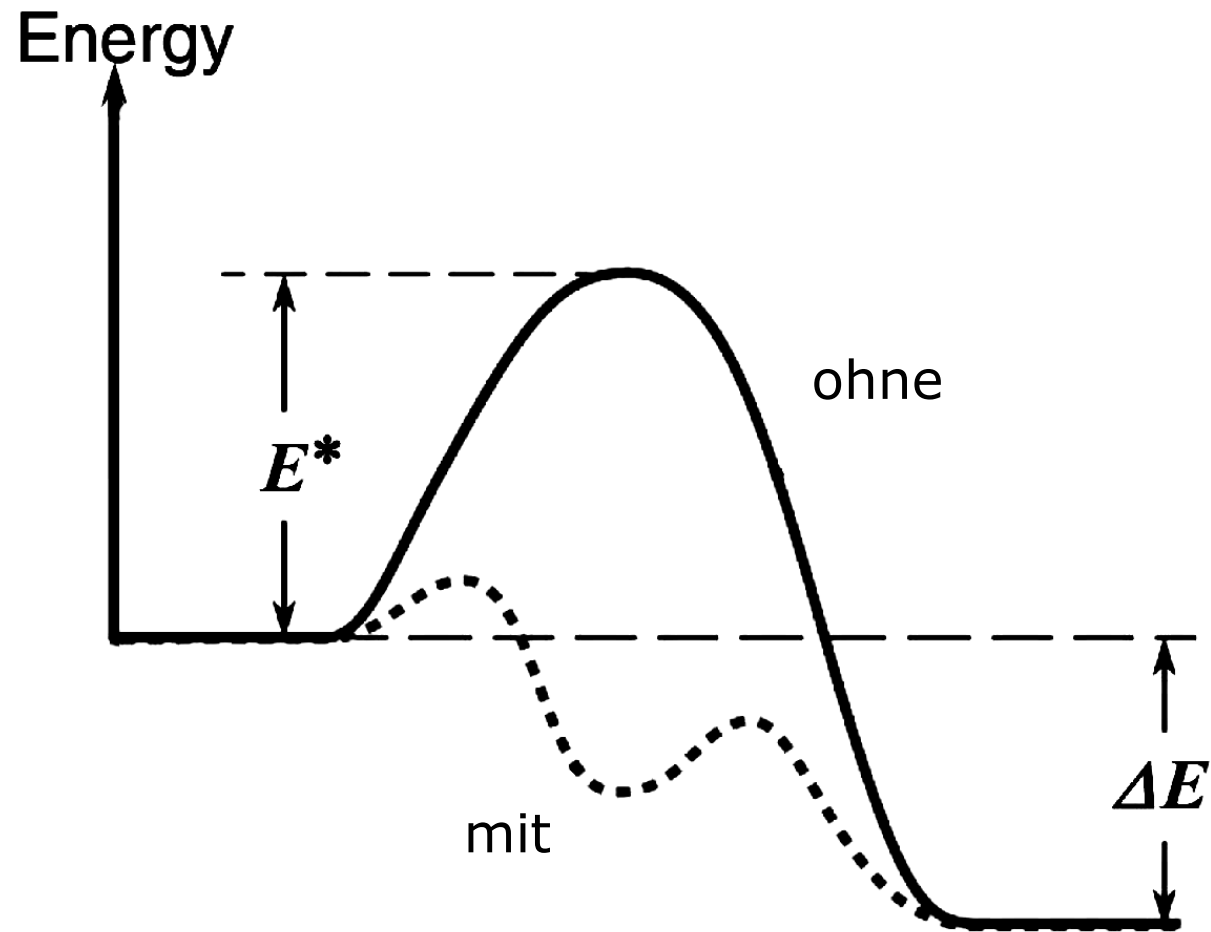


Jöns Jakob  
Berzelius



Döbereiners Feuerzeug

# Wirkprinzip eines Katalysators



## 1874 Karl Ferdinand Braun

Stapel aus C und FeS weicht von ohmschem Gesetz ab

Vermutung: dünne Oberflächenschichten relevant

Gleichrichtung



## Irving Langmuir

\* 31 January 1881, Brooklyn, NY, USA

+16 August 1957, Falmouth, MA, USA

From: [www.nobelprize.org](http://www.nobelprize.org)

Dissertation 1906 bei Nernst, Göttingen

Gasdissoziation an heißem Platindraht

3 Jahre später erfindeter  $N_2$ -Leuchtstoffröhre (bei GE)

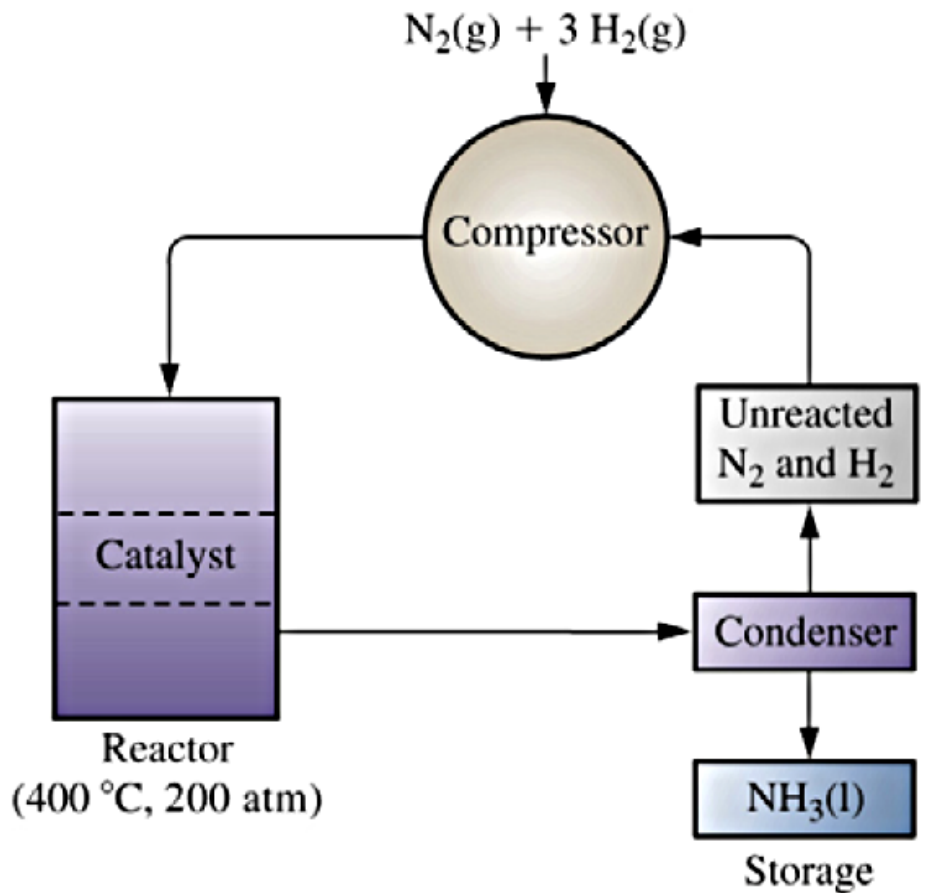
Grundlagenarbeiten zu

Adsorption, Katalyse, Austrittsarbeit

1932 Nobelpreis dafür

# “Air to Food Food” - The greatest scientific discovery of last century

- At moderate T and high p,  $\text{H}_2$  and  $\text{N}_2$  (from thin air) will form  $\text{NH}_3$ .
- One century after invention, applied all over the world:  
     $5 \times 10^8$  t/a of fertilizer sustaining 40% of the worlds population Nature 427 (2004) 498
- 1% of the world's energy budget used for a single reaction Science 297 (1654) 2002
- TWO NOBEL PRIZES FOR ONE REACTION!



# Fritz Haber 1905



1918

Haber-Bosch-Verfahren

Phosgen

Clara

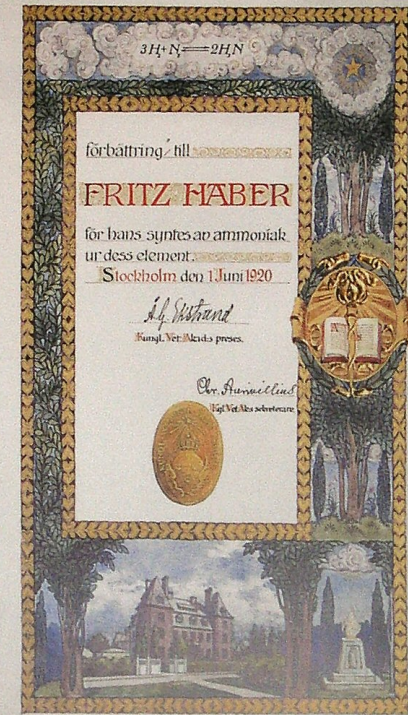
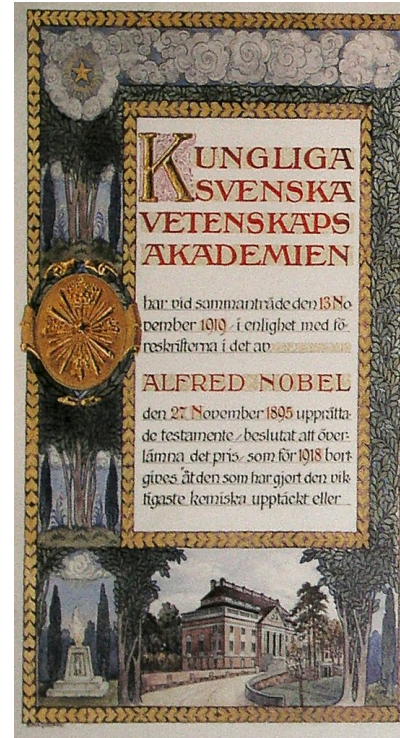
emigrierte 1933 nach Cambridge

Haber-Bosch-Verfahren

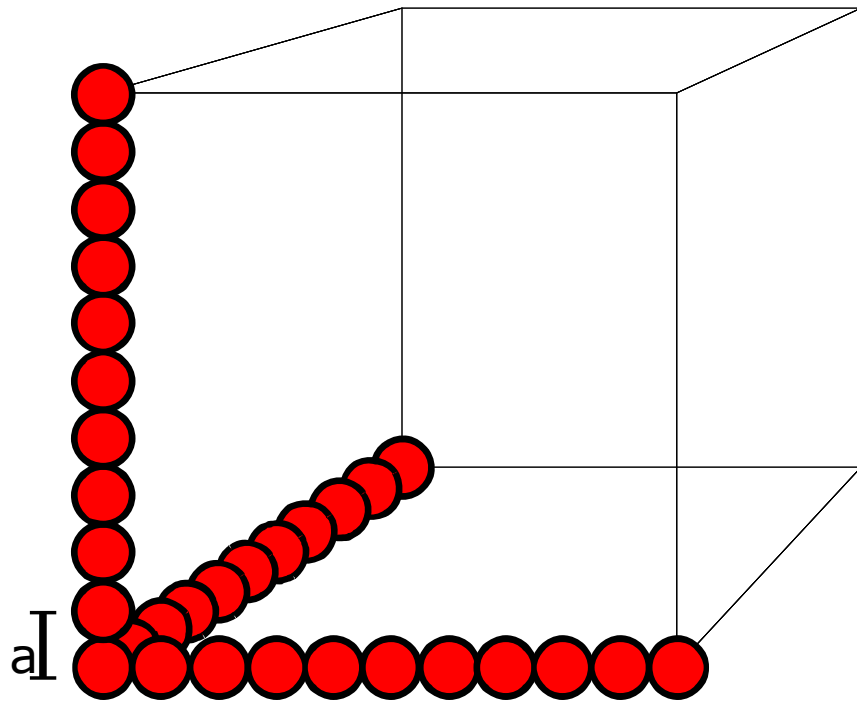
Nobelpreise für Chemie

1918 Fritz Haber

1931 Carl Bosch (mit Friedrich Bergius)

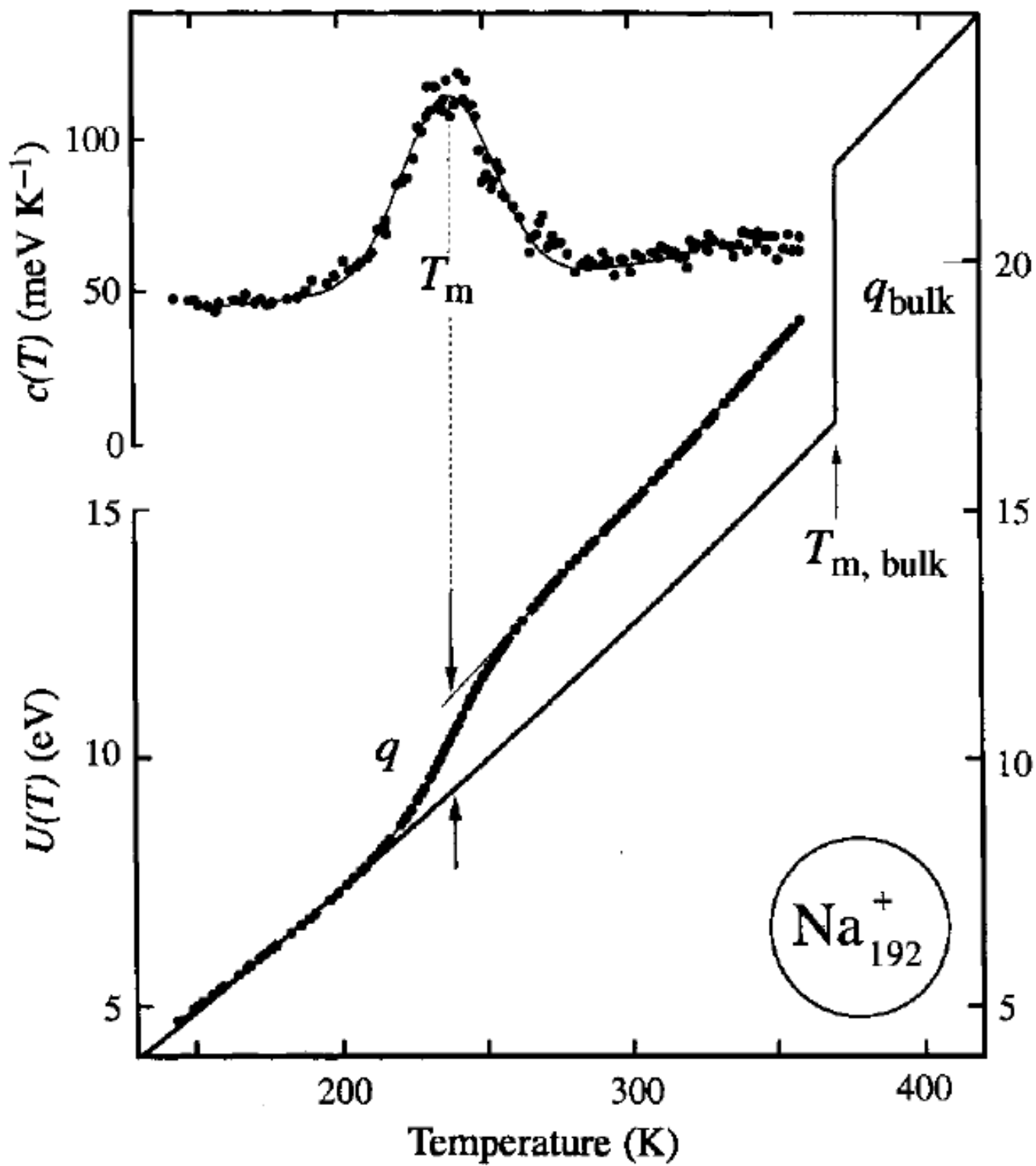


# Nanoscale: Surfaces increasingly important



Simple cubic lattice  
with lattice constant  $a$

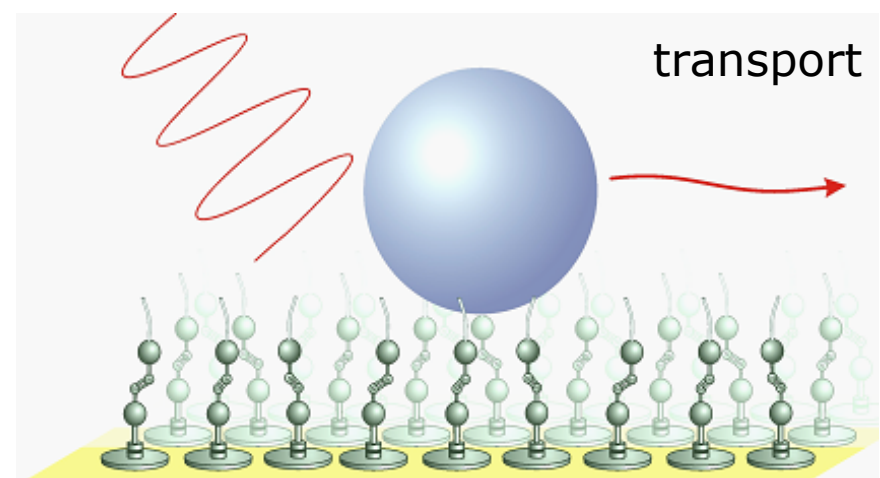
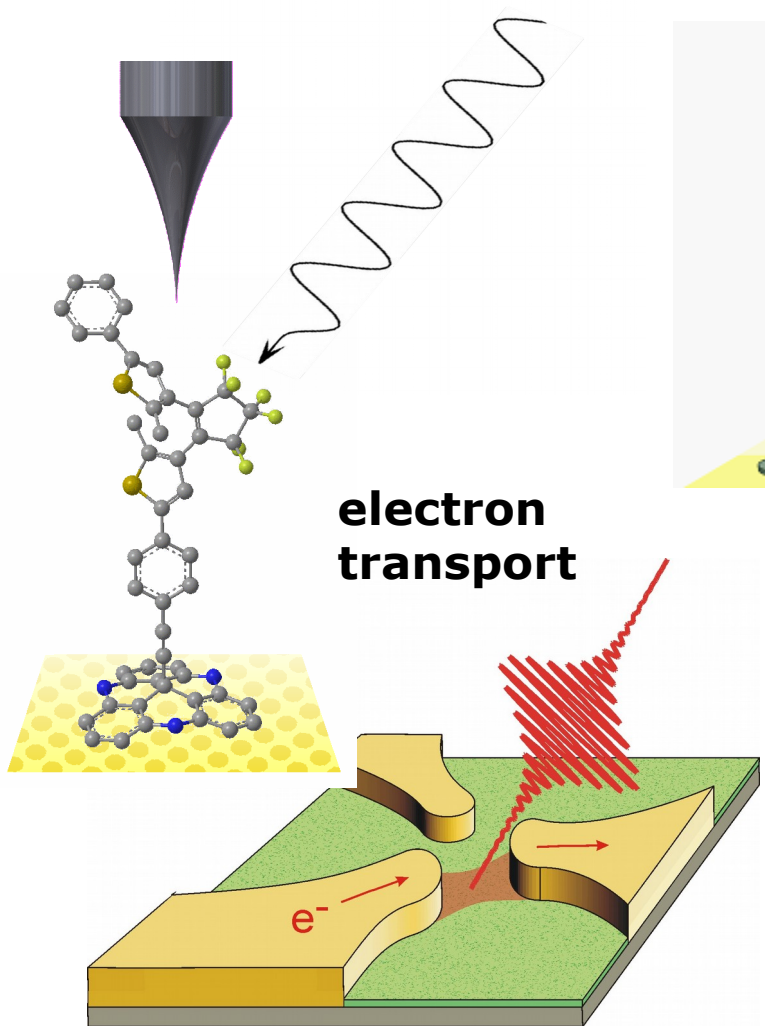
$a$ (nm)	atome/kante	Atome insg.	Atome an OF	OF/gesamt
1.000	3.333	37.037.037.037	66.626.675	0,18%
500	1.667	4.629.629.630	16.646.675	0,36%
100	333	37.037.037	662.675	1,79%
50	167	4.629.630	164.675	3,56%
10	33	37.037	6.275	16,94%
5	17	4.630	1.475	31,85%
1	3	37	35	93,60%
	$d_{\text{atom}}$		0,3	



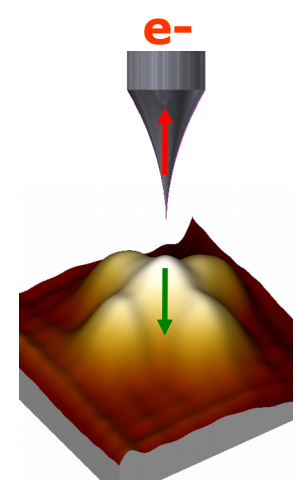


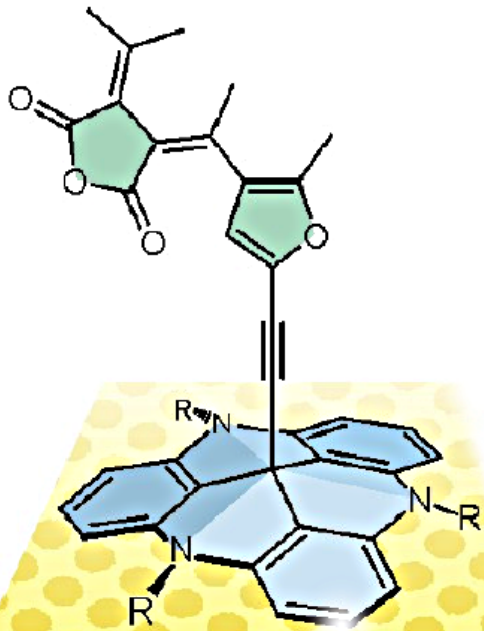
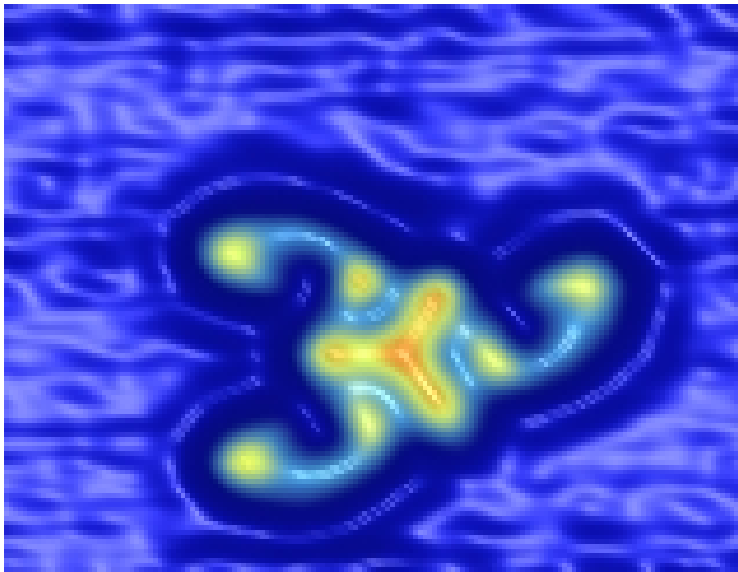
Richard Berndt

# Molecular conductors and switches at surfaces

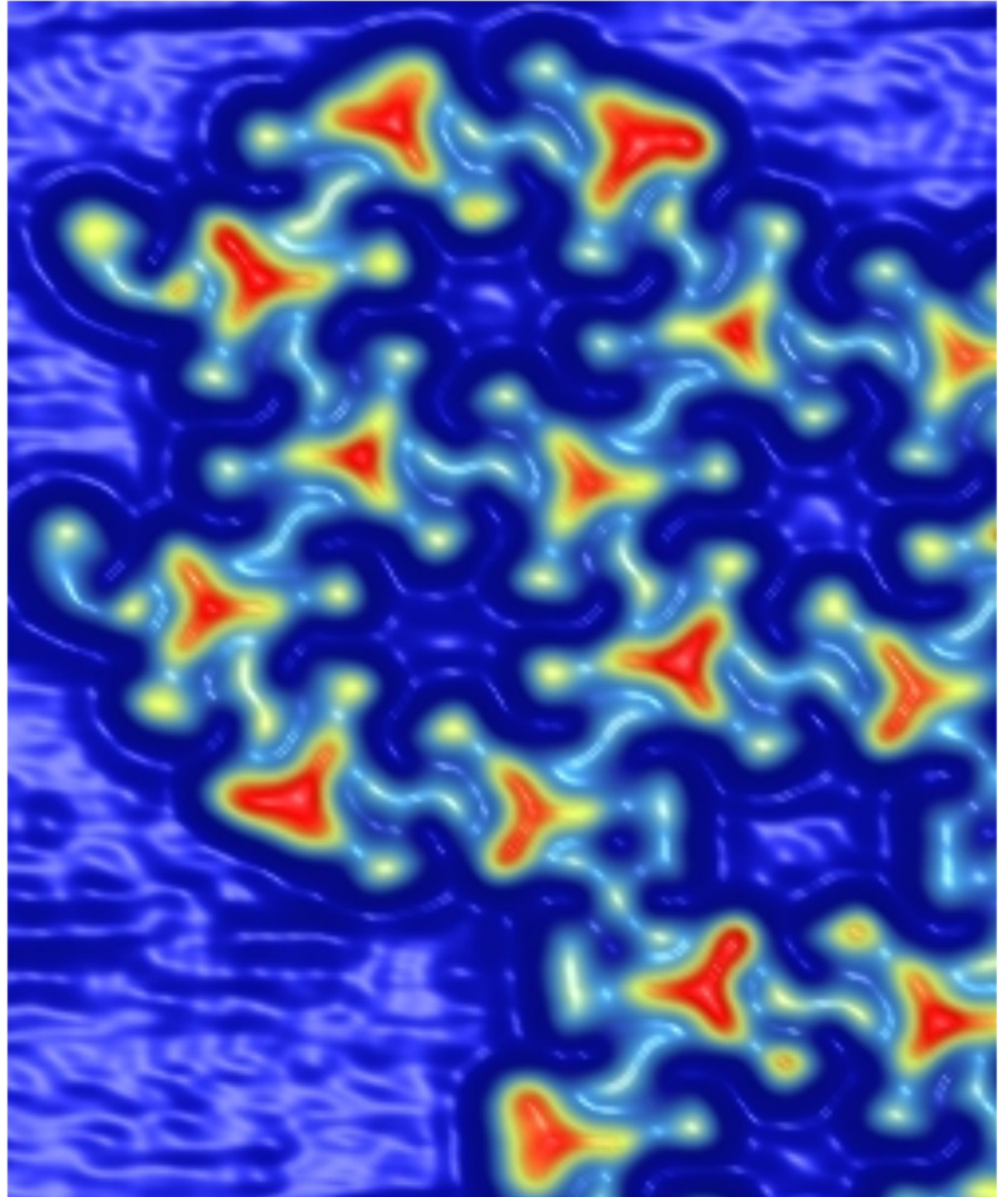


magnetic moment

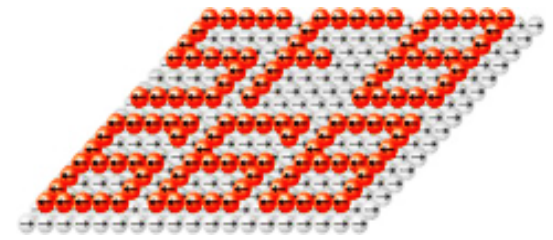




**TATA platform**

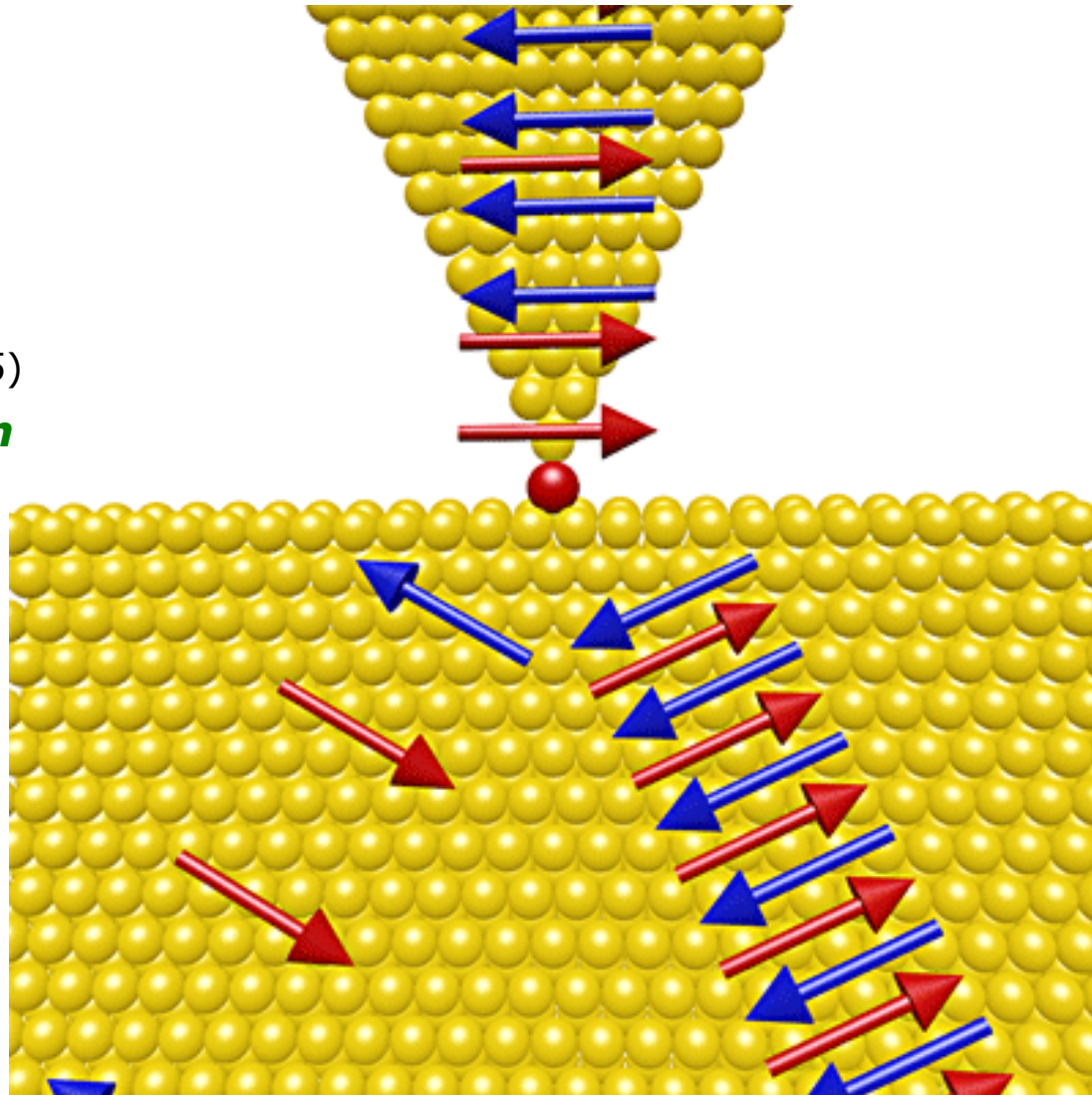


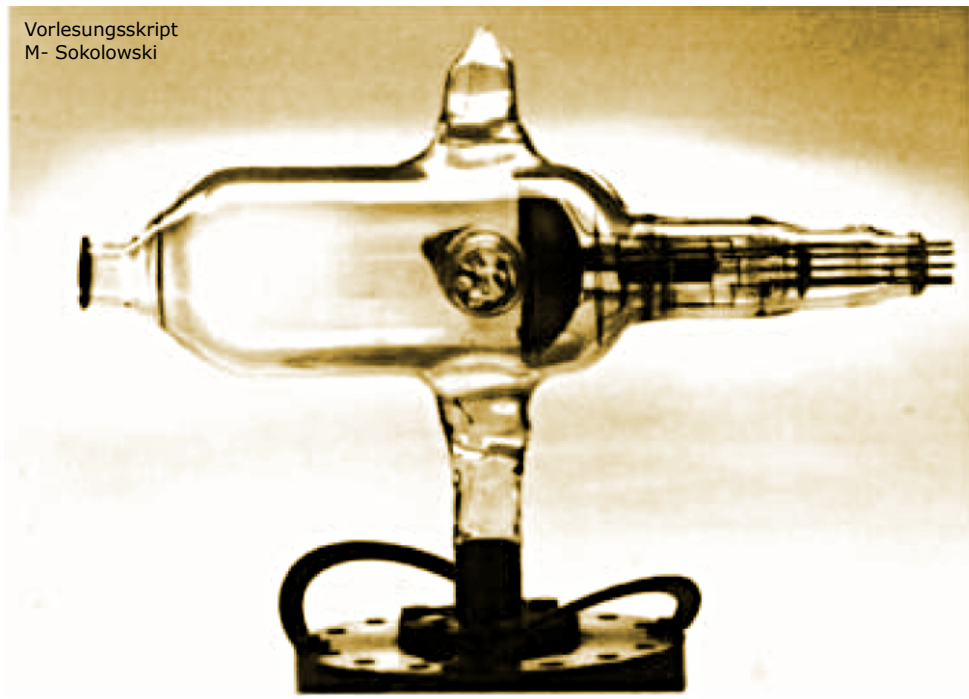
Gopakumar, unpublished



Burtzloff, Weismann,  
Brandbyge, Berndt,  
PRL **114**, 016602 (2015)

*Editors' Suggestion*



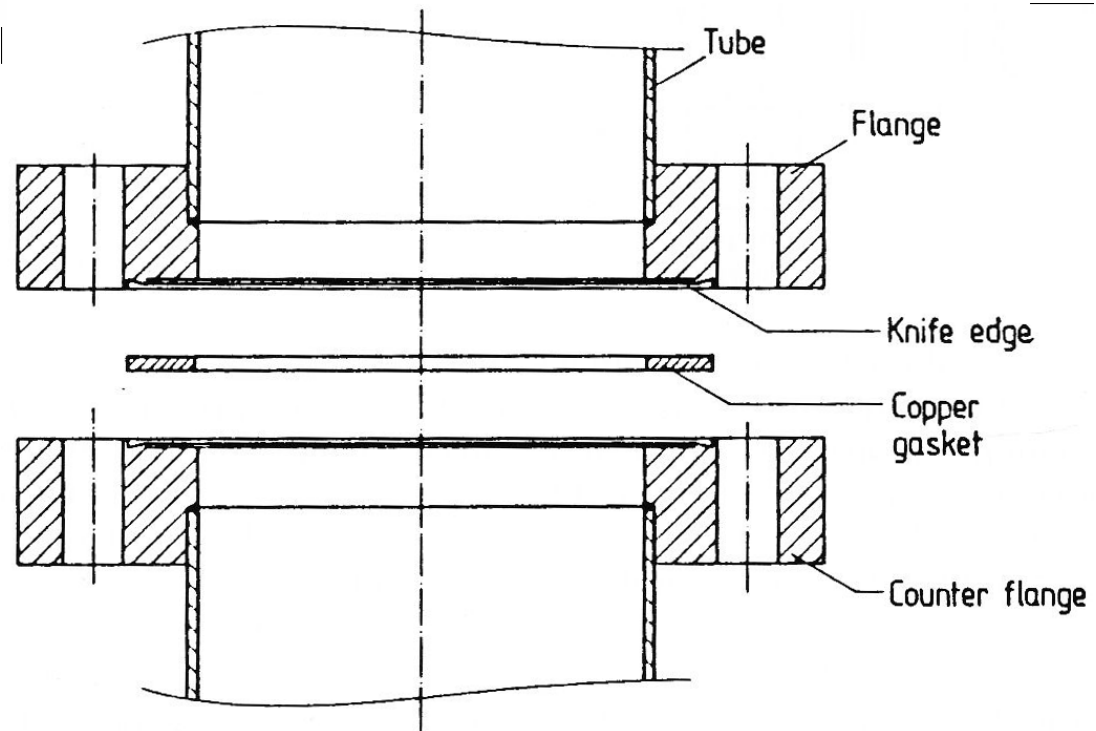


LEED-optics 1960, Prof. Dr. K. Müller, Lehrstuhl für Festkörperphysik, Universität Erlangen-Nürnberg.

## A Breakthrough for Surface Science: Ultra-High Vacuum ( $\geq 1960$ )



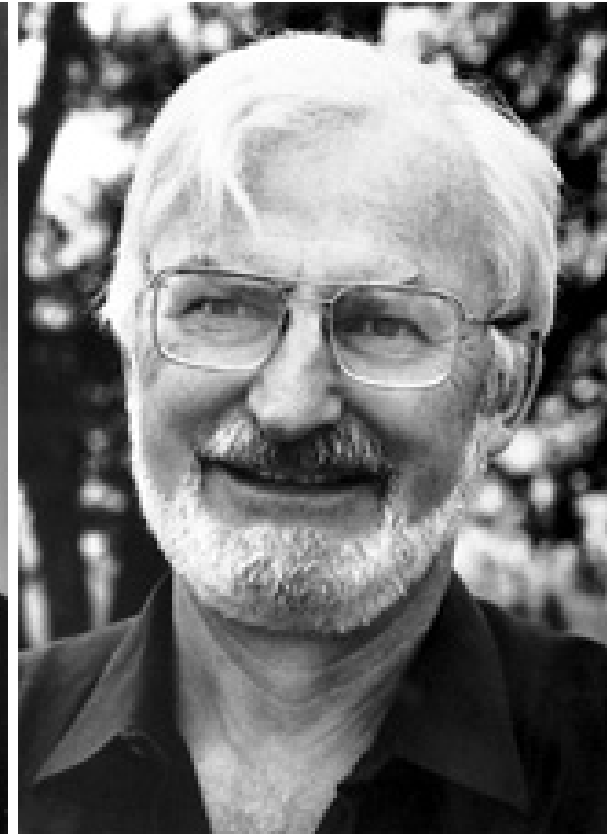
wikipedia



# Nobel Prizes: Physics



Kai M. Siegbahn  
Nobel Prize 1981  
Development of ESCA



G. Binnig, H. Rohrer  
Nobel Prize 1986  
Development STM

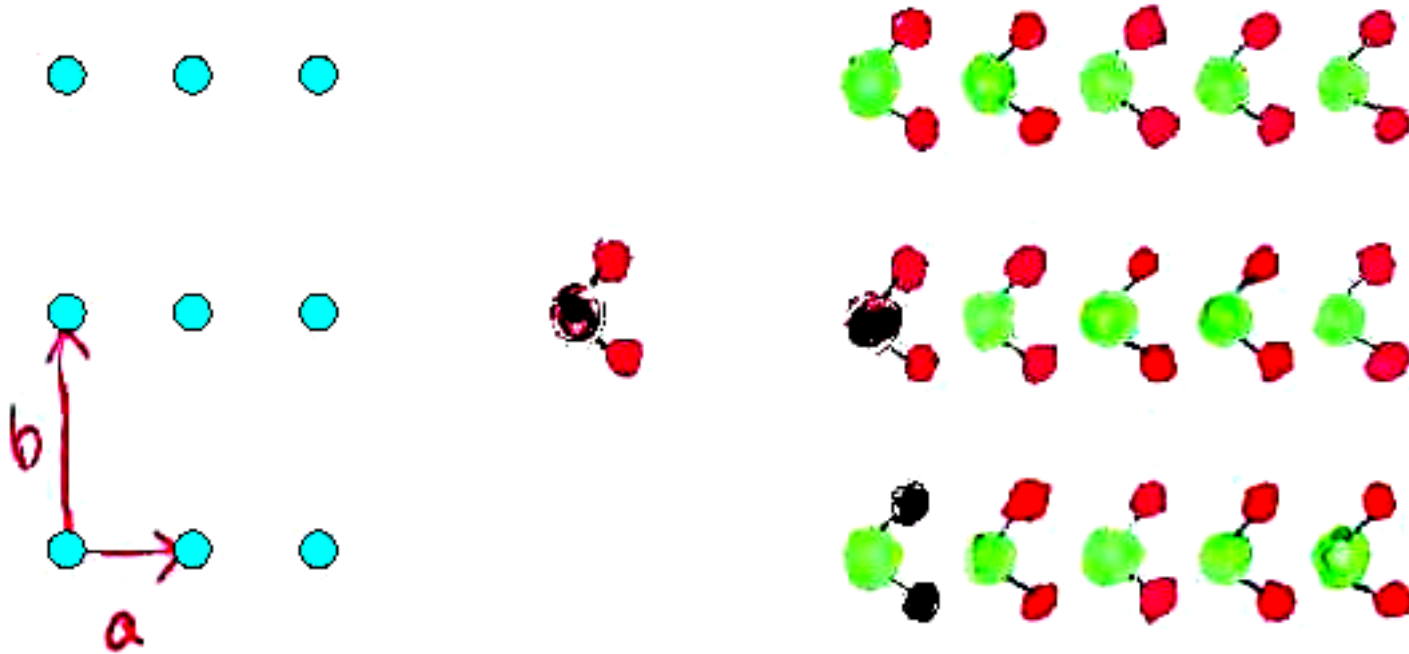


Gerhard Ertl  
Nobel Prize 2007 – Chemistry  
*Surface Chemistry*

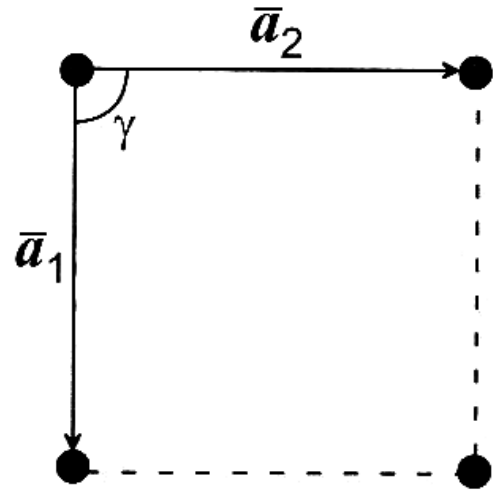


Albert Fert & Peter Grünberg  
Nobel Prize 2007 – Physics  
*Interfaces*

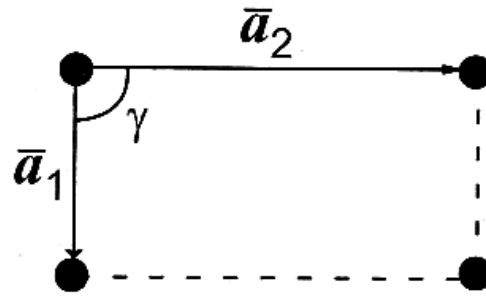
Kristallstruktur = Gitter + Basis



**Fig. 1.4.** The five two-dimensional Bravais lattices. Besides primitive unit cells (dashed lines) also a non-primitive cell (dotted lines) is shown.

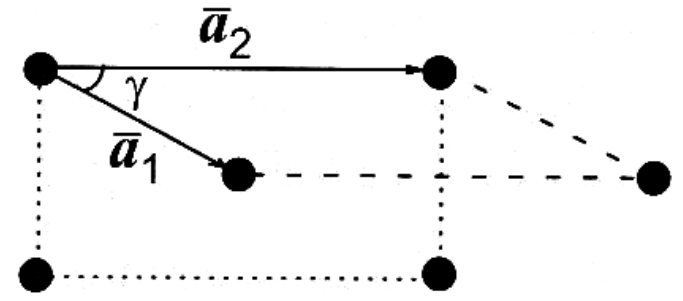


Square  $|\bar{a}_1| = |\bar{a}_2|$   
 $\gamma = 90^\circ$



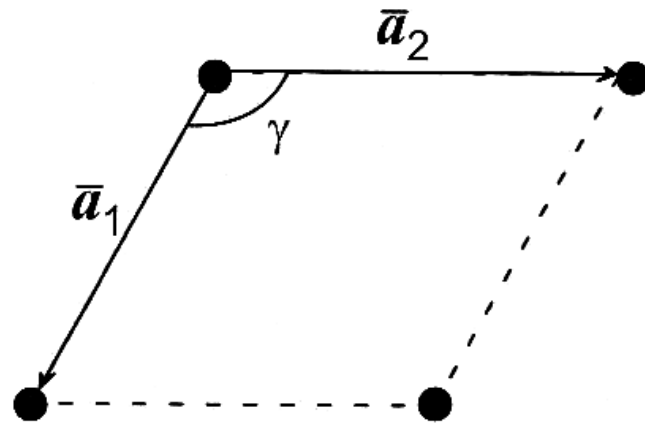
Rectangular

$|\bar{a}_1| \neq |\bar{a}_2|, \gamma = 90^\circ$

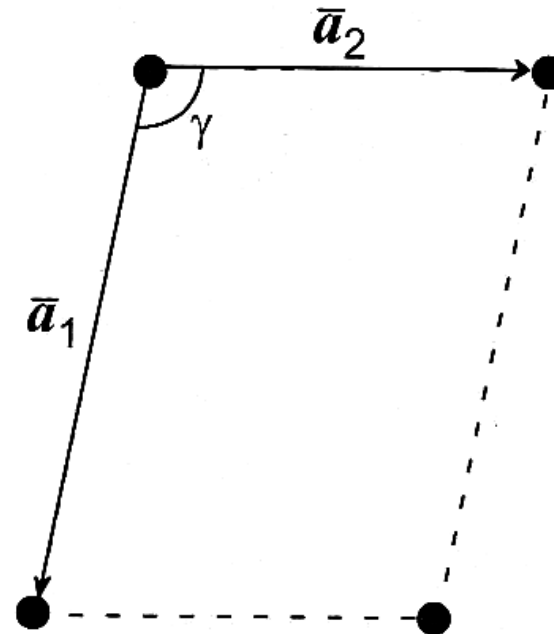


Centered rectangular

$|\bar{a}_1| \neq |\bar{a}_2|, \cos \gamma = |\bar{a}_2|/(2|\bar{a}_1|)$



Hexagonal  $|\bar{a}_1| = |\bar{a}_2|$   
 $\gamma = 120^\circ$



Oblique  $|\bar{a}_1| \neq |\bar{a}_2|$   
 $\gamma \neq 90^\circ$



# FCC

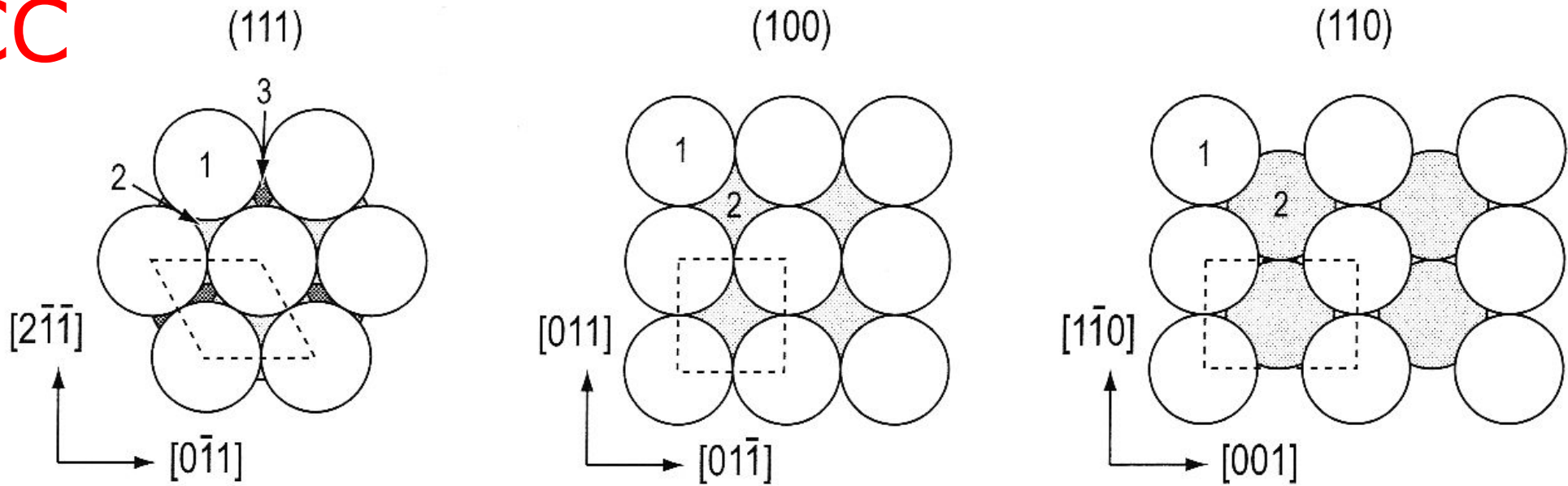


Fig. 2.6. Main low-index planes of a fcc (face-centered cubic) crystal

# BCC

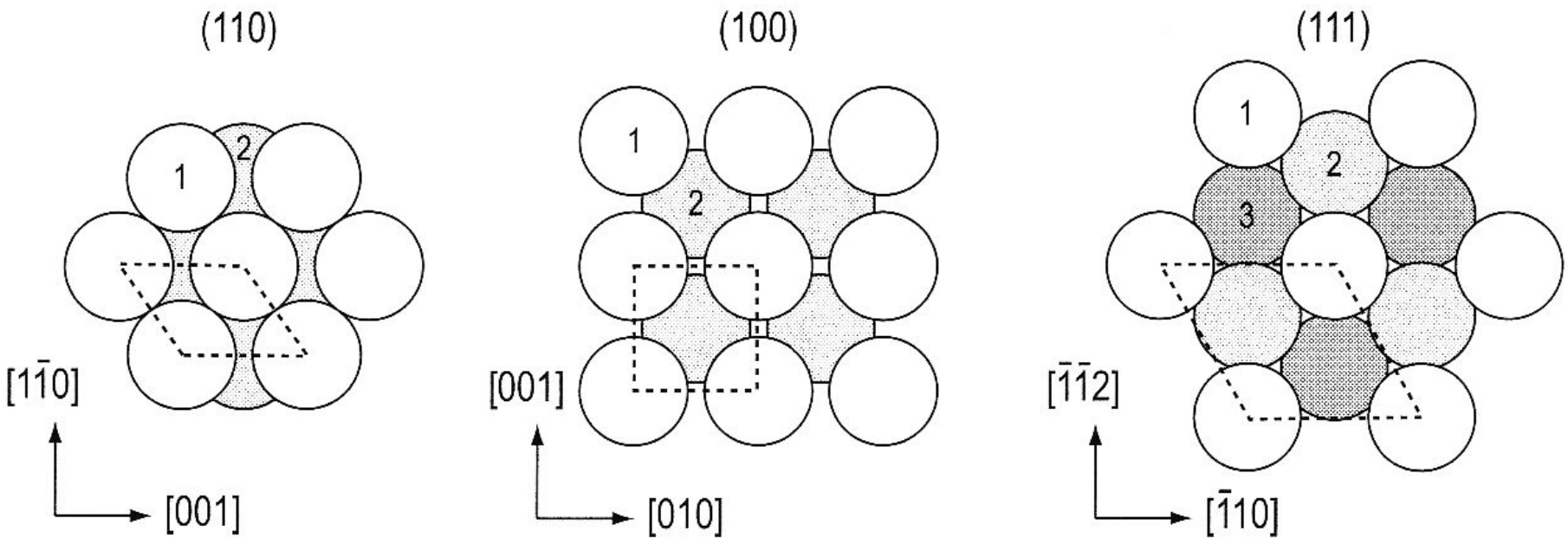
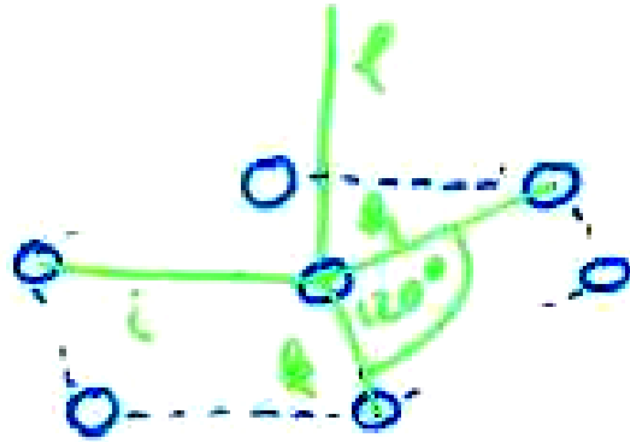


Fig. 2.7. Main low-index planes of a bcc (body-centered cubic) crystal

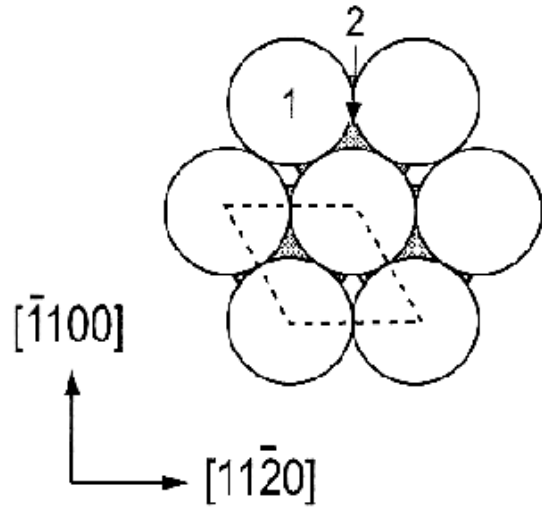
HCP: Usually 4 Miller indices



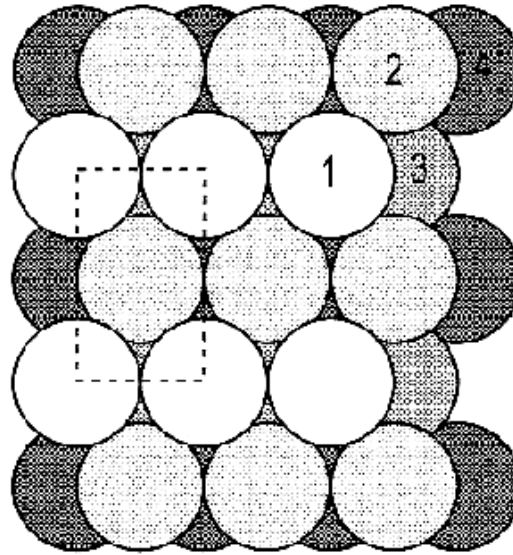
$$i = -h - k$$

# HCP

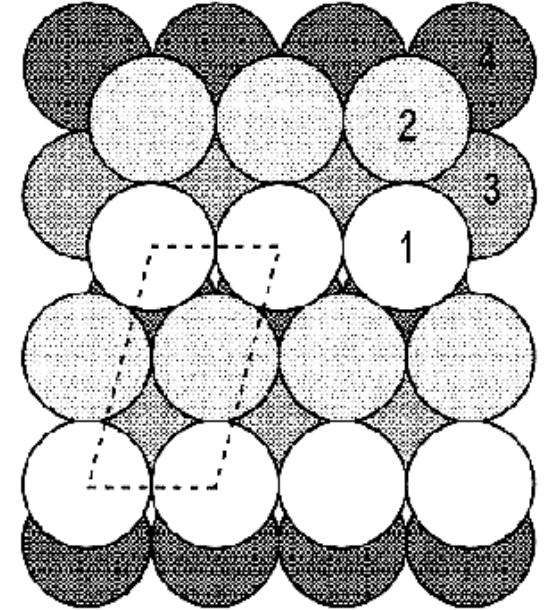
(0001)



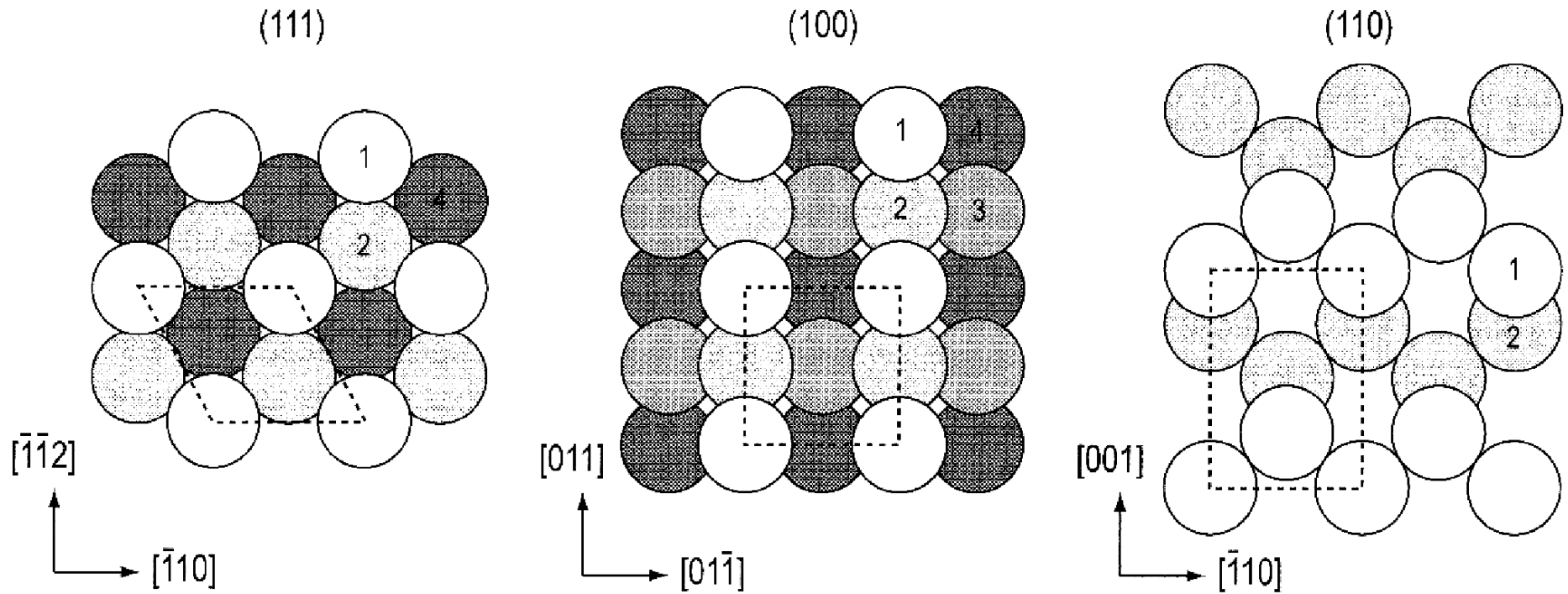
(10 $\bar{1}$ 0)



(10 $\bar{1}$ 1)



# Diamond

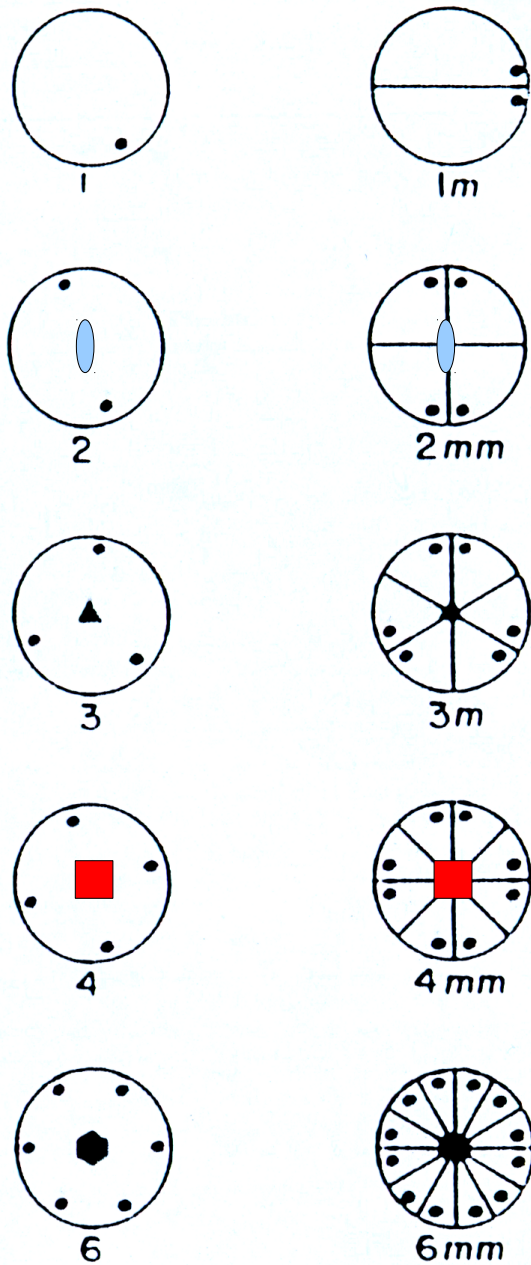


**Fig. 2.9.** Main low-index planes of a diamond crystal

'1'  $\approx$  6 Atome /  $10^{-14}$  cm<sup>2</sup>TABLE 2.4  
Surface-atom densities

<b>f.c.c. structure</b>									
Plane	(100)	(110)	(111)	(210)	(211)	(221)	(310)	(311)	(320)
Density <u>relative to (111)</u>	0.866	0.612	1.000	0.387	0.354	0.289	0.274	0.522	0.240
Metal	Al	Rh	Ir	Ni	Pd	Pt	Cu	Ag	Au
Density of (111) (atom cm <sup>-2</sup> × 10 <sup>-15</sup> )	1.415	1.599	1.574	1.864	1.534	1.503	1.772	1.387	1.394
<b>b.c.c. structure</b>									
Plane	(100)	(110)	(111)	(210)	(211)	(221)	(310)	(311)	(320)
Density <u>relative to (110)</u>	0.707	1.000	0.409	0.316	0.578	0.236	0.447	0.213	0.196
Metal	V	Nb	Ta	Cr	Mo	W	Fe		
Density of (110) (atom cm <sup>-2</sup> × 10 <sup>-15</sup> )	1.547	1.303	1.299	1.693	1.434	1.416	1.729		
<b>h.c.p. structure</b>									
Plane	(0001)	(10 $\bar{1}$ 0)	(10 $\bar{1}$ 1)	(10 $\bar{1}$ 2)	(11 $\bar{2}$ 0)	(11 $\bar{2}$ 2)			
Density <u>relative to (0001)</u>	1.000	$\frac{3}{2r}$	$\frac{\sqrt{3}}{(4r^2+3)^{\frac{1}{2}}}$	$\frac{\sqrt{3}}{(4r^2+12)^{\frac{1}{2}}}$	$\frac{1}{r}$	$\frac{1}{2(r^2+1)^{\frac{1}{2}}}$			
							$r = c/a$		
Metal	Zr	Hf	Re	Ru	Os	Co	Zn	Cd	
Density of (0001) (atom cm <sup>-2</sup> × 10 <sup>-15</sup> )	1.110	1.130	1.514	1.582	1.546	1.830	1.630	1.308	
axial ratio $r = c/a$	1.59	1.59	1.61	1.58	1.58	1.62	1.86	1.89	

# Zweidimensionale kristallographische Punktgruppen



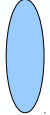




<u>n-fold rotation</u>	<u>symbol</u>
1	none
2	
3	
4	
6	
mirror line	

Fig. 3. (a) Graphical representation of the ten two-dimensional crystallographic point groups. Equivalent points are shown as dots. (b) Symbols for the various symmetry operations.

# 2d lattices: point groups and space groups

International Tables for X-Ray Crystallography (1965)

Crystal system <i>lattice symbol</i>	Point group	Space group symbols full	Space group symbols short	Space group number
Oblique <i>p (primitive)</i>	1	p1	p1	1
	2	p211	p2	2
Rectangular	m	p1m1	pm	3
		p1g1	pg	4
		c1m1	cm	5
<i>p &amp; c (centered)</i>	2mm	p2mm	pmm	6
		p2mg	pmg	7
		p2gg	pgg	8
		c2mm	cmm	9
Square <i>p</i>	4	p4	p4	10
	4mm	p4mm	p4m	11
		p4gm	p4g	12
Hexagonal <i>p</i>	3	p3	p3	13
	3m	p3m1	p3m1	14
		p31m	p31m	15
	6	p6	p6	16
6mm	p6mm	p6mm	17	

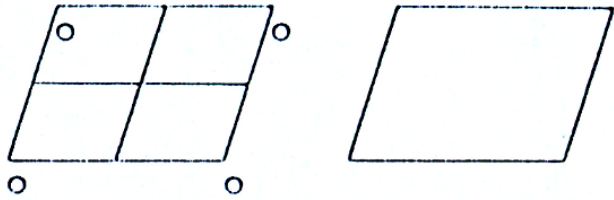
The two distinct space groups p3m1 and p31m correspond to different orientations of the point group relative to the lattice. This does not lead to distinct groups in any other case.

# Zweidimensionale kristallographische Raumgruppen

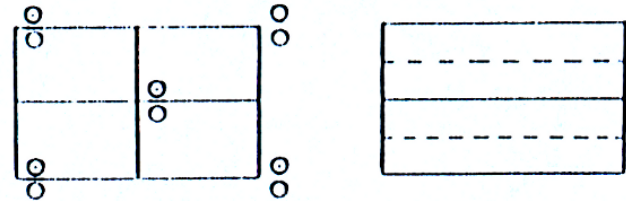
Struktur

Gruppe

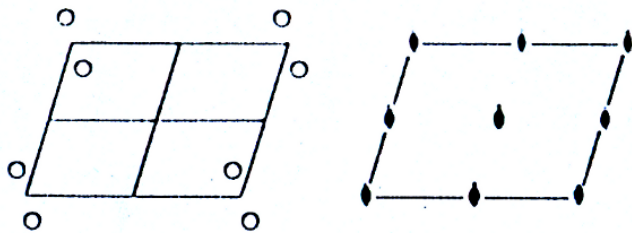
$p1$  No. 1  $p1$  1 Oblique



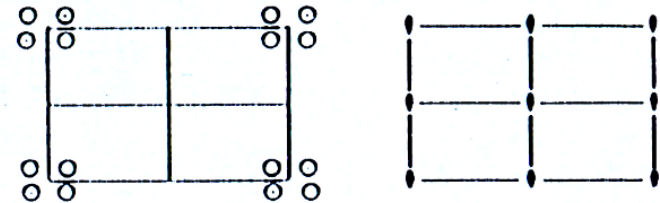
$cm$  No. 5  $c1m1$   $m$  Rectangular



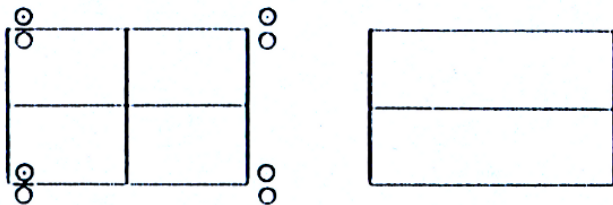
$p2$  No. 2  $p211$  2 Oblique



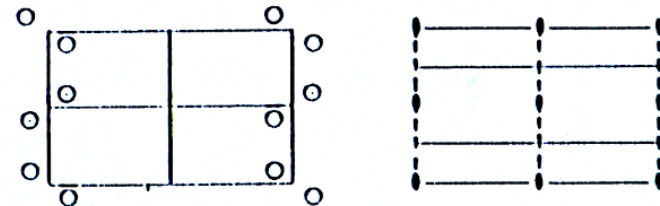
Rectangular  $mm$   $p2mm$  No. 6  $pmm$



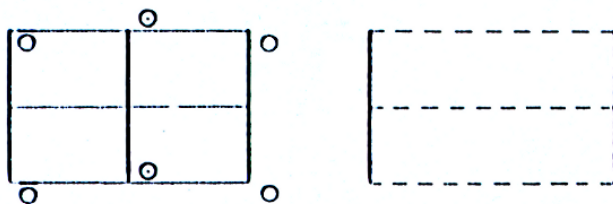
Rectangular  $m$   $p1m1$  No. 3  $pm$



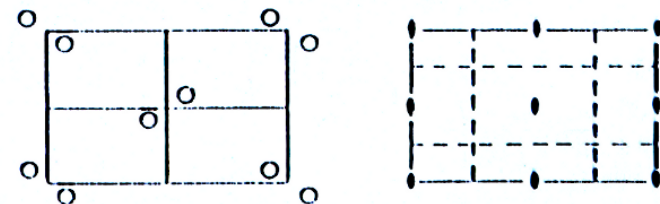
$pmg$  No. 7  $p2mg$   $mm$  Rectangular



Rectangular  $m$   $p1g1$  No. 4  $pg$



Rectangular  $mm$   $p2gg$  No. 8  $pgg$





# Zweidimensionale kristallographische Raumgruppen

*cmm*

No. 9

*c2mm*

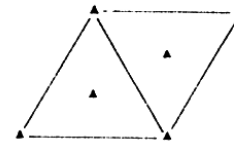
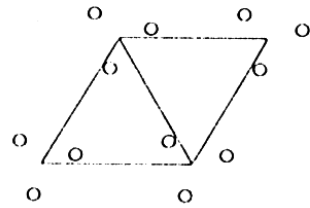
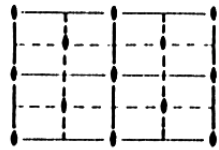
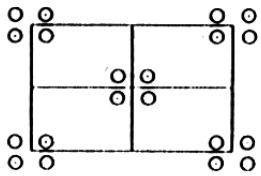
*mm* Rectangular

*p3*

No. 13

*p3*

3 Hexagonal



Square 4

*p4*

No. 10

*p4*

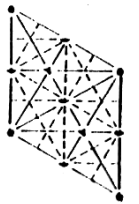
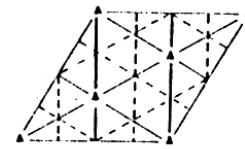
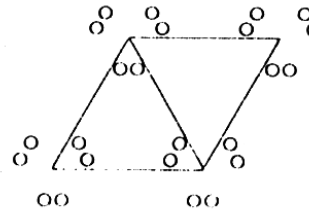
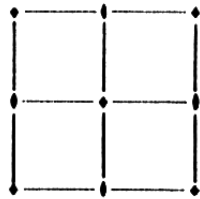
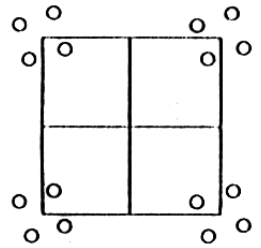
Hexagonal *3m*

*p3m1*

No. 14

*p3m1*

6 *mm* Hexagonal

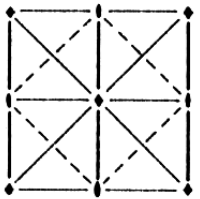
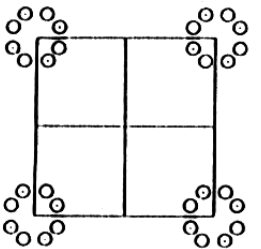


*p4m*

No. 11

*p4mm*

4 *mm* Square



*p31m*

No. 15

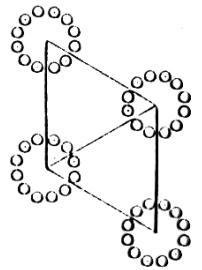
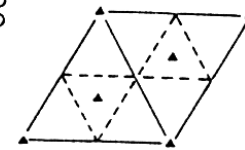
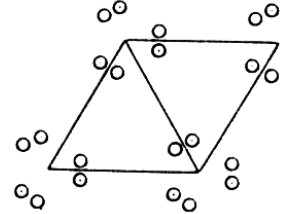
*p31m*

3 *m* Hexagonal

*p6mm*

No. 17

*p6m*



Square 4 *mm*

*p4gm*

No. 12

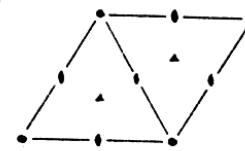
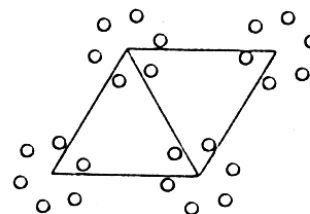
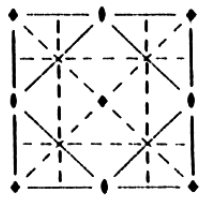
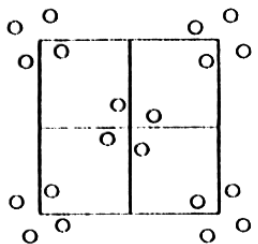
*p4g*

Hexagonal 6

*p6*

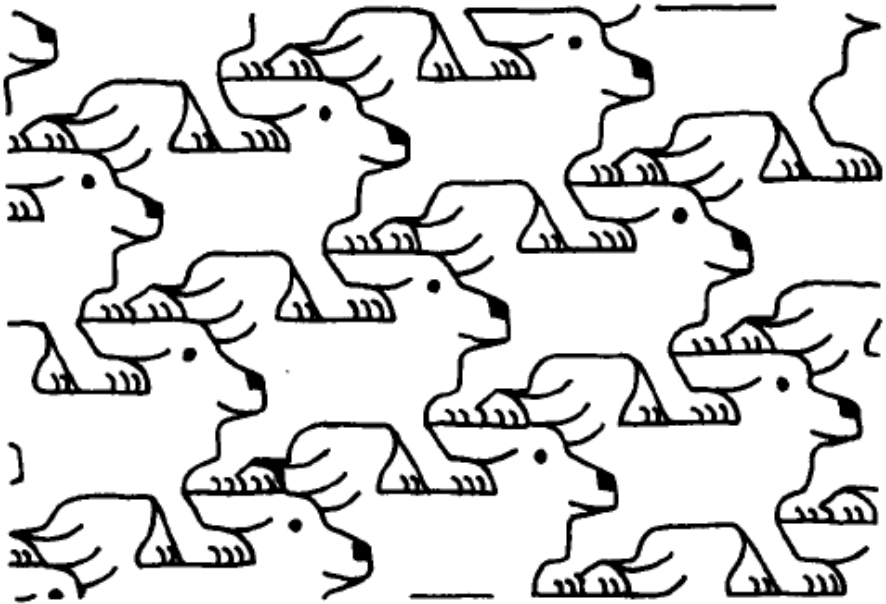
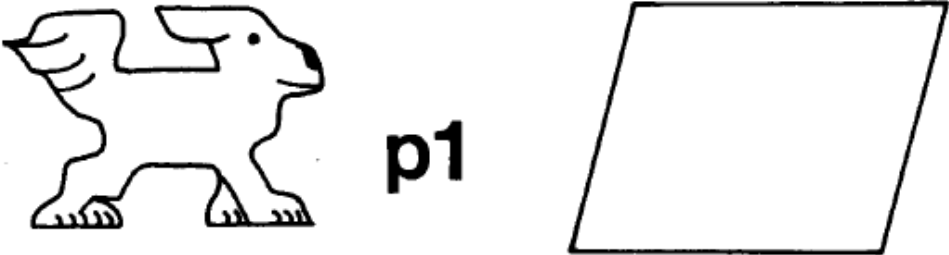
No. 16

*p6*

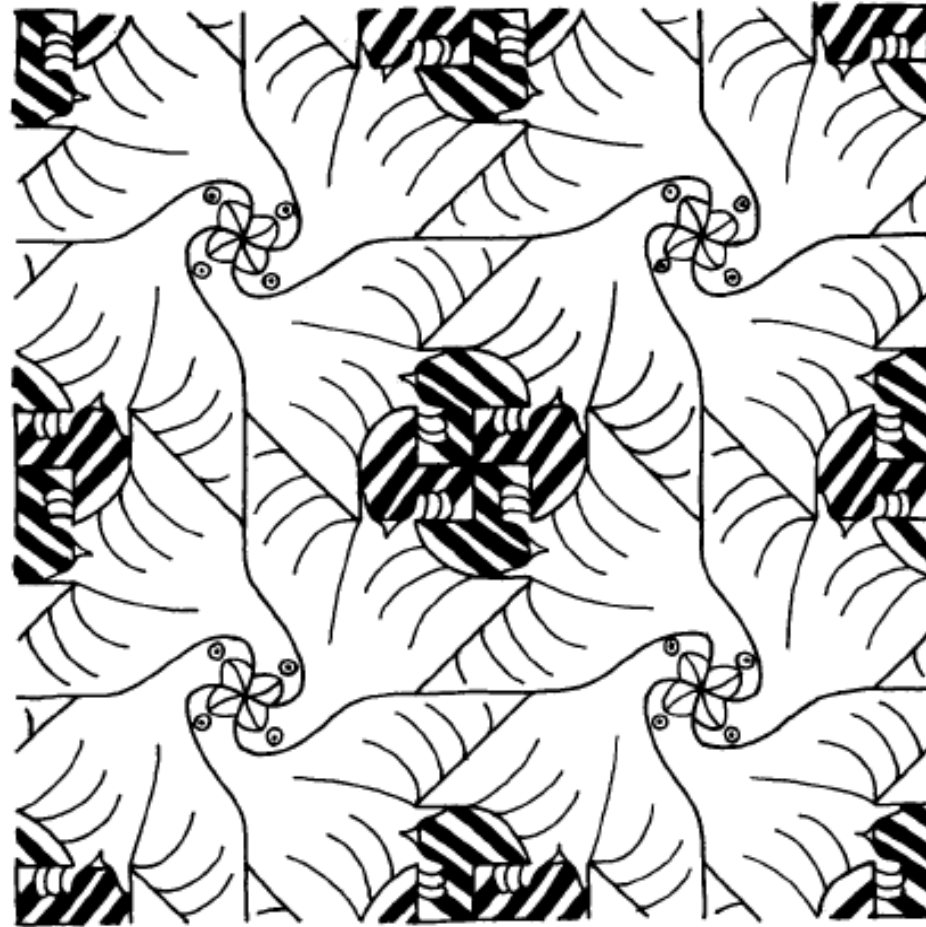


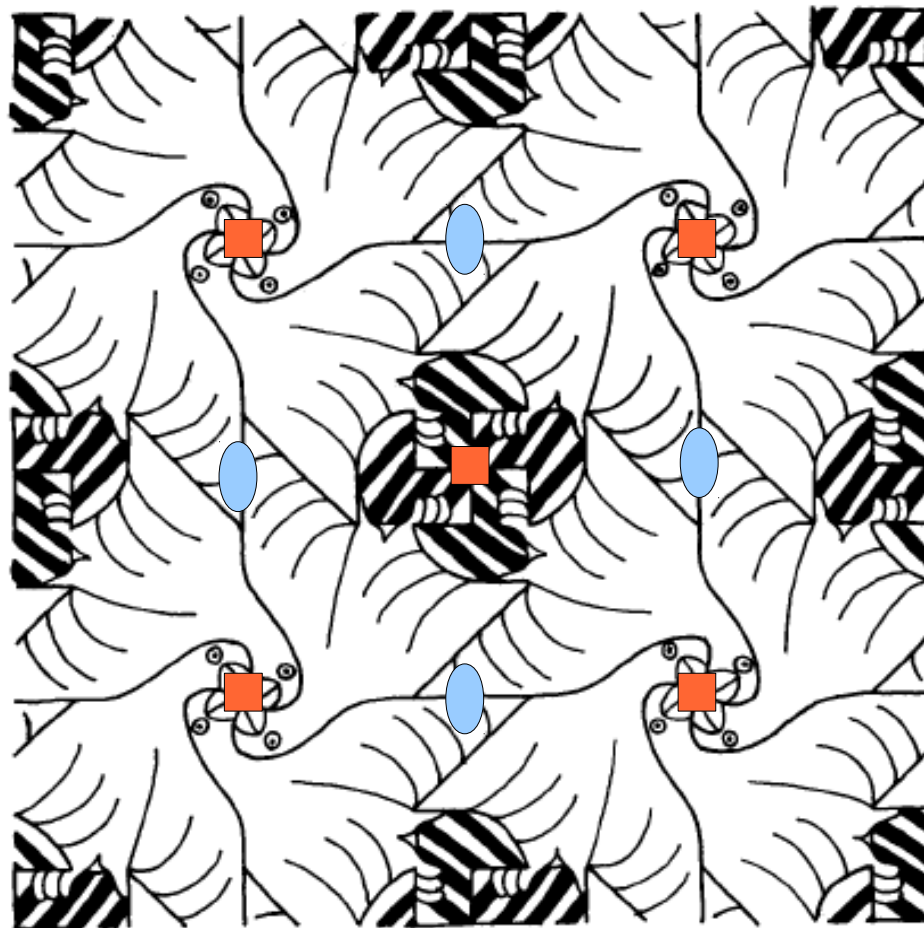
A. Gavezzotti: Illustrations of the Two-Dimensional Space Groups

Basis    Raumgruppe    Gitter



"Übung":

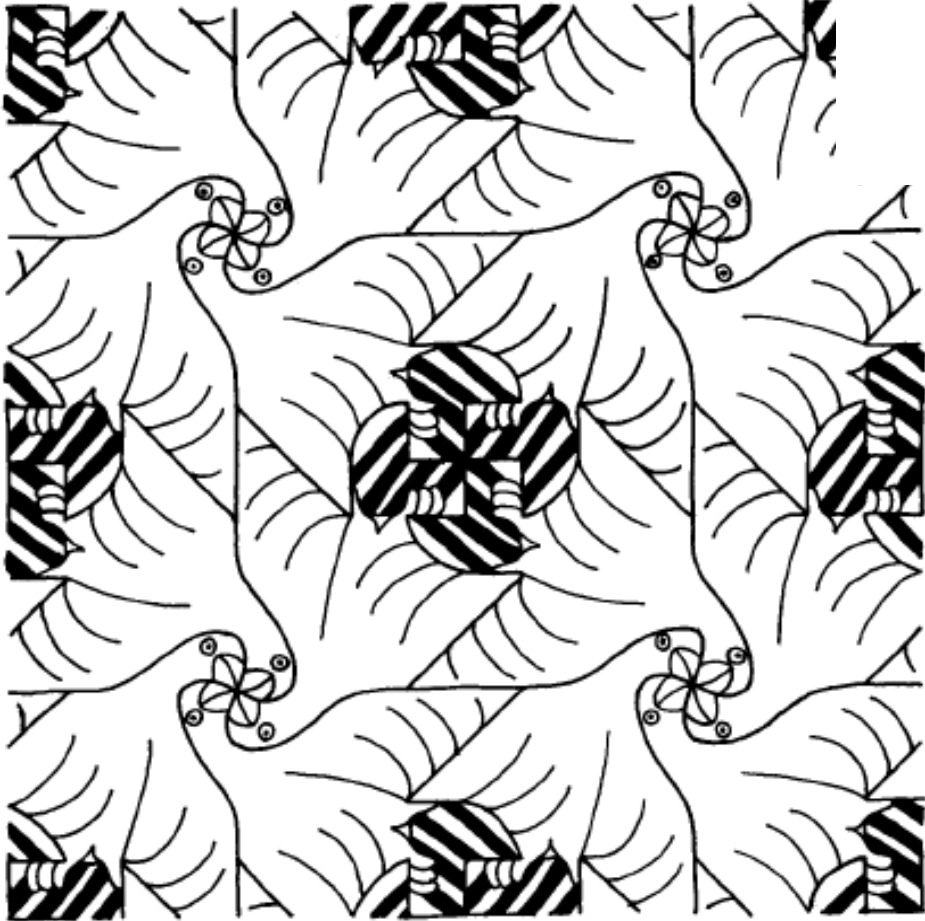
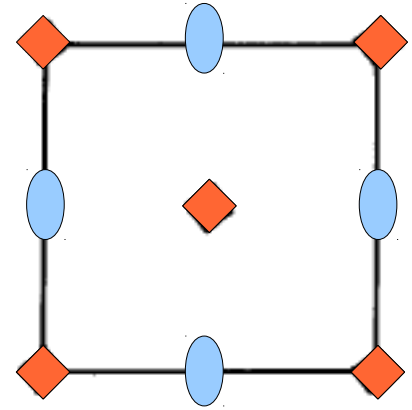




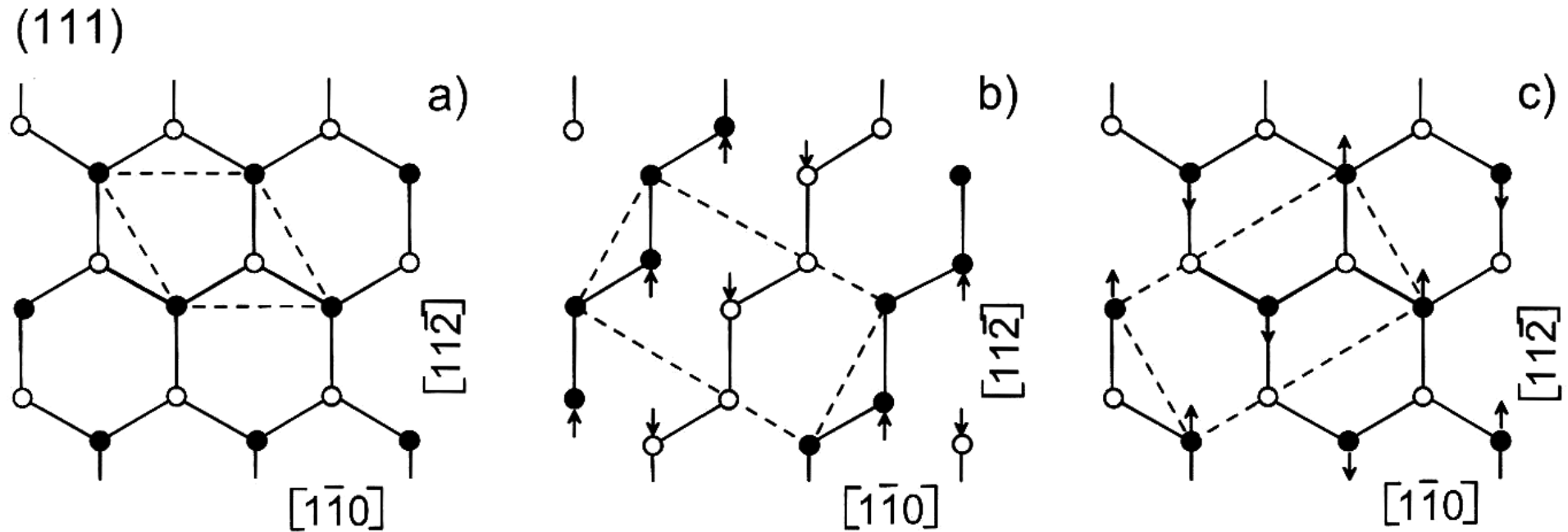
Basis    Raumgruppe    Gitter



**p4**



# Surface symmetry not equal to slab symmetry



**Fig. 1.15.** Two different  $2 \times 1$  reconstructions of the (111) surface of diamond-structure crystals. (a) ideal surface; (b)  $2 \times 1$  reconstructed surface due to chain formation; and (c)  $2 \times 1$  reconstructed surface due to an inequivalent buckling of surface atoms. Dots: nominal first-layer atoms; circles: nominal second-layer atoms.

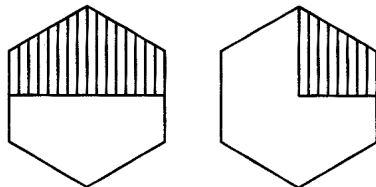
1st	p6mm
1st & 2nd	p3m1

# Anwendung: z. B. Auswahlregeln

## c-rectangular lattice

Symmetry point or line	Space group	
	$c1m1$	$c2mm$
$\bar{\Gamma}$	$m$	$2mm$
$\Delta' \Delta''$	$m$	$m$
$\bar{X}$	$m$	$2mm$
$Z$	–	$m$
$\bar{Y}'$	–	$m$
$\bar{Y}$	–	$m$
$\Delta$	–	$m$

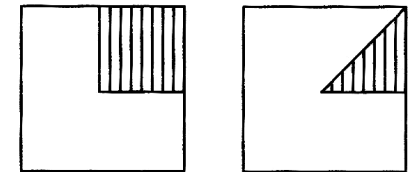
Irreducible  
part of BZ



## Square lattice

Symmetry point or line	Space group		
	$p4$	$p4mm$	$p4mg$
$\bar{\Gamma}$	4	$4mm$	$4mm$
$\Delta$	–	$m$	$m$
$\bar{J}$	2	$2mm$	$2mm$
$Z$	–	$m$	$m$
$\bar{K}$	4	$4mm$	$4mm$
$\Sigma$	–	$m$	$m$

Irreducible  
part of BZ



Kann man das gleich wieder vergessen?

Alle Nicht-Röntgenleute meist schon ...

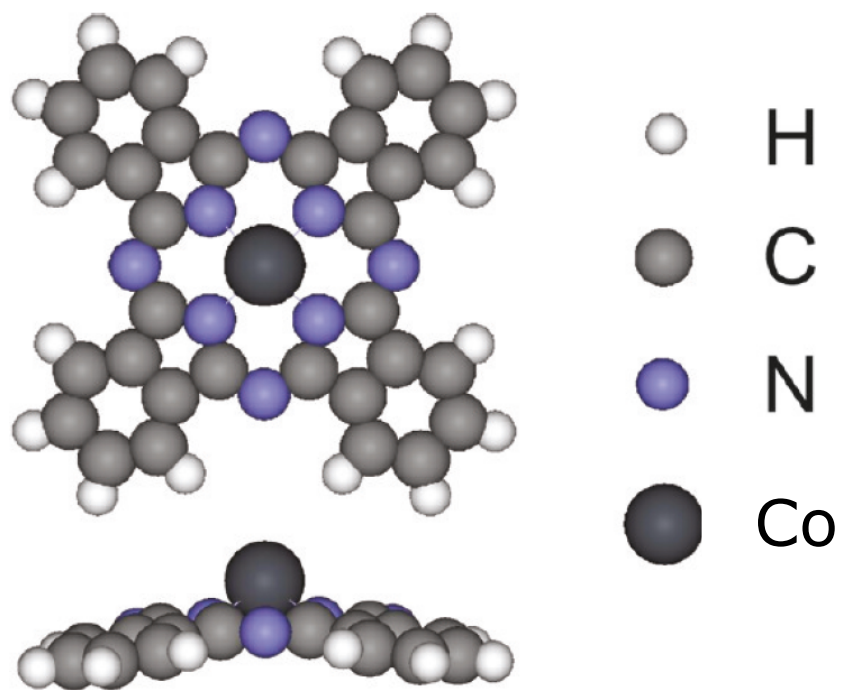
aber besser nicht ganz:



# Supramolecular Patterns Controlled

by Electron Interference and Direct Intermolecular Interactions

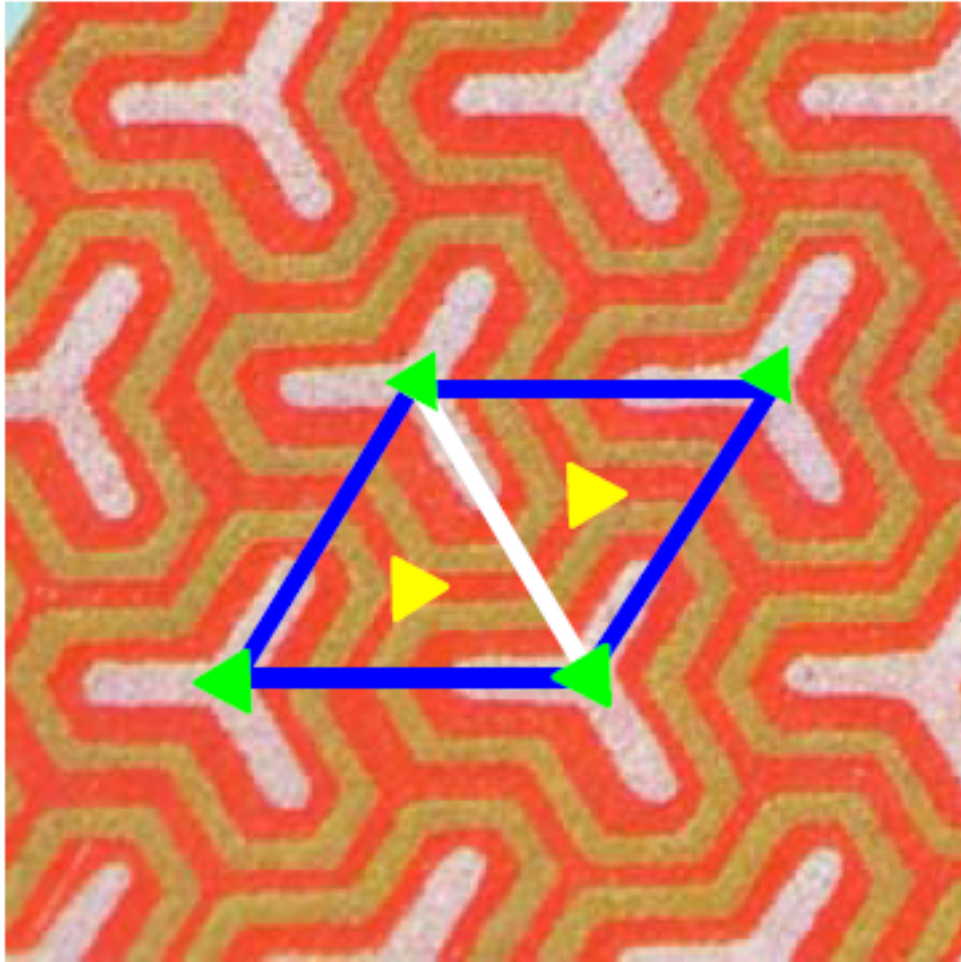
Yongfeng Wang et al., JACS 2009, 131, 10400



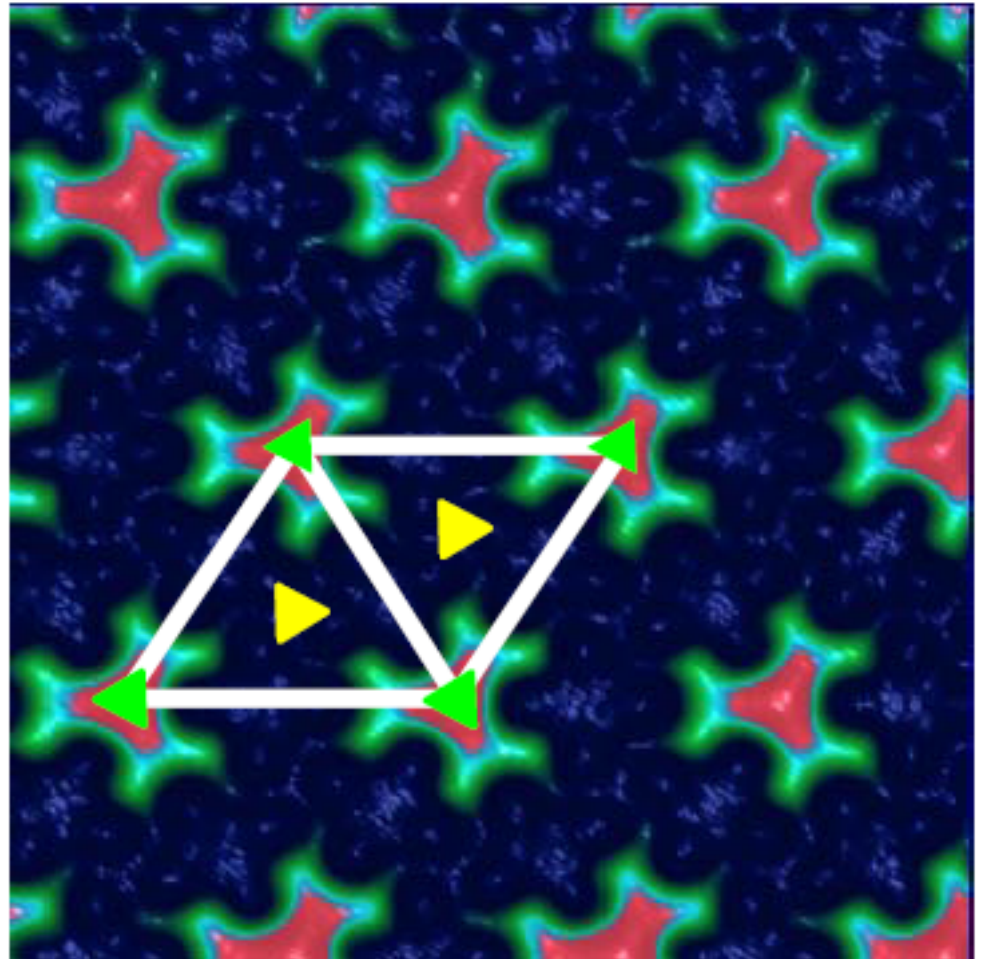
# Supramolecular Patterns Controlled

by Electron Interference and Direct Intermolecular Interactions

First observation of molecules ordered according to  $p31m$

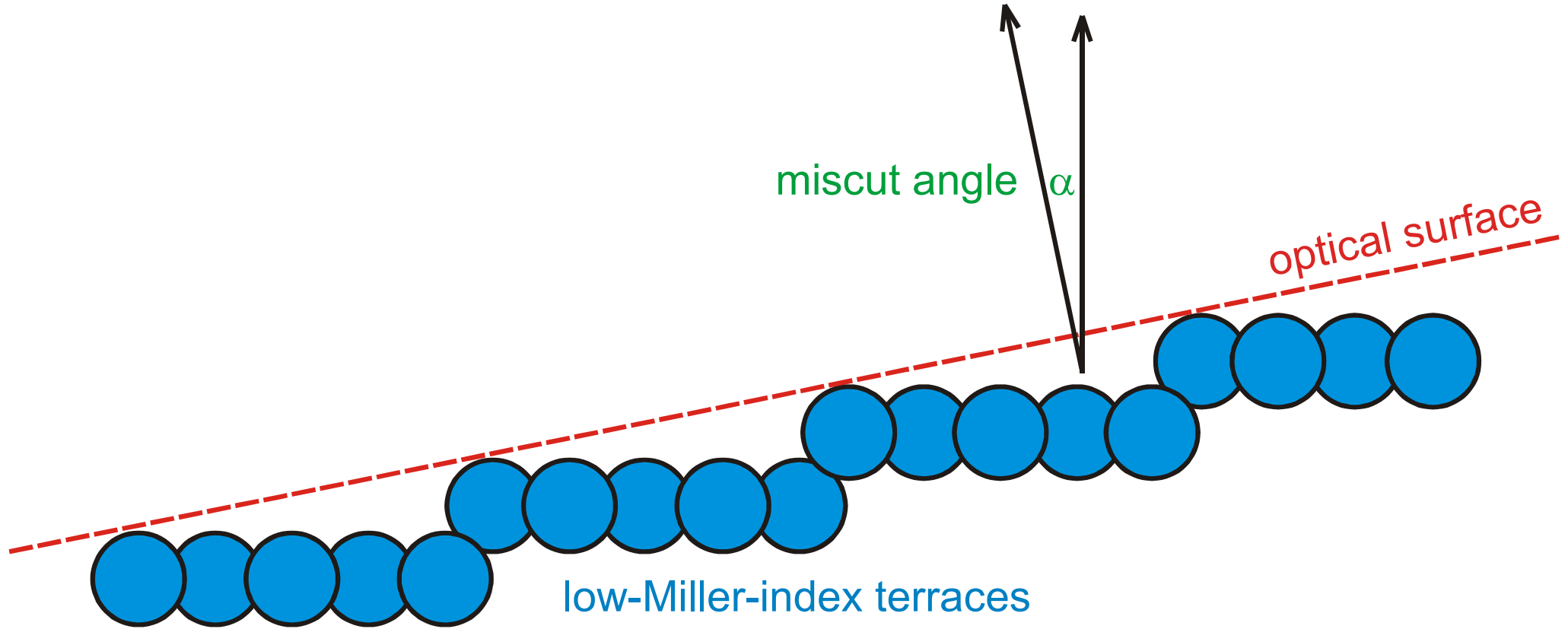


**Painting, China,  $p31m$**

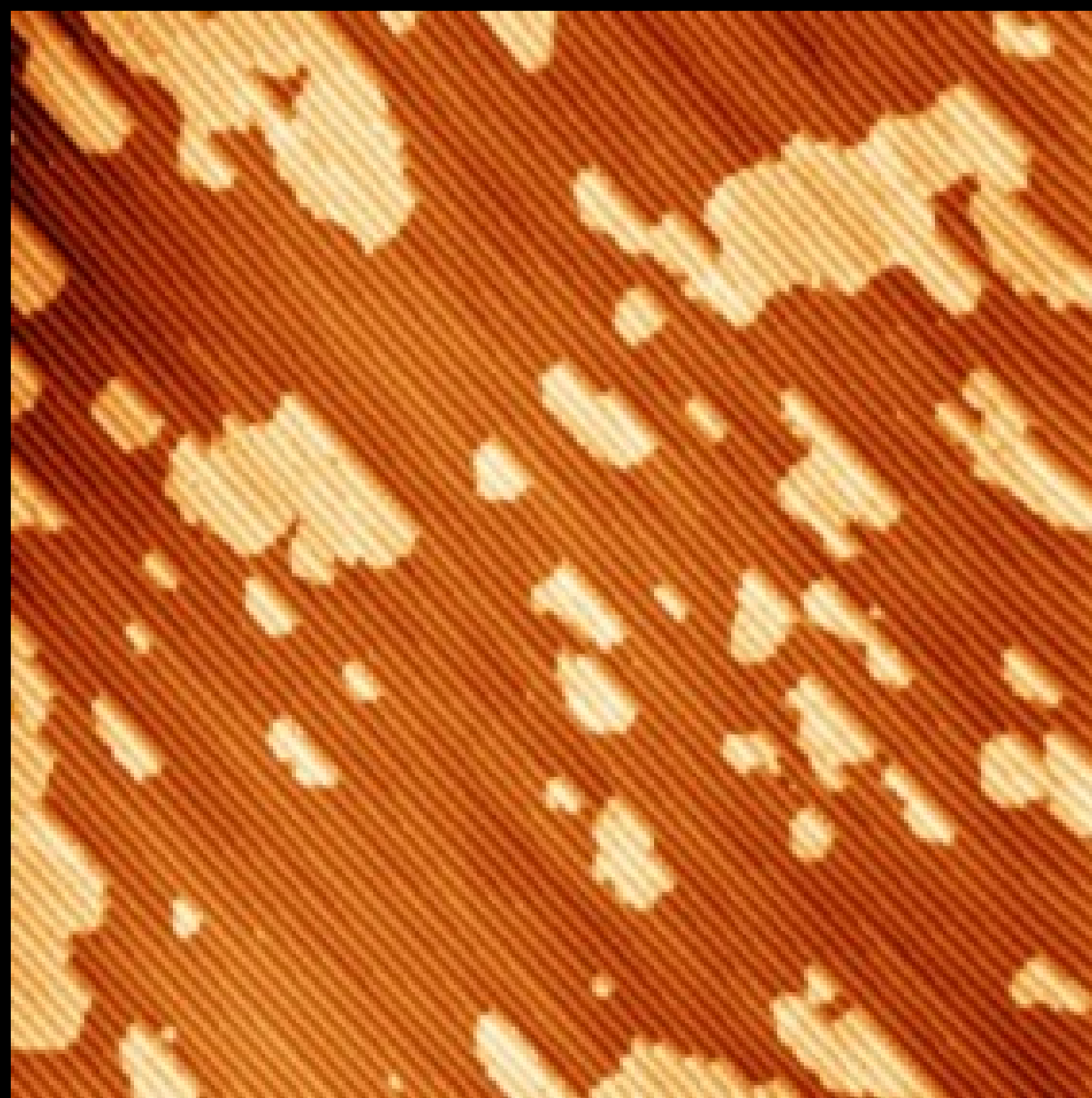


**CoPc on Cu(111),  $p31m$**

# Stepped or Vicinal Surfaces

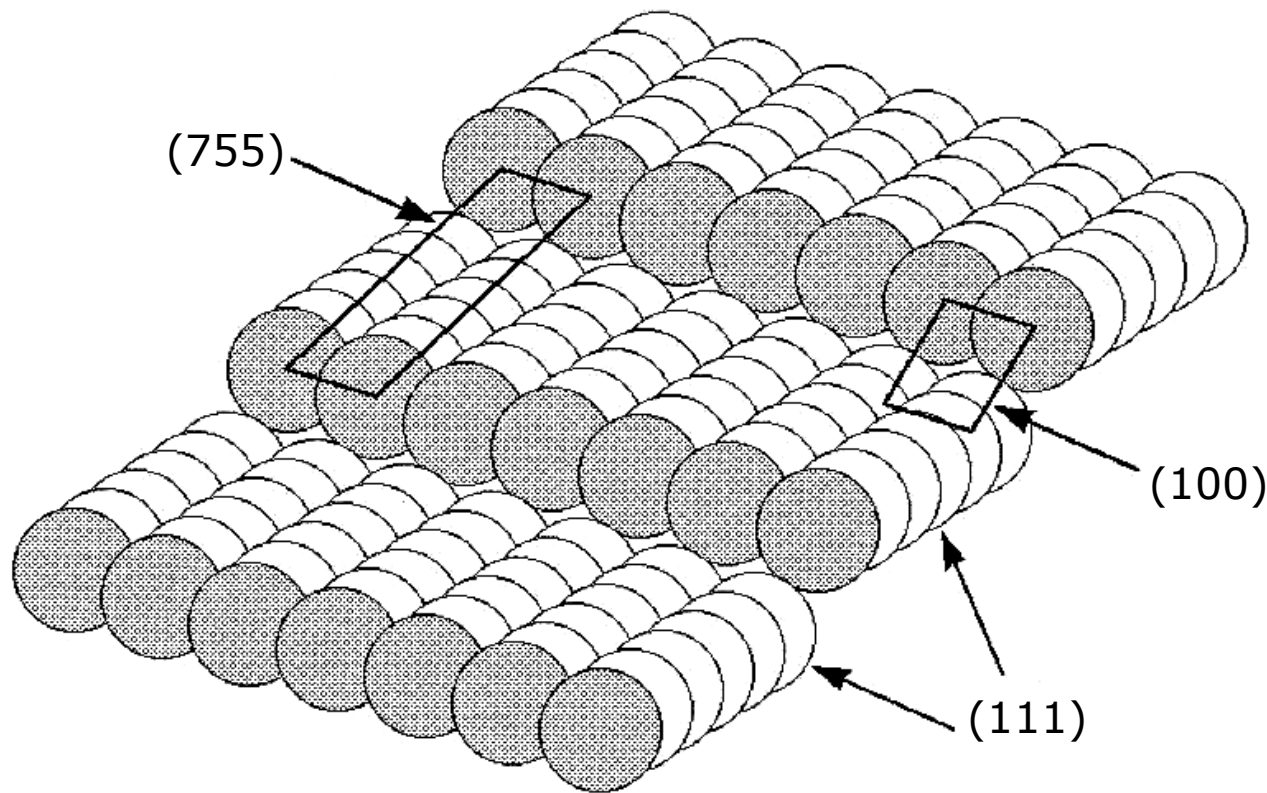


Au(788)



**260 nm × 260 nm**

Kröger, Jensen, Berndt,  
Rurali, Lorente,  
Chem. Phys. Lett. (2007).



fcc (10 8 7)

or

fcc [7(111)x(310)]

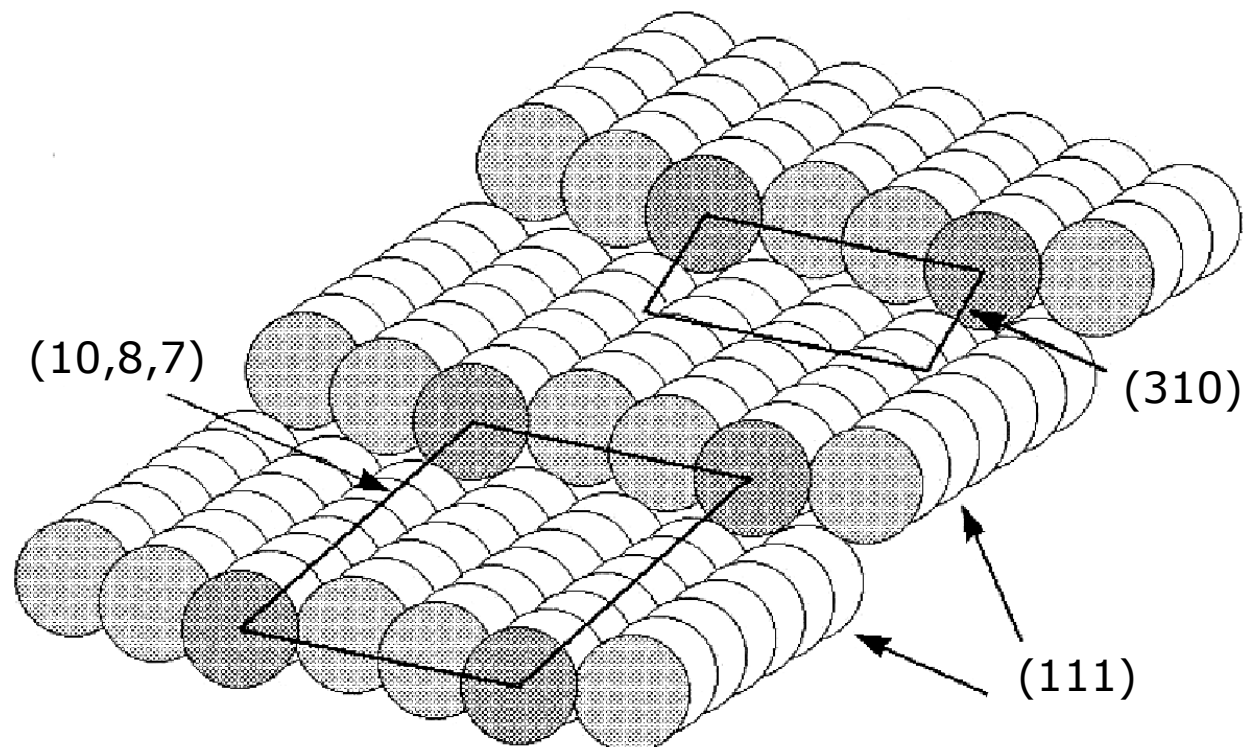
kinked

fcc (755)

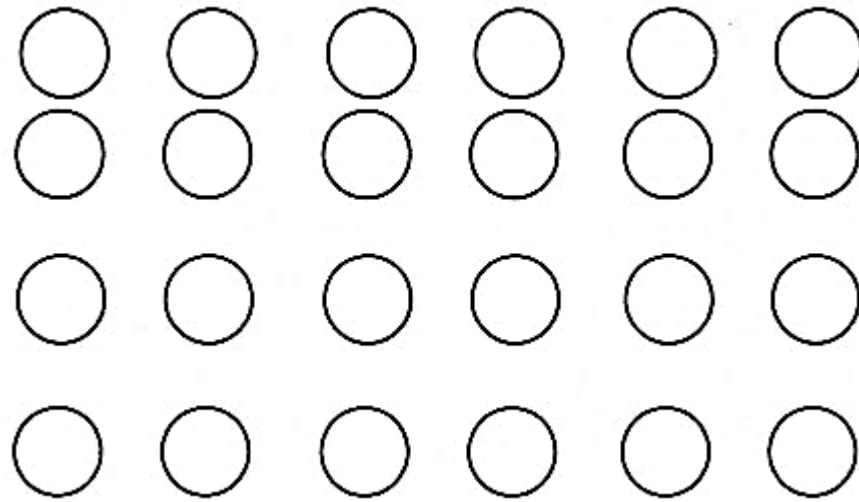
or

fcc [6(111)x(100)]

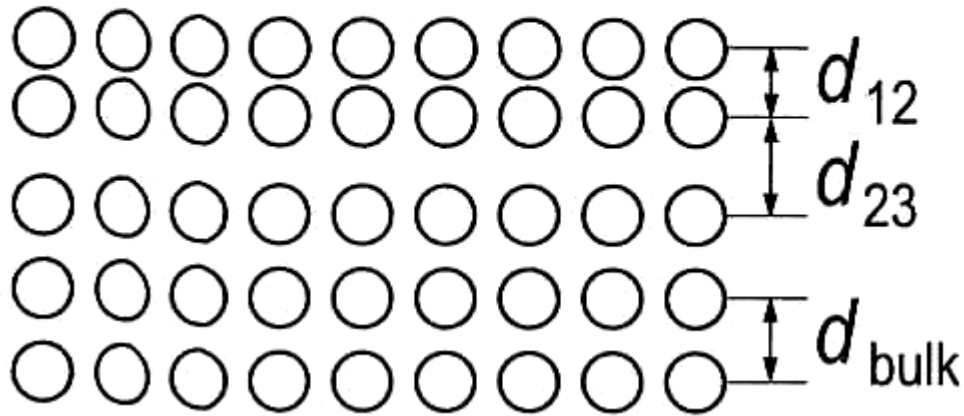
stepped



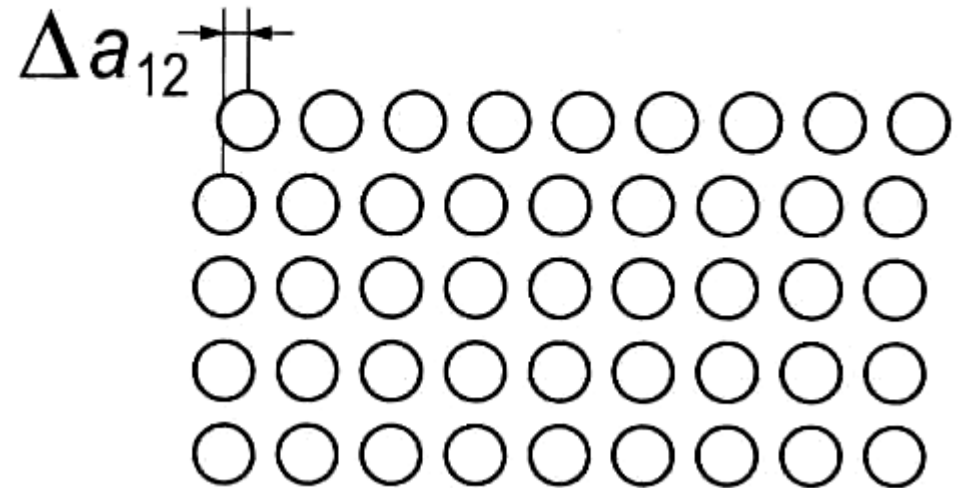
# Relaxation



normal



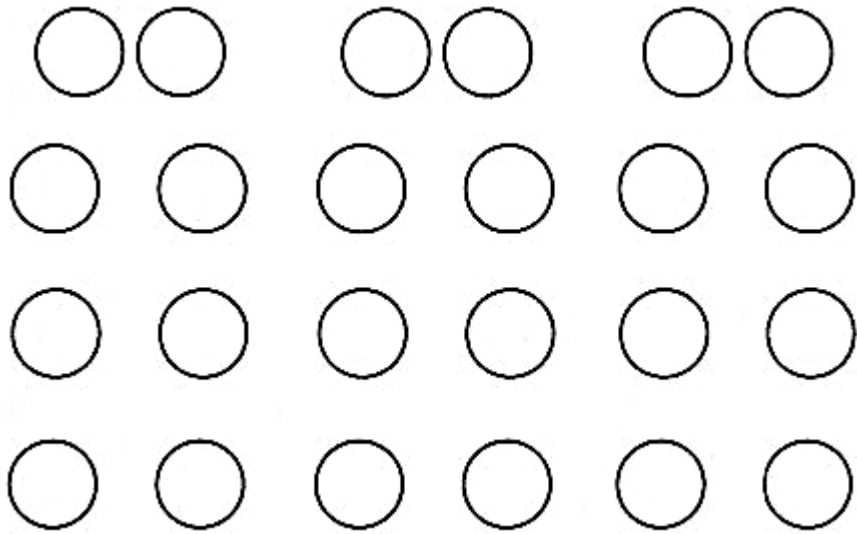
parallel



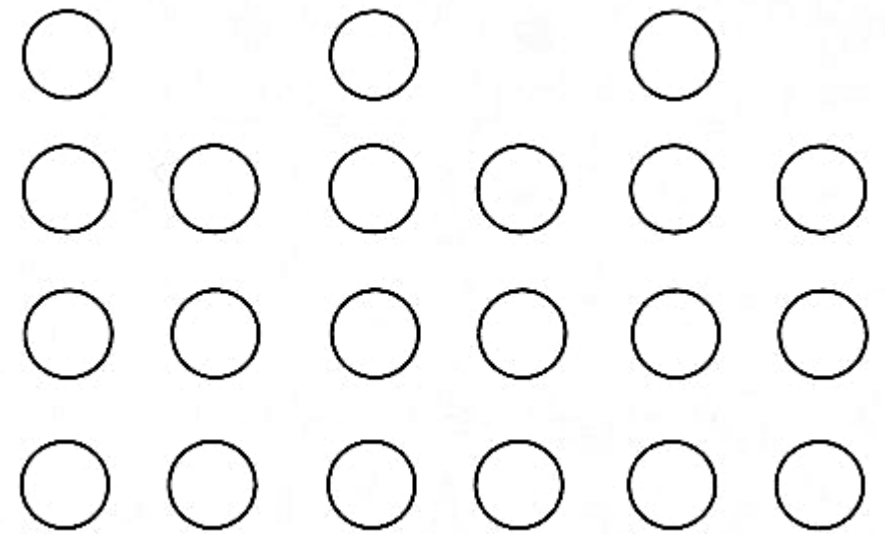
Metalle oft:  $d_{12} < d_{\text{bulk}} < d_{23}$   
 $\sim 10\%$   $\sim 1\%$

manchmal:  $a_1 < a_{\text{bulk}}$

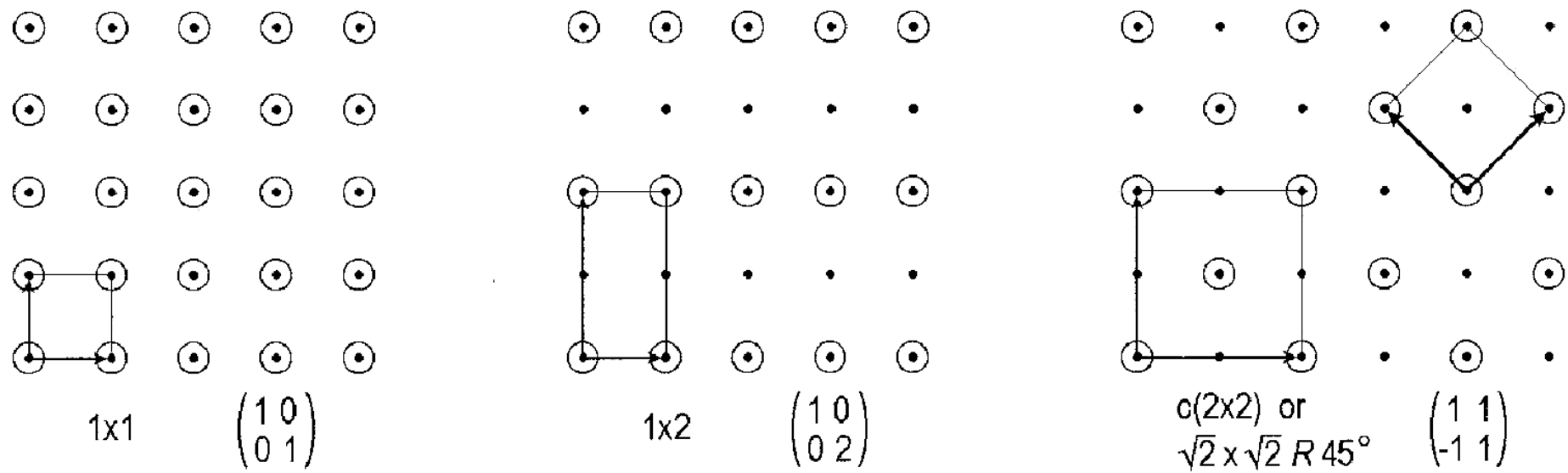
# Rekonstruktion



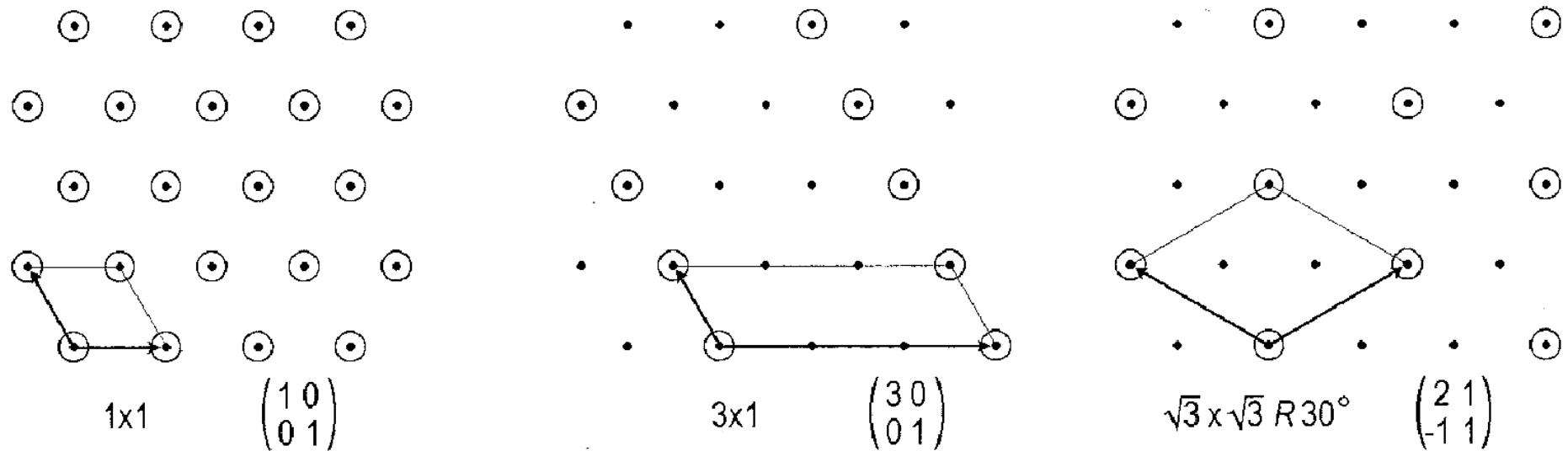
pairing



Missing row

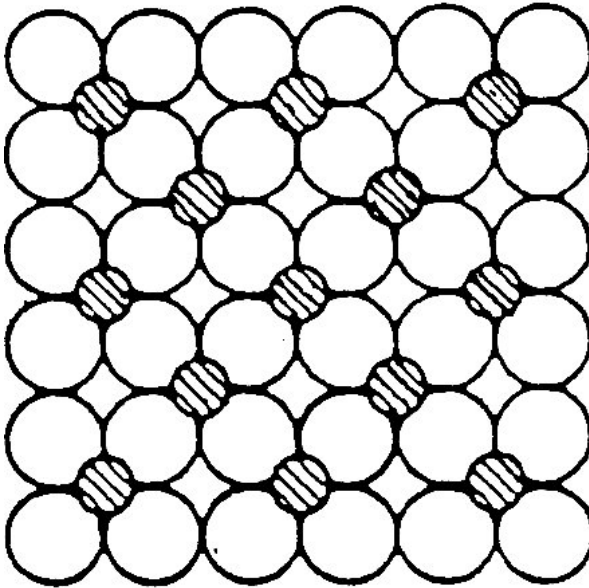
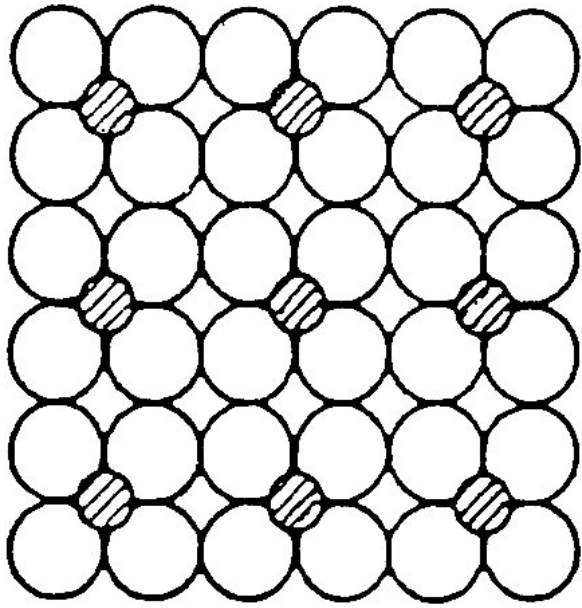


**Fig. 2.13.** Wood's and matrix notation for some superlattices on a square 2D lattice



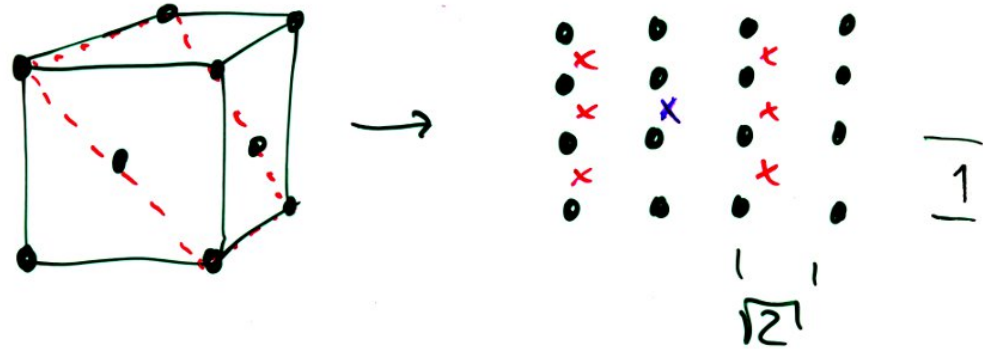
**Fig. 2.12.** Wood's and matrix notation for some superlattices on a hexagonal 2D lattice





$[100]$   
 $[110]$

# Kristallographische Nomenklatur



$Fu(110)$   $c(1 \times 2)$   
 ↑  $p/c$  ↑  
 Substratfläche Maschenweite Drehwinkel