

X-ray absorption spectroscopy in materials science

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- Photoelectric absorption
- XANES
- EXAFS
- Instrumentation
- Examples



Uses of X-ray spectroscopy

XAFS: X-ray absorption fine structure

local technique:

- element-specific
- diluted or concentrated systems can be studied
- study of disordered systems possible
- wide temperature and pressure range

Photoelectric absorption

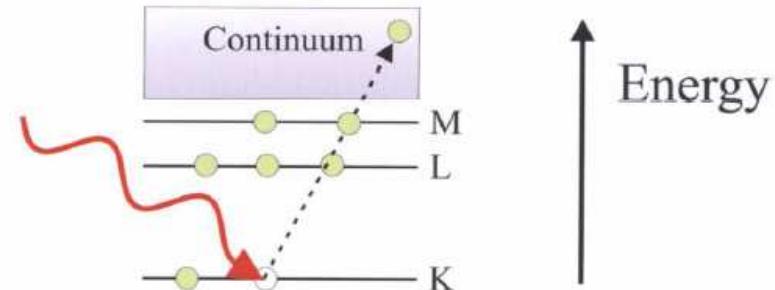
(a) ionisation energy of inner shell smaller than X-ray energy



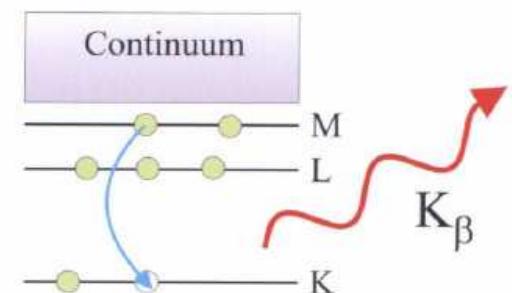
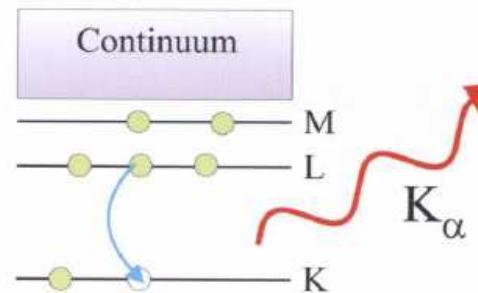
photoemission
of core electron

(b,c) two possible secondary processes to fill the hole

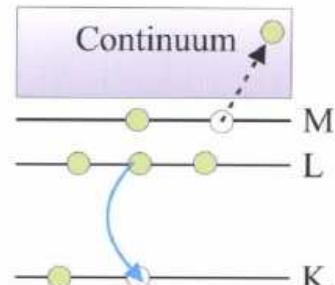
(a) Photoelectric absorption



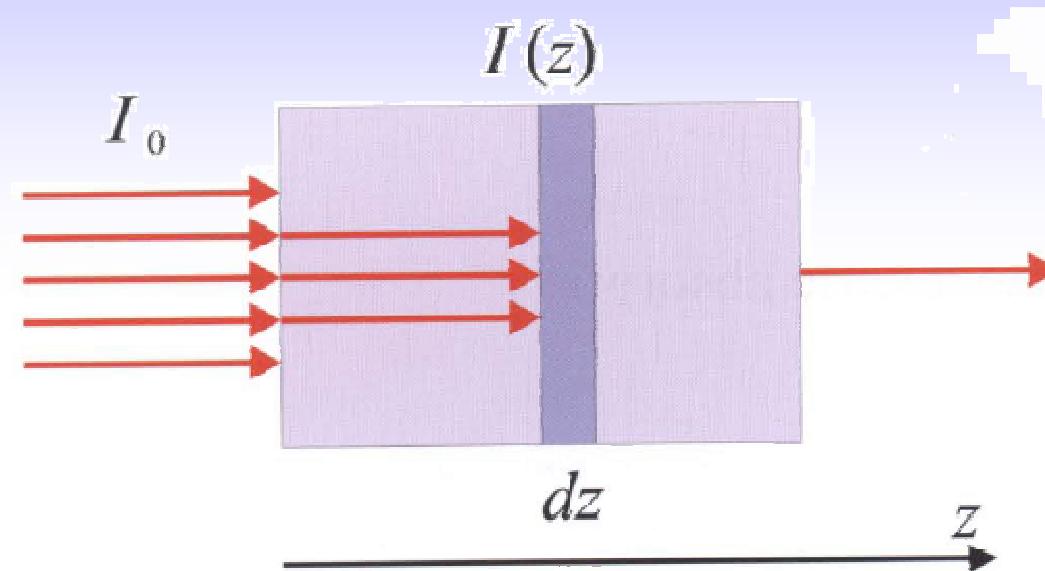
(b) Fluorescent X-ray emission



(c) Auger electron emission



Transmission and absorption cross section



transmitted intensity decays exponentially with thickness:

$$T = \frac{I}{I_0} = e^{-\mu z}$$

absorption coefficient $\mu = \left(\frac{\rho_m N_A}{A} \right) \sigma_a$ absorption cross-section

mass density ρ_m Avogadro's number N_A

atomic mass number A

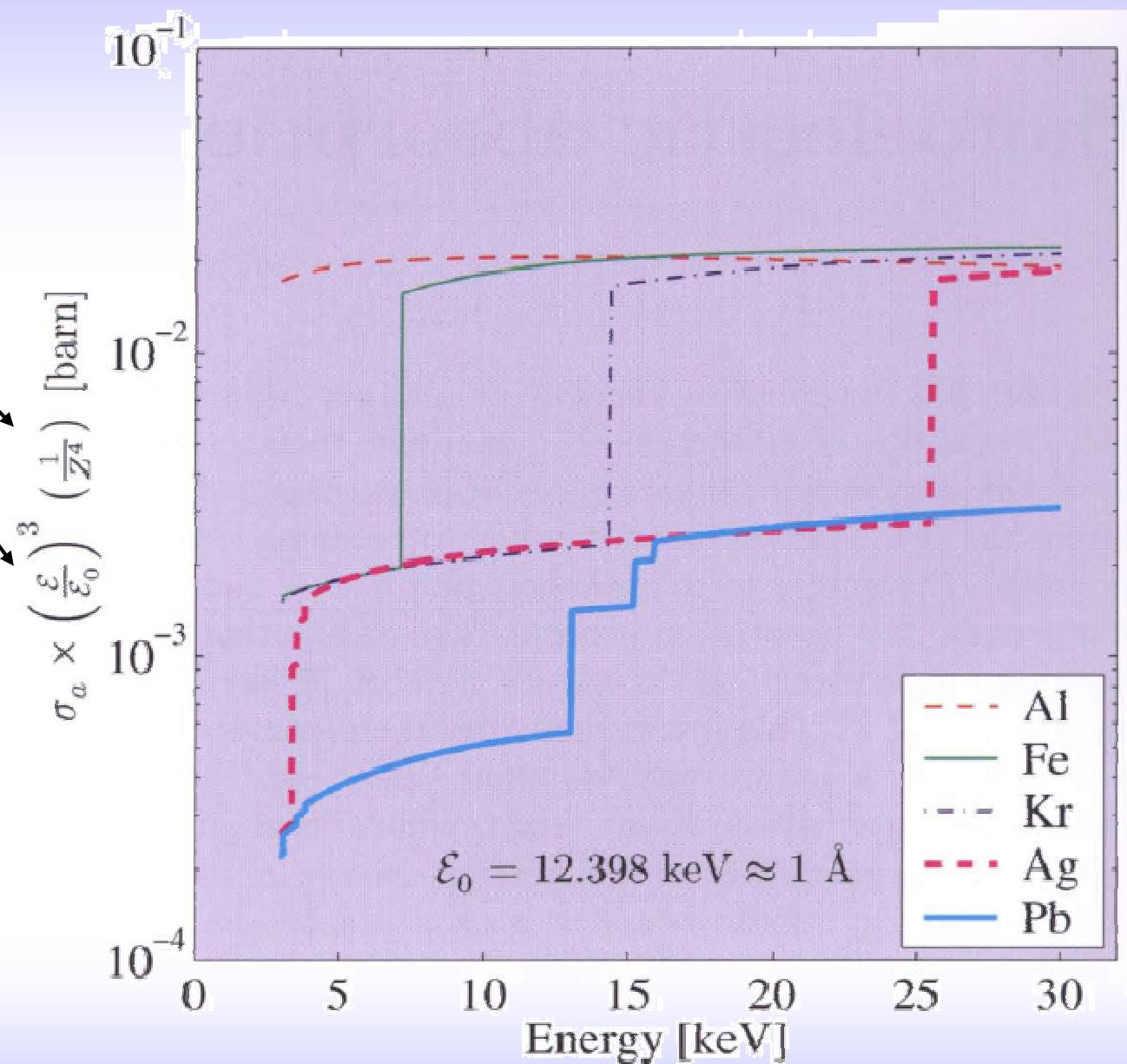
Absorption edges

general behaviour
of cross-section:

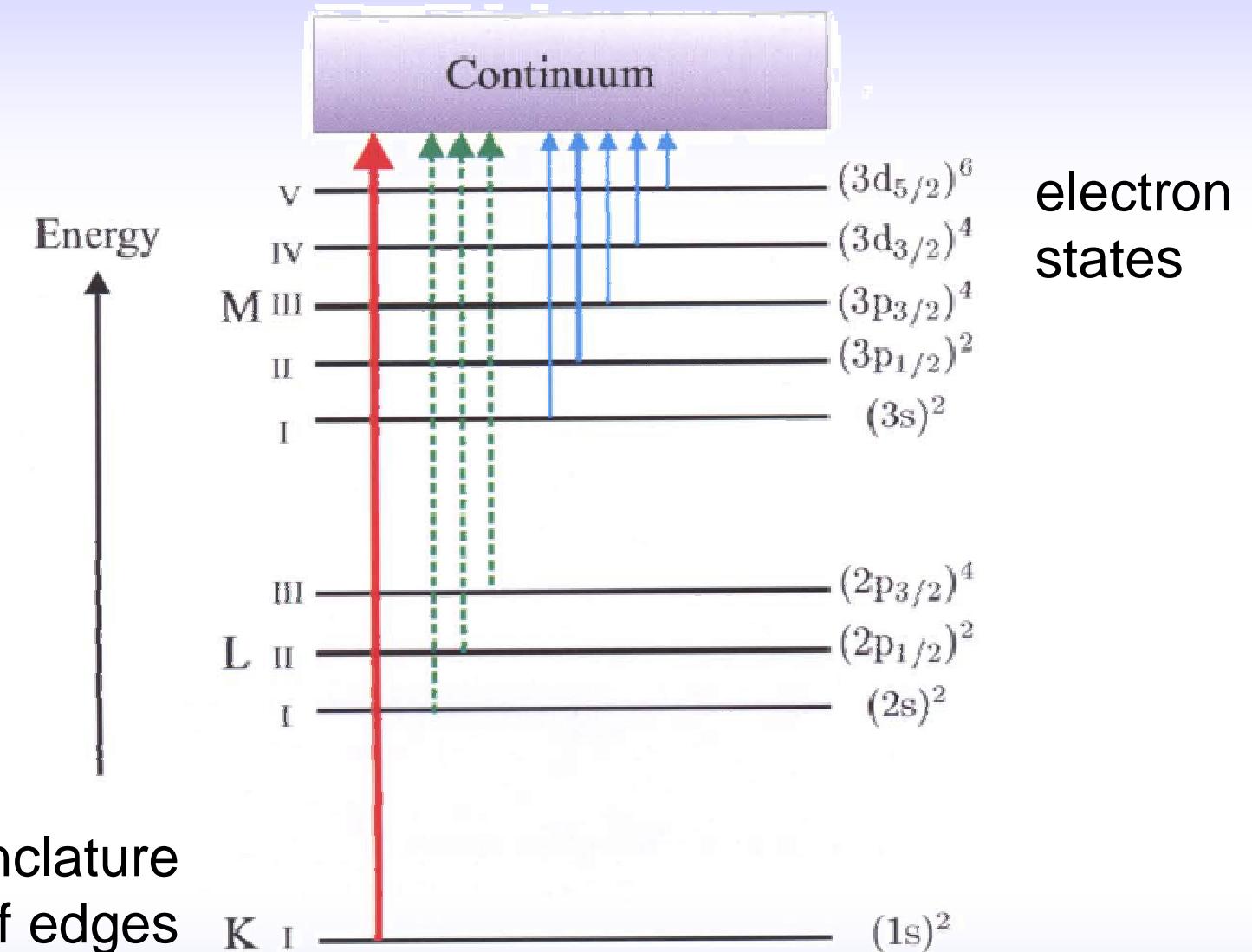
$$\sigma_a \propto Z^4$$

$$\sigma_a \propto E^{-3}$$

sudden increase in
absorbance at
ionisation energy
of core electron
= absorption edge



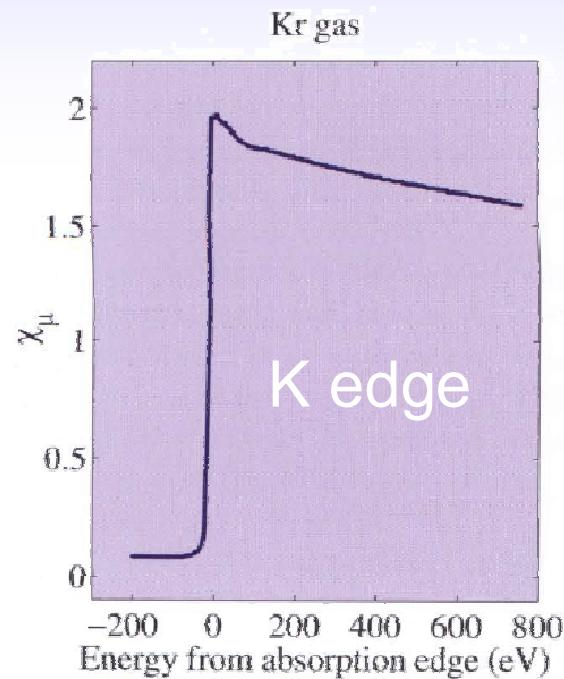
Absorption edges



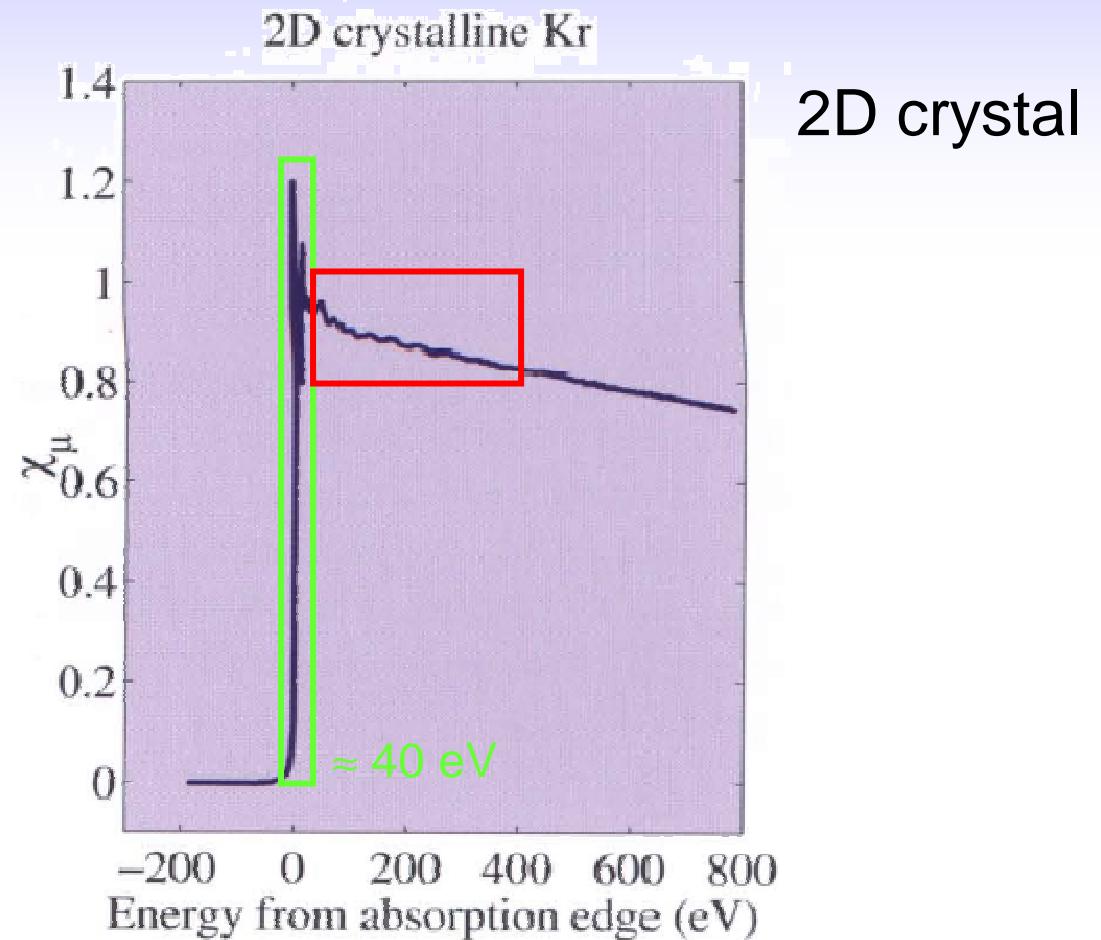
nomenclature
of edges

Fine structure of absorption edges

example: krypton



gas



2D crystal



XAFS {
 X-ray Absorption Near-Edge Structure
 Extended X-ray Absorption Fine Structure

XAFS accessible elements

XANES only

EXAFS difficult

K-edge EXAFS

L3/K-edge EXAFS

L3-edge EXAFS

H	
Li	Be
Na	Mg
K	Ca
Rb	Sr
Cs	Ba
Fr	Ra

He																
B	C	N	O	F												
Al	Si	P	S	Cl	Ar											
Ga	Ge	As	Se	Br	Kr											
In	Sn	Sb	Te	I	Xe											
Cd																
Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	
Lr	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg								

Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Lr	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

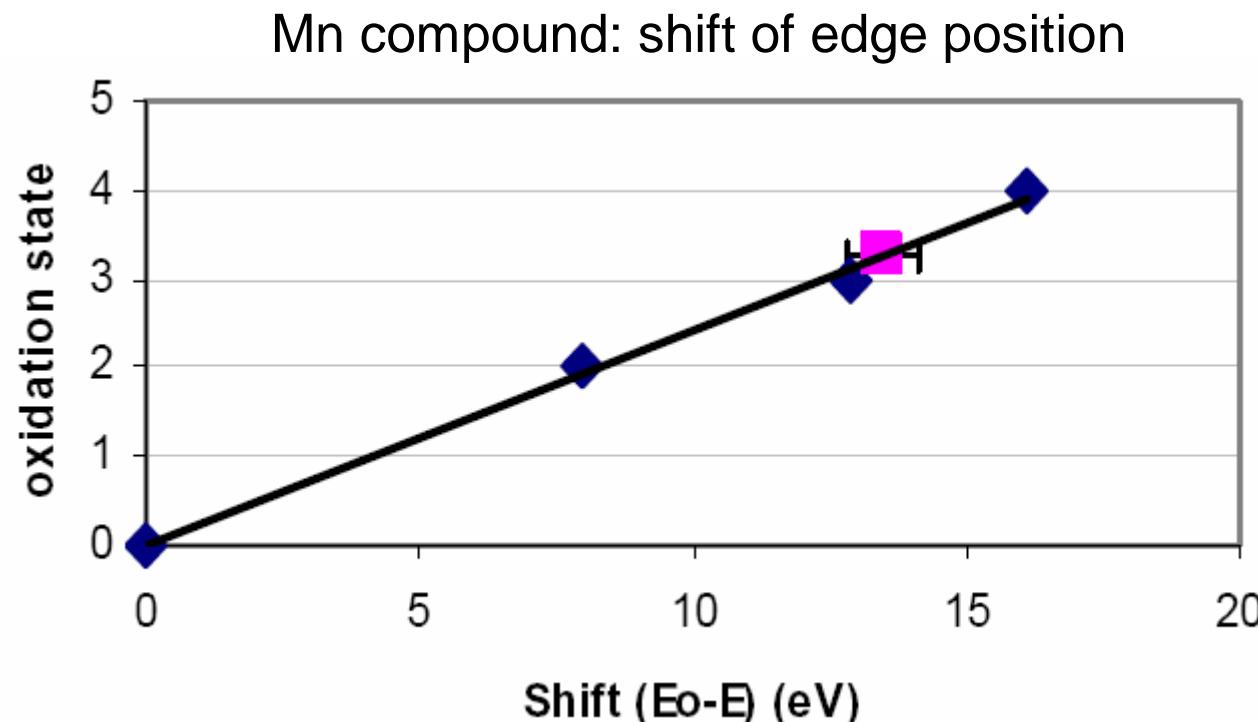
*	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb
*	Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No
*														

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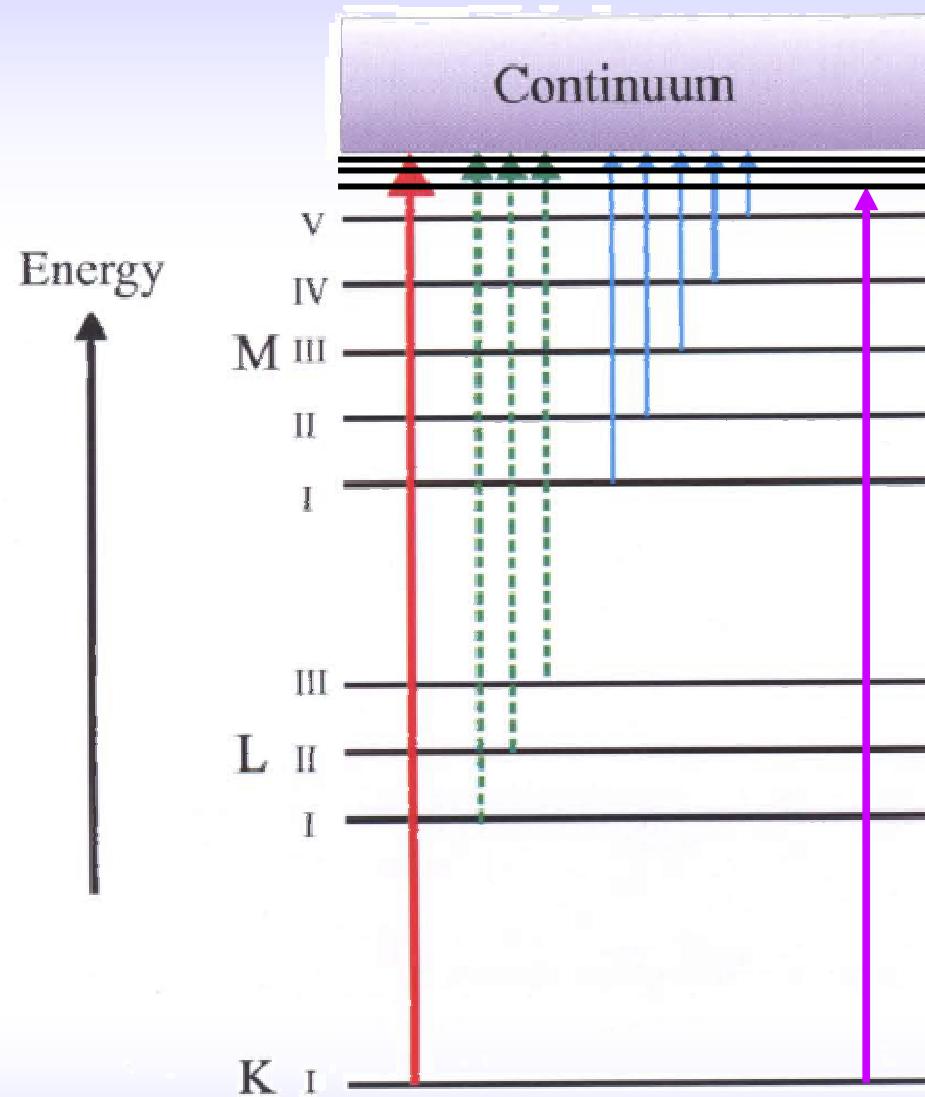
Energy shift of edge position

energy to eject core electron depends on charge it experiences:
edge energy depends on **oxidation state**

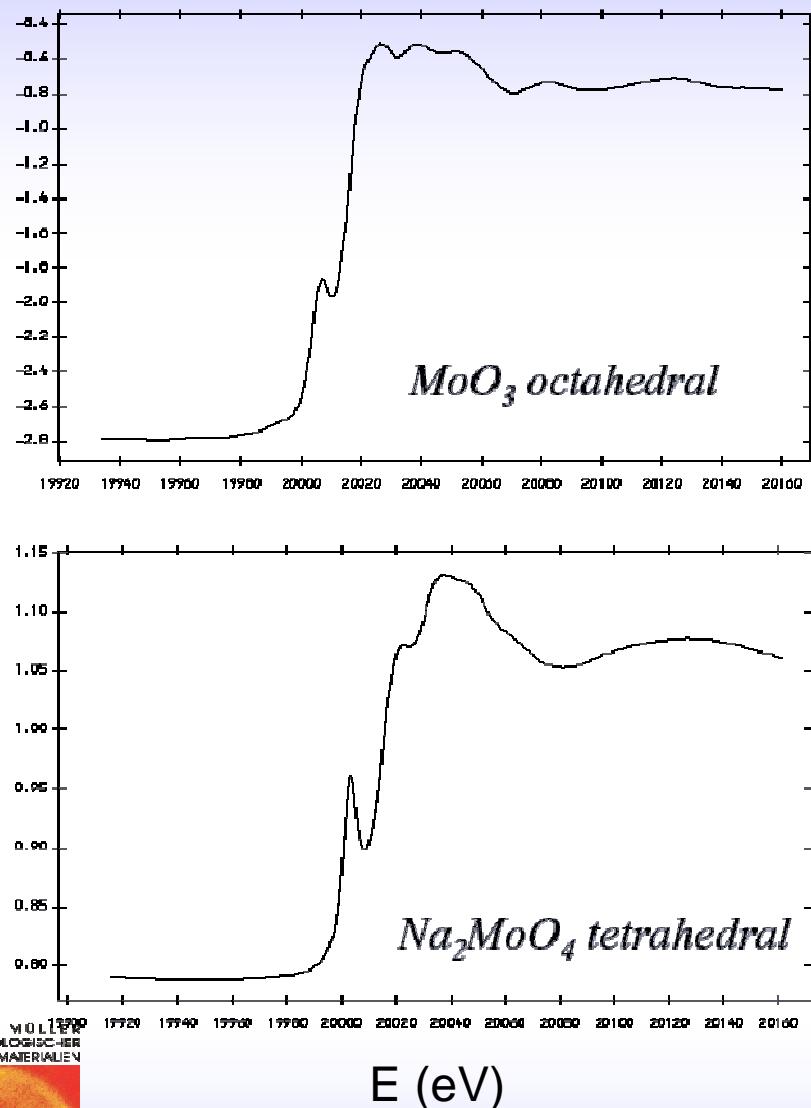


Pre-edge peaks

electron might also be excited into
bound states



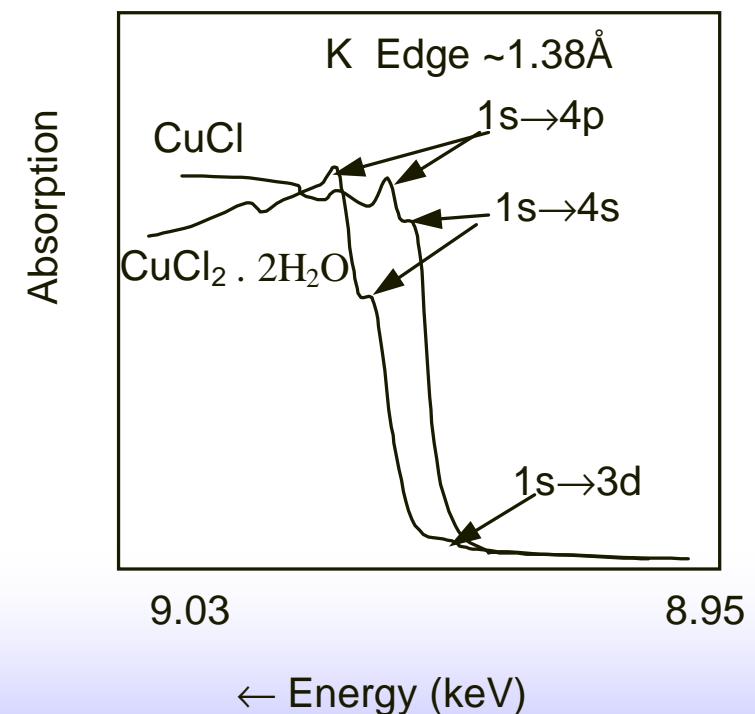
Pre-edge peaks



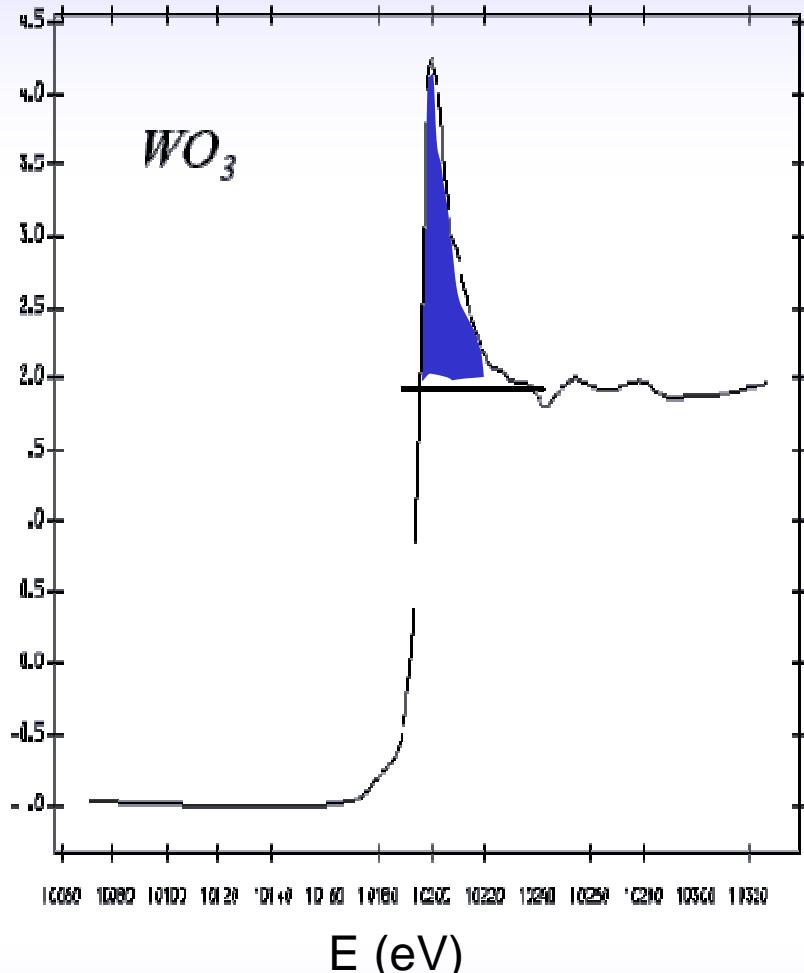
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DER CAU ZU KIEL

depend on **geometry**:

- oxidation state
- site symmetry
- surrounding ligands
- nature of bonding



XANES white lines



in transition metals:
area of white line
indicates number of
empty d-states

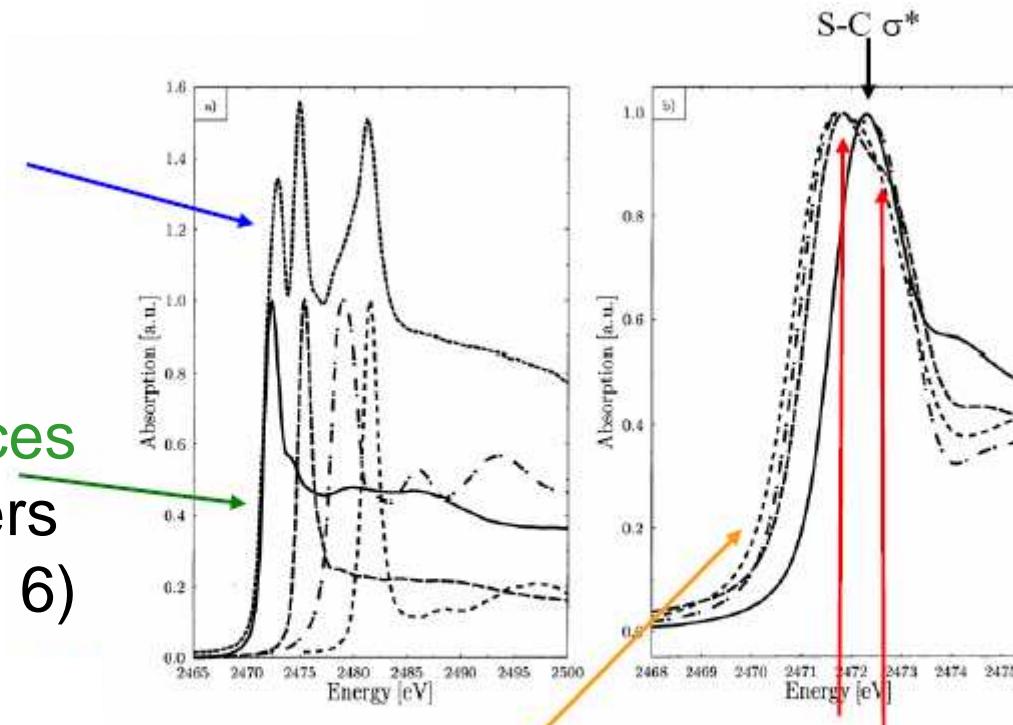
XANES fingerprinting

XANES characteristic of *chemical environment* and *valence state*:

- fingerprinting
- phase analysis by **linear combination** of known species

unknown
S spectrum

known references
(oxidation numbers
0, 2, 4, 6)



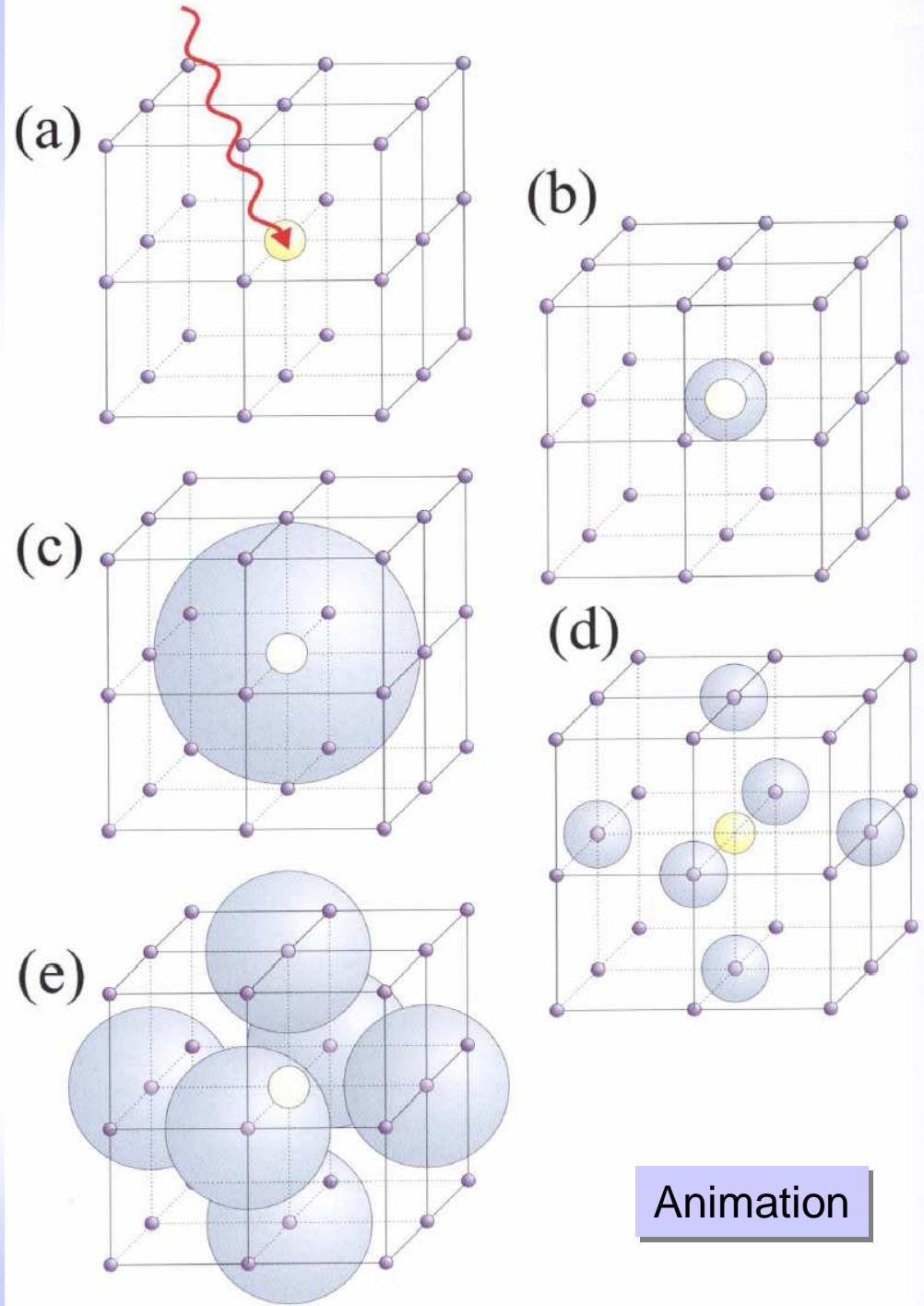
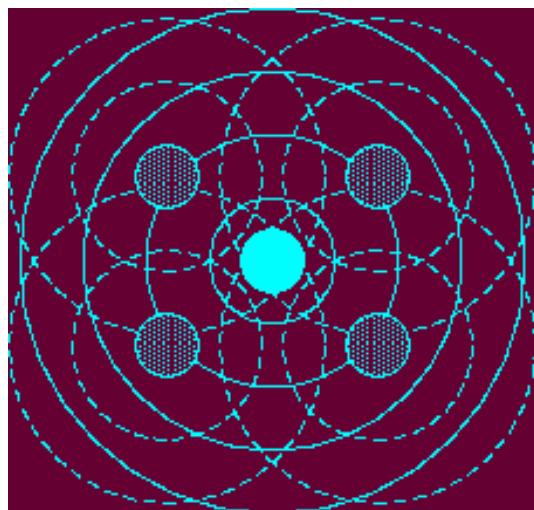
high sensitivity
to electronic states!

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Principle of EXAFS oscillations

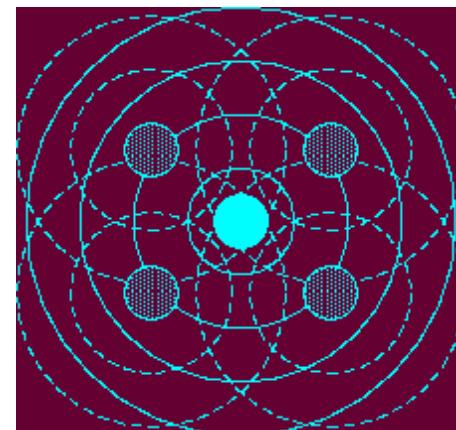
Interference of wavefunctions
of photoelectron and
of backscattered electrons
(from neighbouring atoms)



Animation

Parameters accessible with EXAFS

- **type** of atoms surrounding central absorber ($Z \pm 3$)
- **number** of atoms surrounding absorber ($\pm 20\%$)
- **distances** absorber – scatterer (accuracy 0.1 Å)



EXAFS data analysis

EXAFS signal:

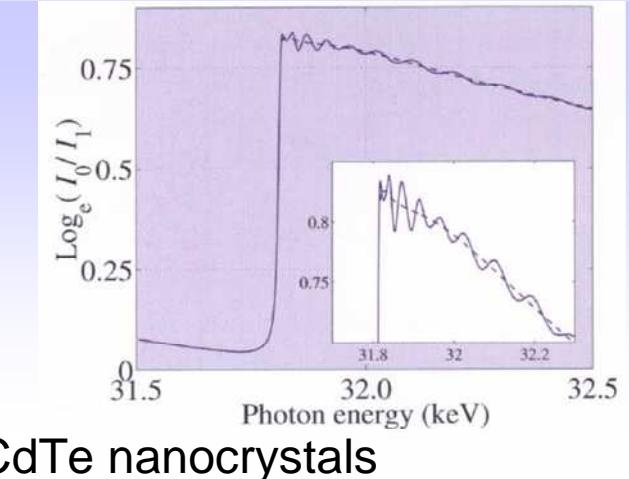
$$\chi(q(E)) = \frac{\mu_x(E) - \mu_0(E)}{\mu_0(E)}$$

in material of interest

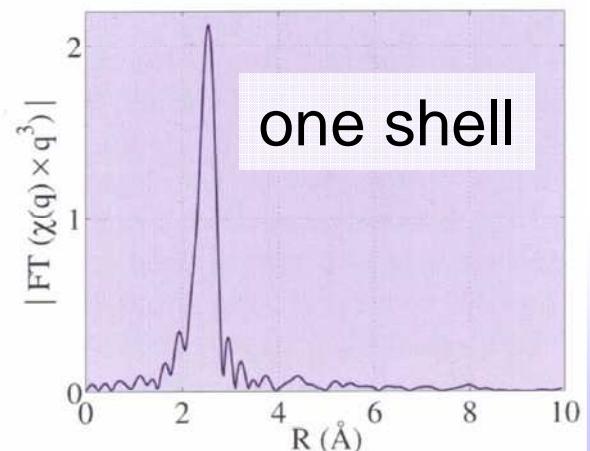
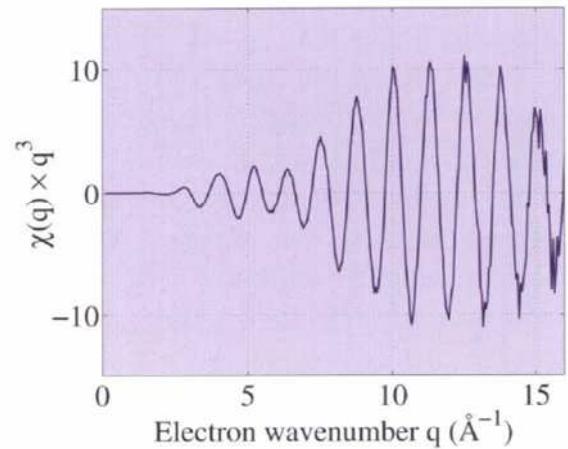
electron wave vector

$$\frac{\hbar^2 q^2}{2m} = E - \hbar\omega_K$$

Fourier transform:
radial distribution function



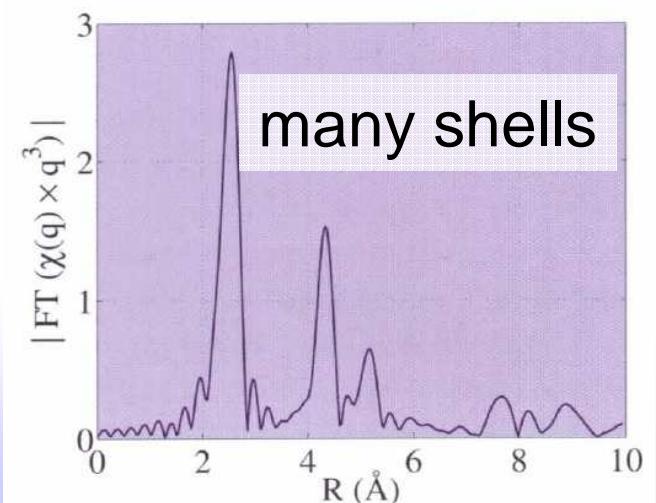
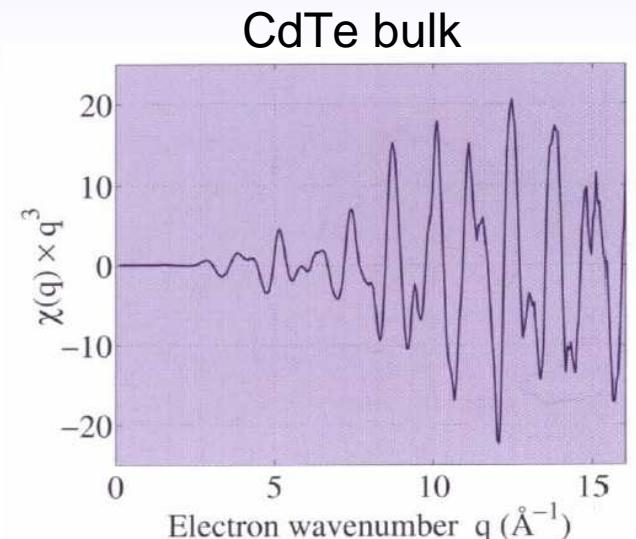
CdTe nanocrystals



The EXAFS formula ...

$$q\chi(q) \propto \sum_j N_j \frac{t_j(q) \sin(2qR_j + \delta_j(q))}{R_j^2} e^{-2(q\sigma_j)^2} e^{-2R_j/\Lambda}$$

- sum over j **neighbouring** shells
 - **goal**: extract radii R_j and occupation numbers N_j
 - **damping** due to loss (mean free path Λ) and disorder (Debye-Waller factor)
 - phase shifts
 - backscattering amplitude
- } difficult...

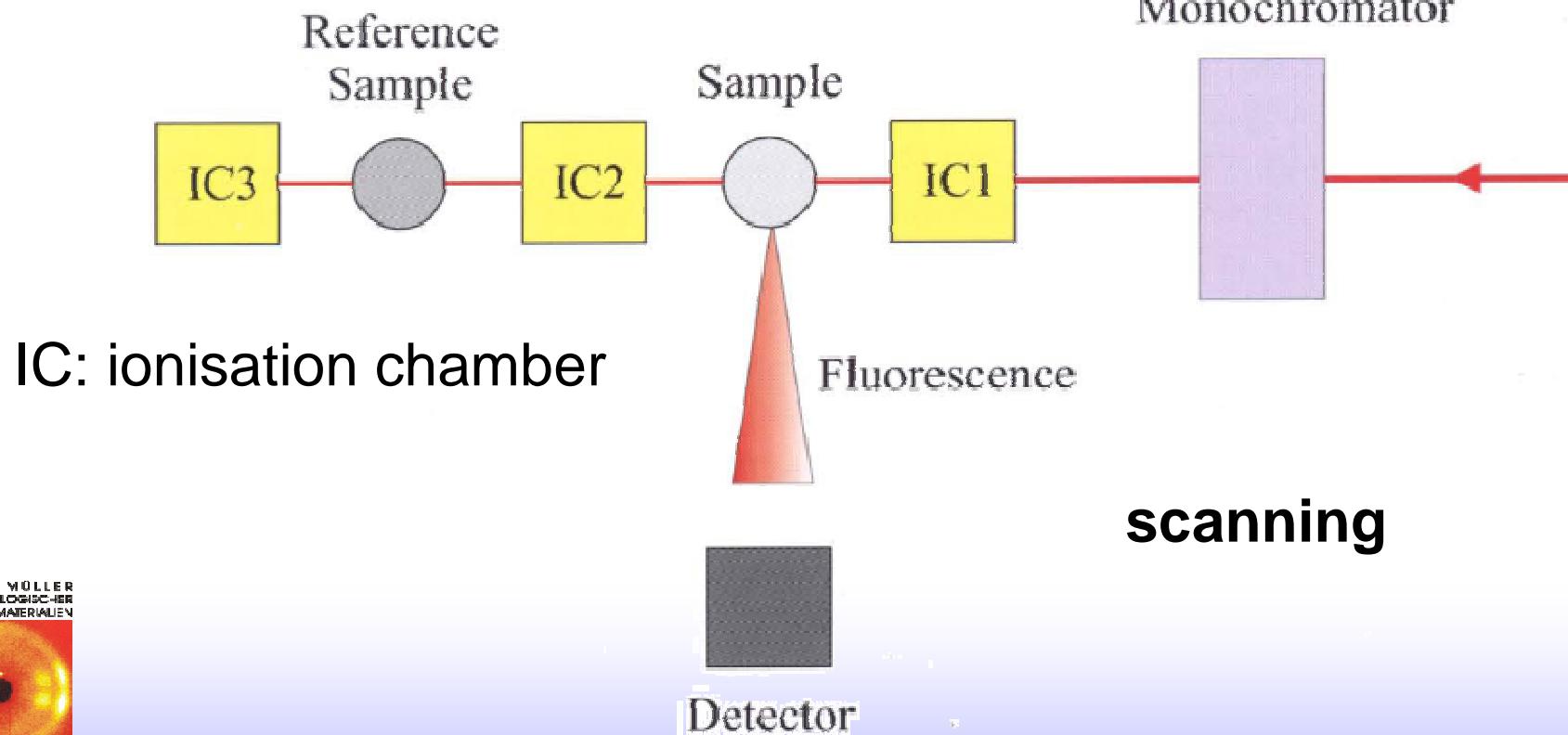
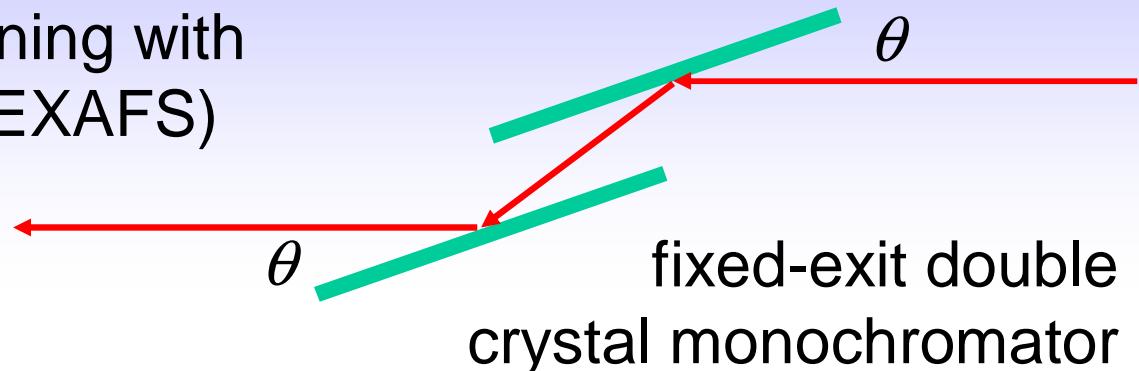


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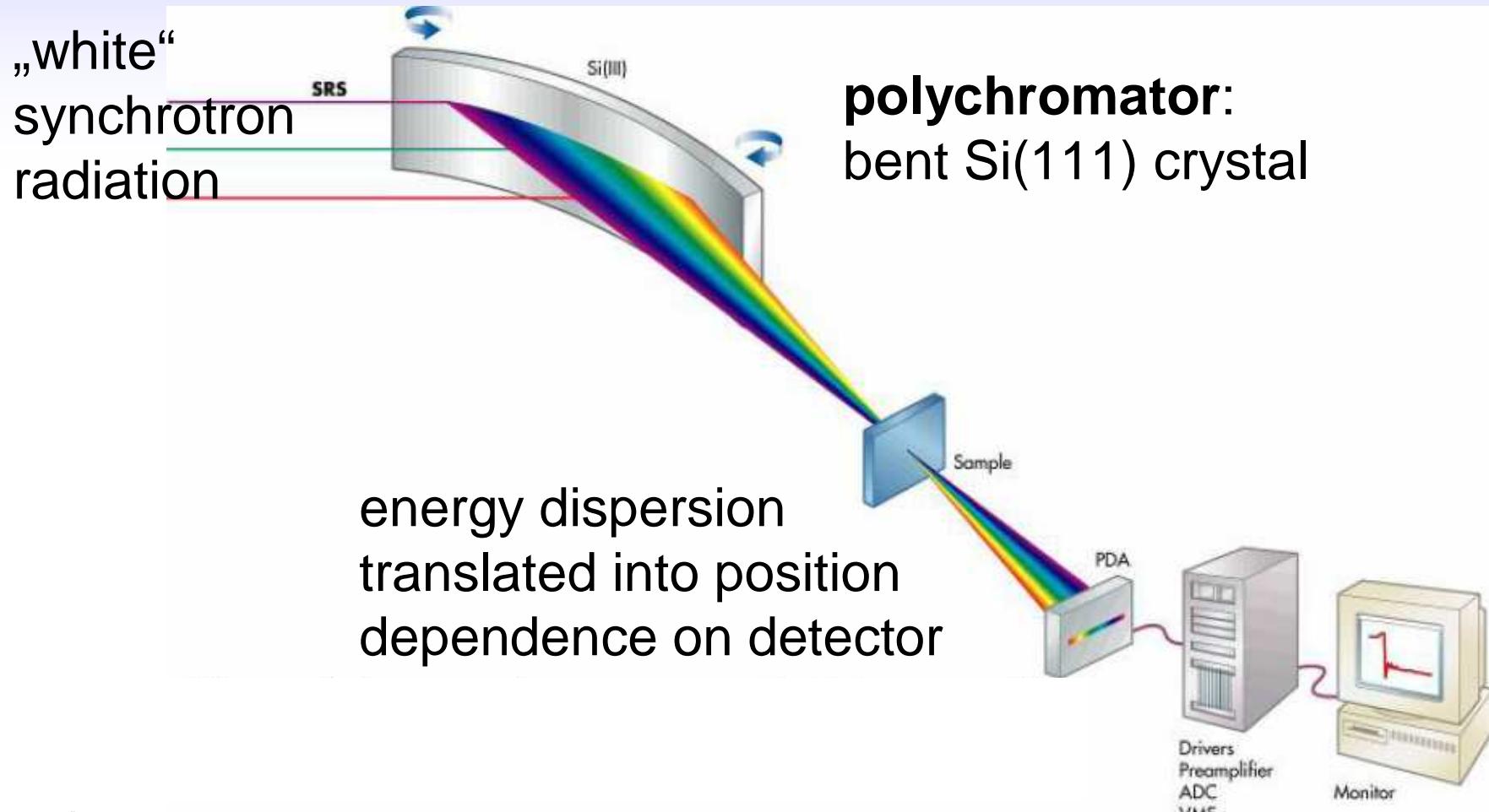
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Absorption spectrometer

(fast scanning with
piezos: QEXAFS)

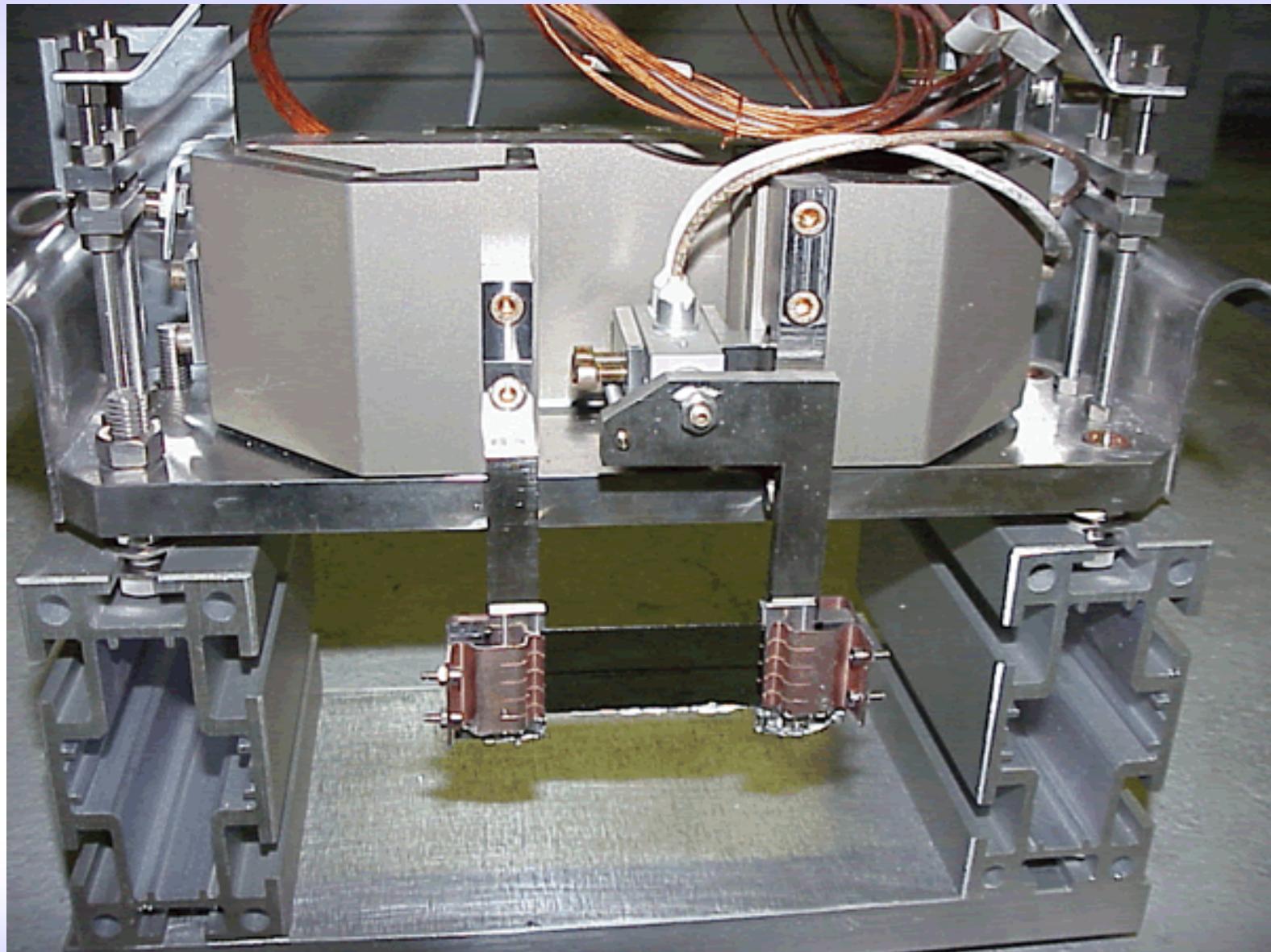


EXAFS / XANES in one shot: DEXAFS



energy-dispersive

Bent crystal polychromator @ ID24 (ESRF)



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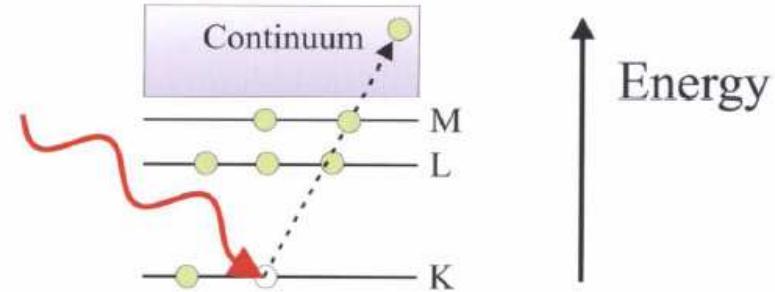
Alternatives to transmission measurements

fluorescence
(high sensitivity)

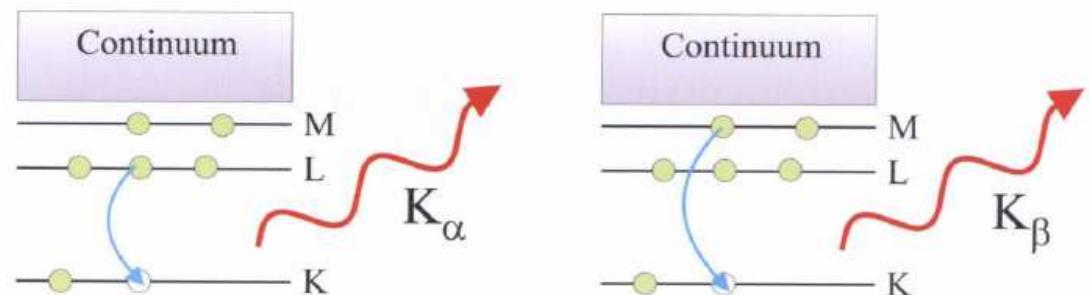
Auger yield
(if fluorescence
yield low)



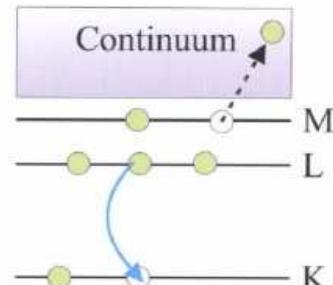
(a) Photoelectric absorption



(b) Fluorescent X-ray emission



(c) Auger electron emission

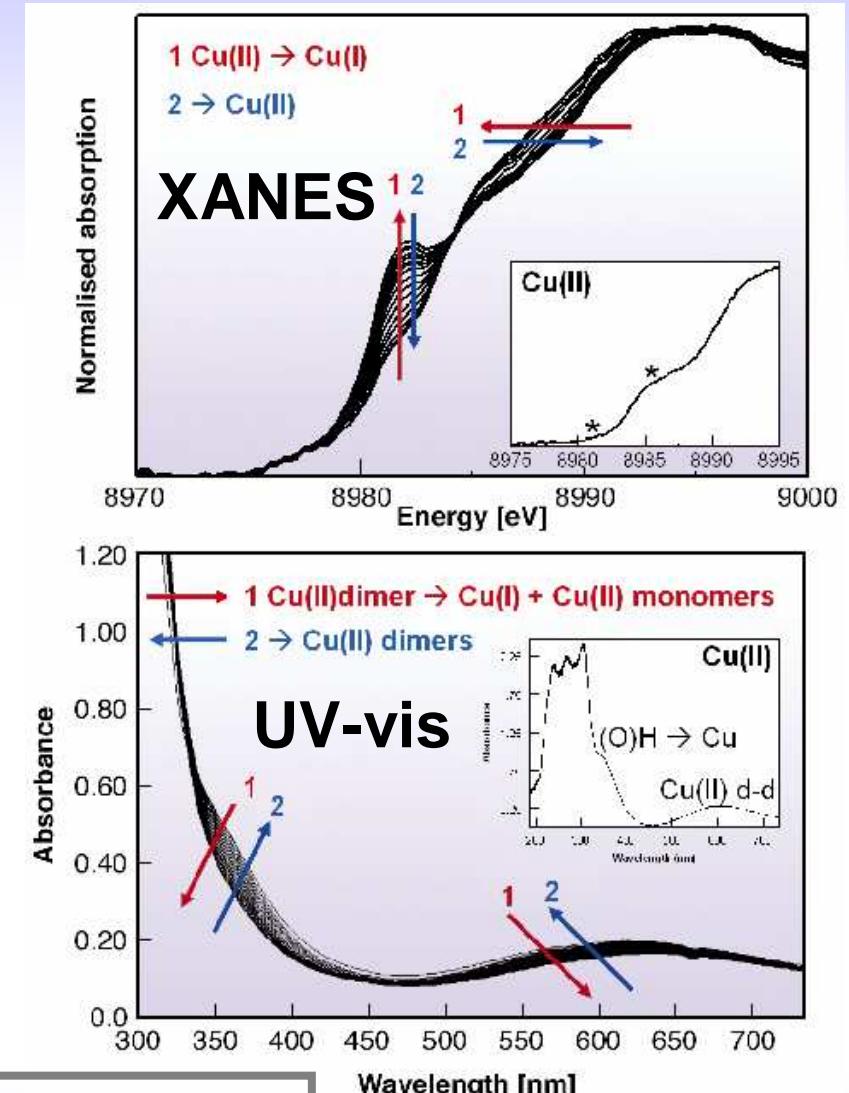
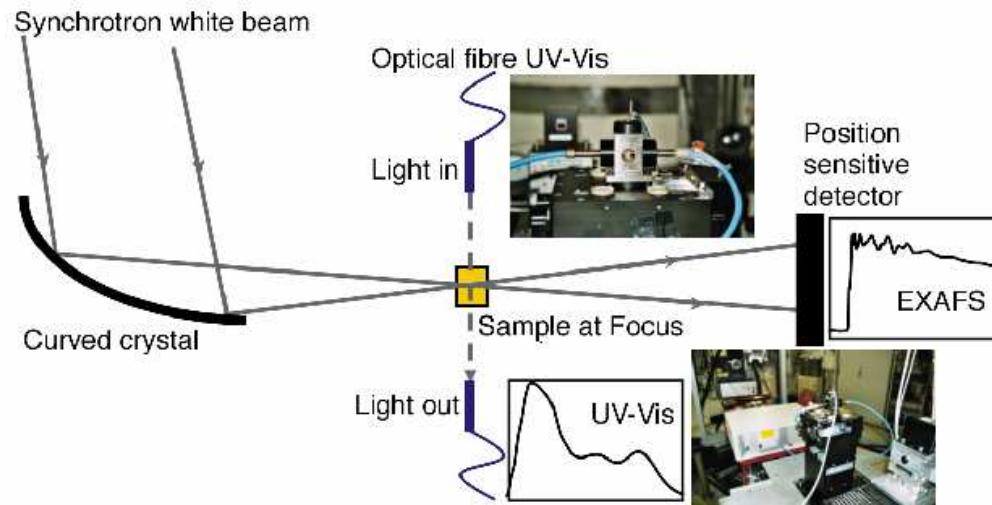


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Homogeneous catalytic reaction mechanisms

Cu(II) catalyst (arylation)



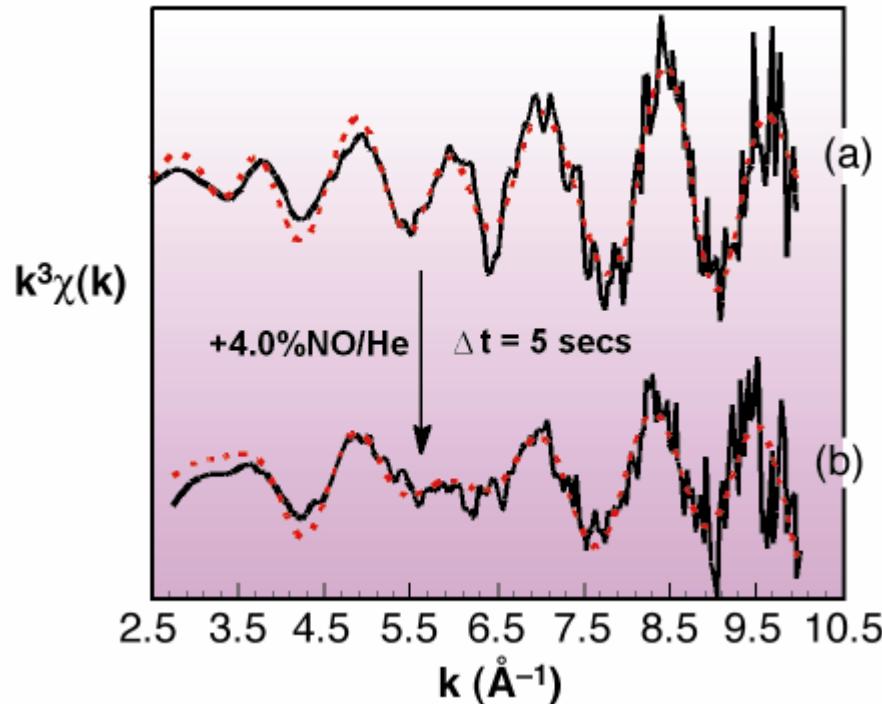
- XANES time resolution: 10-200 ms
- combination with UV-vis spectroscopy



Adsorbate-induced phase change in Rh catalysts

car exhaust **catalytic converters**:
NO converted to N₂

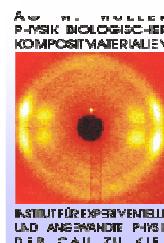
time-resolved **EXAFS** study



Rh metal

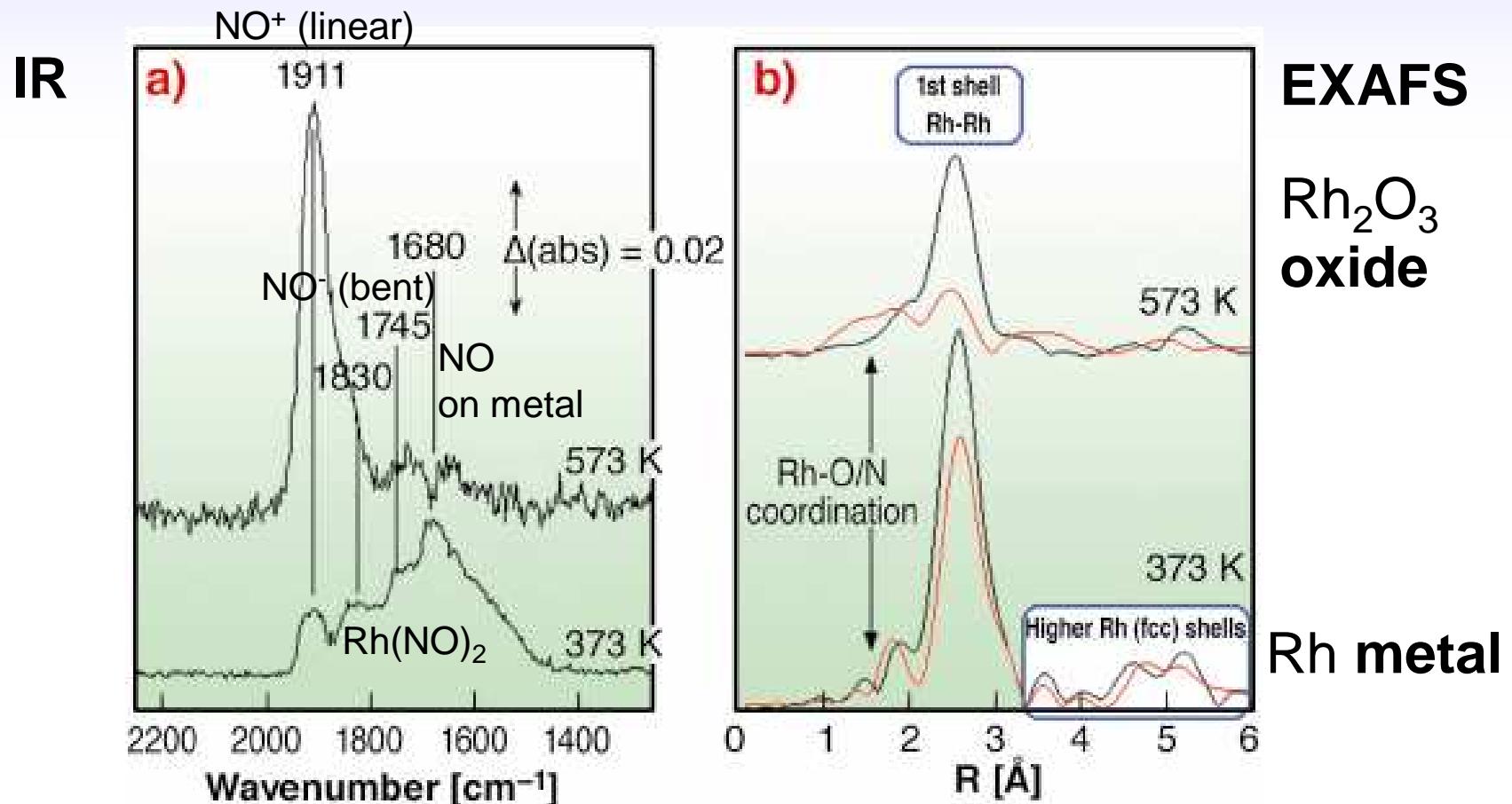
Rh nanoparticles **rapidly** change upon exposure to NO

Rh₂O₃ oxide



Synchronizing IR spectroscopy and XAFS

again de-NOx Rh catalyst: many different components



sub-second EXAFS and IR time resolution



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